



**Screening as a cause of the thyroid cancer epidemic in Korea: Evidence from a nationwide study**

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| Journal:                      | <i>BMJ</i>  |
| Manuscript ID                 | BMJ.2015.028305.R3  |
| Article Type:                 | Research  |
| BMJ Journal:                  | BMJ   |
| Date Submitted by the Author: | 21-Jul-2016   |
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| Keywords:                     | Thyroid Cancer, Screening, Tumour Detection, Tumour Size, Tumour stage  |

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8 **Screening as a cause of the thyroid cancer epidemic in Korea:**  
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18 **Running title: Increase in thyroid cancer by screening**  
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51 **Key words:** Thyroid Cancer; Screening; Tumour Detection; Tumour Size; Tumour stage  
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**Word count:** 4,344

**Financial support:** This work was supported by a grant from the National Cancer Center (NCC-1310223 and NCC-1032020).

**Disclosure statement:** The authors have nothing to disclose.

Confidential: For Review Only

## ABSTRACT

**Objectives:** To investigate whether screening for thyroid cancer led to the current thyroid cancer epidemic in Korea.

**Design:** Medical records review of nationally representative samples of thyroid cancer patients diagnosed in 1999, 2005, and 2008.

**Setting:** From the nationwide cancer registry of Korea, sample cases were randomly selected using a systematic sampling method after stratification by region.

**Participants:** A total of 5,796 thyroid cancer patients were included (891 in 1999; 2,355 in 2005; and 2,550 in 2008).

**Main Outcome Measures:** The age-standardized incidence of thyroid cancer was estimated, and the changes in incidence between 1999 and 2008 were examined according to the route of tumour detection (screen detection vs. clinical detection vs. unspecified).

**Results:** Between 1999 and 2008, there was a 6.4-fold (95% CI: 4.9 to 8.4) increase in thyroid cancer incidence, from 6.4 (95% CI: 6.2 to 6.6) to 40.7 (95% CI: 40.2 to 41.2) per 100,000 people. Overall, 94.4% of the total increase (34.4 per 100,000 people) was due to thyroid cancer <20 mm in size (32.3 per 100,000 people), mainly due to screening. Even among clinically detected cases, the great majority (99.9%) of the increase (6.4 per 100,000 people) was due to increased detection of tumours <20 mm in size (6.4 per 100,000 people). According to SEER summary staging, almost all (97.1%) of the total increase in the incidence of thyroid cancer (34.4 per 100,000 people) was due to detection of localized (35.5%) and regional stage tumours (61.6%).

**Conclusions:** The current epidemic of thyroid cancer in Korea is due to an increase in the detection of small-sized tumours, most likely resulting from overdiagnosis. Concerted efforts are needed at local and global levels to discourage the thyroid ultrasound examination in asymptomatic general population.

#### WHAT IS ALREADY KNOWN ON THIS TOPIC

- An increase in the incidence of thyroid cancer with little change in mortality rate has been observed in most countries.
- Increased incidence of thyroid cancer is mainly due to detection of small-sized well-differentiated thyroid carcinoma.
- Overdiagnosis is considered to be the most plausible explanation; however, some sceptics remain unconvinced.

#### WHAT THIS STUDY ADDS

- This is the nationwide study that correlates the increase in thyroid cancer incidence with the routes of tumour detection, directly extracted by a review of medical records.
- The great majority of increased thyroid cancer cases was attributed to the increase in the incidence of small-sized tumours, detected mainly by screening.
- Thyroid cancer screening can detect notably small-sized tumours, but also clinically indolent asymptomatic tumours with local extension and lymph node involvement.
- Our study provides clear evidence that the increase in the incidence of thyroid cancer in Korea was mainly due to overdiagnosis.

## 1 INTRODUCTION

2 Over the past decades, incidence of thyroid cancer has increased steadily and consistently  
3 in most developed countries.[1] The most notable increase was reported in Korea, where the  
4 incidence of thyroid cancer increased steeply more than 7 times from 6.3 per 100,000 in 1999  
5 to 47.5 per 100,000 in 2009.[2] During that short time span, thyroid cancer has become the  
6 most frequently diagnosed cancer for women since 2004, and for men and women combined  
7 in 2009.[2, 3] In fact, Korea has the highest incidence rate of thyroid cancer in the world.[4]  
8 This raised great public concern about its potential cause and also the financial burden to the  
9 national health care system. The economic burden of thyroid cancer in Korea increased about  
10 7 times from \$257 million in 2000 to \$1,724 million in 2010, [5] and this kind of financial  
11 burden will happen in any countries. In the United States, for example, the incidence of  
12 thyroid cancer is expected to surpass the incidence of colorectal cancer and become the fourth  
13 most common cancer by 2030.[6] As such, the estimated economic burden of well-  
14 differentiated thyroid cancer in the United States was expected to increase to over \$3.5 billion  
15 in 2030 from over \$1.6 billion in 2013.[7]

16 Regarding the cause of this unprecedented epidemic of thyroid cancer in Korea and around  
17 the world, overdiagnosis is considered the most plausible.[8, 9] First of all, the thyroid cancer  
18 mortality rate remained stable for several decades,[1, 2] despite the fact that there was no  
19 dramatic improvement in thyroid cancer therapy, as exemplified by the trend in thyroid  
20 cancer incidence and mortality in Korea (Figure 1) [10]. Further evidence demonstrates a  
21 close correlation between the thyroid cancer incidence rate and thyroid cancer screening by  
22 ultrasonography. In Korea, previous studies reported a good correlation between the thyroid  
23 cancer incidence rate of 2009 and the thyroid cancer screening rates of 2008 and 2009.[8] and

1 prominent period effects on the incidence of thyroid cancer in Korea.[11]

2 However, some investigators remain unconvinced and have raised questions about the idea  
3 of overdiagnosis being the main cause of the current thyroid cancer epidemic.[12-14] In a  
4 registry-based cancer study,[12] investigators observed that the incidence of not only small-  
5 sized but also large-sized thyroid cancers significantly increased from 1983 to 2006 in the  
6 United States, as well as the incidence of both intra-thyroidal and extra-thyroidal cancers.[12]  
7 They claimed that improved detection does not fully explain the rising incidence of thyroid  
8 cancer.[12] In Australia, the increase in thyroid cancer was observed across  
9 sociodemographic characteristics in both early and advanced stages.[13] Furthermore, there  
10 were no significant differences in tumour size, invasion, lymph node involvement, or distant  
11 metastasis between the incidentally diagnosed and the non-incidentally diagnosed thyroid  
12 cancers in the United States.[14]

13 To better elucidate the cause of the steep increase in the incidence of thyroid cancer in  
14 Korea and other countries, we need more sophisticated epidemiologic studies. Here, we  
15 report the nationwide epidemiologic study results that provide further supporting evidence for  
16 increased screening as the main cause of the thyroid cancer epidemic in Korea by  
17 demonstrating the changes in thyroid cancer incidence over time according to the routes of  
18 tumour detection.

## 19

## 20 **METHODS**

### 21 **Data sources**

22 To investigate the cause of the rapidly rising incidence of thyroid cancer in Korea, in 2010



1 the Korea Central Cancer Registry (KCCR) conducted the National Epidemiologic Survey of  
2 Thyroid cancer (NEST), which was designed to collect a nationally representative sample of  
3 thyroid cancer patients diagnosed in the years 1999, 2005, and 2008. The detailed study  
4 methods have been described previously,[15] and the dataset is available to the public  
5 (<http://kccrsurvey.cancer.go.kr/index.do>).

6 Briefly, from the registry database of all thyroid cancer patients registered (3,342 in 1999;  
7 12,659 in 2005; and 26,890 in 2008), we selected the study population using a two-stage  
8 sampling method. We first selected 24 hospitals using a probability proportional to size  
9 method stratified by region in a given year. Then, sample cases were randomly selected  
10 within each hospital using a systematic sampling method. Because the number of cases  
11 diagnosed in 1999 and 2005 was smaller than that in 2008, different sampling proportions  
12 were applied for each study year (33% in 1999, 22% in 2005, and 11% in 2008).

13 Using a pre-designed data collection form, we collected basic demographic variables, such  
14 as age and sex, and tumour-related variables, such as tumour size, histologic type, status of  
15 nodal and distant metastases, tumour stage (AJCC 6th stage,[16] SEER summary stage[17]),  
16 and routes of tumour detection, through a review of medical records. The SEER summary  
17 stage grouped thyroid cancers in 3 major categories – localized stage, regional stage and  
18 distant stage and the regional stage includes 1) regional by direct extension only, 2) regional  
19 lymph nodes involved only and 3) regional by both direct extension and regional lymph node  
20 involved.[17] In our study, the regional stage was further categorized into 5 categories by the  
21 lymph node involvement status (yes, no) and the degree of extrathyroidal extension (none,  
22 minimal, gross) [16, 18]. The route of tumour detection was classified into three categories as  
23 recorded in medical records: screen detection (detected by cancer screening as recorded in

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4 1 medical records), clinical detection (detected by symptom associated with thyroid disease,  
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6 2 including thyroid cancer), and unspecified (or unknown). The histological subtypes of thyroid  
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8 3 cancer were classified according to the International Classification of Diseases for Oncology,  
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10 4 3rd edition (ICD-O-3)[19] as papillary carcinoma, medullary carcinoma, follicular carcinoma,  
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12 5 anaplastic carcinoma, and others.[20]

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16 6 Out of 6,846 patients selected at the first stage (1,103 patients in 1999, 2,785 patients in  
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18 7 2005, and 2,958 patients in 2008), 1,050 cases were excluded from the final analysis,  
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20 8 including 960 cases owing to refusal of the hospital to disclose medical records and 90 cases  
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22 9 owing to inadequate data available on medical records reviews. A total of 5,796 patients were  
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24 10 included in this study (891 in 1999, 2,355 in 2005, and 2,550 in 2008). To check if the NEST  
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26 11 database would be representative of the National Cancer Incidence Database (KNCI DB), we  
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28 12 compared the age and sex distribution (Supplementary Table 1) and the estimated age-  
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30 13 standardized incidence rate of thyroid cancer (Supplementary Table 2). The results were quite  
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32 14 comparable with the results from KNCI DB for each given year. Ethics approval for the  
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34 15 research protocol was approved by the institutional review board (IRB No: NCC2015-0152).  
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#### 41 **Patient involvement**

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44 18 This study is a retrospective medical chart review of patients selected from the national  
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46 19 cancer registry database. There was no direct contact with patients or individuals. No patients  
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48 20 were involved in setting the research question or the outcome measures, nor were they  
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50 21 involved in developing plans for recruitment, design, or implementation of the study. No  
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52 22 patients were asked to advise on interpretation or writing up of results. The dataset for this  
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1 study is available from: <http://kccrsurvey.cancer.go.kr/index.do> upon request.

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### 3 **Statistical analysis**

4 The age-standardized incidence rate of thyroid cancer was estimated for three route of  
5 tumour detections (screen detection vs. clinical detection vs. unspecified) by tumour size,  
6 SEER summary stage, and AJCC 6th stage for the years 1999, 2005, and 2008. To estimate  
7 the age-standardized incidence of thyroid cancer, we calculated a weighted frequency for  
8 each 5-year age group for each study year, and then divided the weighted frequency by the  
9 corresponding mid-year population. The age-standardized incidence rate was estimated using  
10 the weights for the proportions of corresponding 5-year age groups of the world standard of  
11 Segi as standard population.[21] The 95% confidence interval was calculated per 100,000  
12 people using the binomial method. We calculated the absolute differences of the incidence  
13 rate of thyroid cancer according to the route of tumour detection by tumour size, SEER  
14 summary stage, and AJCC 6th stage between 1999 and 2008.

15 The baseline characteristics were presented as means  $\pm$  standard deviation or number  
16 (percentage) by year of detection. The one-way analysis of variance (ANOVA) was used to  
17 compare the differences of continuous variables by year and chi-squared test was used to  
18 compare the differences of categorical variables by year. *P* values less than 0.05 were  
19 considered statistically significant. All statistical analyses were performed using Stata 12.0  
20 (StataCorp LP, TX, U.S.A.) and SAS 9.3 (SAS Institute, Cary, NC, U.S.A.).

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## 1 RESULTS

### 2 **Characteristics of the study population**

3 The characteristics of the study population are shown for each study year in Table 1.  
4 Overall, 84.5% (95% CI: 83.6% to 85.4%) of study participants (N = 5,796) were women,  
5 and the mean ( $\pm$  SD) age of study was  $46.9 \pm 12.4$  years. The most common histologic type  
6 (94.9% [95% CI: 94.3% to 95.5%]) was papillary carcinoma. Most notably, the tumour size  
7 of thyroid cancer steadily decreased from 1999 to 2008. With regard to the routes of tumour  
8 detection, the proportion of screen detection increased from 15.0% (95% CI: 12.8% to 17.6%)  
9 in 1999 (n=891) to 56.1% (95% CI: 54.2% to 58.1%) in 2008 (n=2,550), whereas the  
10 proportion of clinical detection decreased from 50.2% (95% CI: 46.8% to 53.5%) in 1999 to  
11 22.1% (95% CI: 20.5% to 23.8%) in 2008. In terms of SEER summary staging, the  
12 proportion of regional stage thyroid cancer increased from 47.7% (95% CI: 44.4% to 51.0%)  
13 in 1999 to 59.1% (95% CI: 57.2% to 61.1%) in 2008, whereas the proportion of distant stage  
14 thyroid cancer decreased from 5.4% (95% CI: 4.0% to 7.1%) in 1999 to 1.3% (95% CI: 0.9%  
15 to 1.8%) in 2008.

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### 17 **Changes in tumour size over time by routes of tumour detection**

18 Overall, the median (1<sup>st</sup> quartile – 3<sup>rd</sup> quartile) tumour size of thyroid cancer decreased  
19 from 18 mm (10 mm – 30 mm) in 1999 to 8 mm (5 mm – 12 mm) in 2008, and the size of  
20 screen-detected tumours was smaller than that of clinically detected tumours (Figure 2,  
21 Supplementary Table 3). For the clinically detected tumours, the median (1<sup>st</sup> quartile – 3<sup>rd</sup>  
22 quartile) tumour size of thyroid cancer decreased from 20 mm (13 mm – 30 mm) in 1999 to 9

1 mm (6 mm – 15 mm) in 2008. For the screen-detected tumours, the median tumour size of thyroid cancer decreased from 14.5 mm (8 mm – 24.5 mm) in 1999 to 8 mm (5 mm – 11 mm) in 2008.

### **Regional lymph node involvement by tumour size and routes of tumour detection**

The regional lymph node involvement status by tumour size according to the routes of tumour detection is shown in Supplementary Table 4. Overall, even the small tumours <10 mm in size were found to have regional lymph node involvement in more than one-fifth of the cases: 22.8% (37/162) in 1999, 24.2% (245/1,013) in 2005, and 28.4% (430/1,512) in 2008, respectively. As the tumour size increased to 10–20 mm, 20–30 mm, and  $\geq 30$  mm, the proportion of cases with positive regional lymph node involvement increased to 34.1% (79/232), 48.8% (82/168), and 44.2% (92/208), respectively in 1999; 40.4% (310/768), 53.4% (125/234), and 51.4% (109/212) in 2005; and 48.8% (345/707), 58.7% (81/138), and 56.5% (65/115) in 2008. However, the route of tumour detection did not have any real impact on the regional lymph node involvement status.

### **Change in the thyroid cancer incidence over time by tumour size**

Changes in the estimated thyroid cancer incidence according to tumour size for each route of tumour detection from 1999 to 2008 are shown in Table 2 and Figure 3A. The most remarkable change is the incidence rate of small thyroid cancer <10 mm in size detected by cancer screening, which increased steeply from 0.27 (95% CI: 0.22 to 0.31) per 100,000 in

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4 1 1999 to 15.00 (95% CI: 14.70 to 15.29) per 100,000 in 2008 with an absolute difference (AD)  
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6 2 of 14.73 (95% CI: 13.96 to 15.50) per 100,000. The incidence rate of small thyroid cancer  
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8 3 <10 mm in size detected by clinical detection also showed a modest increase from 0.49 (95%  
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10 4 CI: 0.43 to 0.55) in 1999 to 4.88 (95% CI: 4.71 to 5.05) in 2008 (AD of 4.39 (95% CI: 3.94  
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12 5 to 4.84) per 100,000). There was only a small fractional increase in the incidence rate of  
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14 6 thyroid cancer of large tumours  $\geq 30$  mm in size detected by cancer screening (AD of 0.44  
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16 7 (95% CI: 0.27 to 0.61) per 100,000), with no significant increase in the incidence rate of such  
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18 8 tumours diagnosed by clinical detection (AD of 0.00 (95% CI: -0.27 to 0.27) per 100,000).  
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20 9 About 94.4% of the total increase (34.4 per 100,000 people) was attributed to the increase in  
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22 10 the incidence rate of thyroid tumours <20 mm in size (32.3 per 100,000 people). In screen-  
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24 11 detected cases, about 93.7% of the increase in thyroid cancer (22.7 per 100,000 people) was  
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26 12 attributed to the increase of tumours <20 mm in size (21.3 per 100,000 people). Among  
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28 13 clinically detected cases, the great majority (99.9%) of the increase in thyroid cancer (6.4 per  
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30 14 100,000 people) was attributed to the increase of detected tumours <20 mm in size (6.4 per  
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32 15 100,000 people).  
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39 16 Figure 3A shows the ADs in the magnitude of the increase in thyroid cancer incidence by  
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41 17 tumour size according to the route of tumour detection between 1999 and 2008, as well as  
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43 18 between 2005 and 2008. It is striking to note that about 60% of the absolute increase in  
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45 19 thyroid cancer incidence rates between 1999 and 2008 occurred over a short period of time  
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47 20 between 2005 and 2008, especially for screen-detected cases with tumours <20 mm in size.  
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54 22 **Change in the thyroid cancer incidence over time by SEER summary stage**  
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1 Changes in estimated thyroid cancer incidence according to the SEER summary stage are  
2 shown in Table 2 & Figure 3B. Overall, there was 8.1 (95% CI: 7.2 to 9.2) fold increase in  
3 regional stage tumours (AD of 21.2 (95% CI: 20.2 to 22.2) per 100,000) and 6.7 (95% CI: 5.8  
4 to 7.8) fold increase in localized stage tumours (AD of 12.2 (95% CI: 11.4 to 13.0) per  
5 100,000) between 1999 and 2008. This increase in the incidence of regional stage tumour  
6 (21.2 per 100,000 people) accounted for 61.6% of the total increase in thyroid cancer  
7 incidence (34.4 per 100,000 people) between 1999 and 2008 and the increase in localized  
8 stage tumours (12.2 per 100,000 people) accounted for additional 35.5% of the total increase  
9 (34.4 per 100,000 people). On the other hand, there was very little increase in the incidence  
10 of distant stage thyroid cancer between 1999 and 2008 (AD of 0.2 (95% CI: -0.03 to 0.33) per  
11 100,000).

12 According to the route of detection, the incidence of screen-detected regional stage  
13 thyroid cancer increased steeply by 38.2 (95% CI: 27.6 to 54.5) fold from 0.37 (95% CI: 0.32  
14 – 0.42) per 100,000 in 1999 to 14.15 (95% CI: 13.86 to 14.44) per 100,000 in 2008 (AD of  
15 13.78 (95% CI: 13.0 to 14.5) per 100,000). The incidence of clinically detected regional stage  
16 thyroid cancer also increased by 3.5 (95% CI: 2.9 to 4.2) fold from 1.57 (95% CI: 1.46 to  
17 1.68) in 1999 to 5.51 (95% CI: 5.33 to 5.70) in 2008 (AD of 3.94 (95% CI: 3.42 to 4.46)) per  
18 100,000). On the other hand, the incidence of screen-detected distant stage thyroid cancer  
19 showed only a fractional increase (AD of 0.08 (95% CI: -0.02 to 0.18) per 100,000) while  
20 there was no significant change in the incidence of clinically detected distant stage thyroid  
21 cancer (AD of -0.02 (95% CI: -0.14 to 0.10) per 100,000).

22

## 1 Subgroup analysis of regional SEER summary stage tumours

2 The regional SEER summary stage encompasses both the tumours with regional lymph  
3 node involvement and the tumours with extrathyroidal extension. To better understand the  
4 true nature of the increase in the incidence of regional stage tumour over time, we further  
5 analysed the regional stage thyroid tumours by the lymph node involvement status (yes, no)  
6 and the degree of extrathyroidal extension (none, minimal, gross) according to the route of  
7 tumour detection by year (Table 3 and Figure 4). The majority of the increase in the incidence  
8 of regional stage thyroid cancer was due to lymph node involvement (AD of 12.8 (95% CI:  
9 11.9 to 13.6) per 100,000 in total; 4.3 (95% CI: 3.8 to 4.7) of which without extrathyroidal  
10 extension, 8.4 (95% CI: 7.7 to 9.0) with minimal extrathyroidal extension, and 0.1 (95% CI:  
11 0.0 to 0.20) with gross extrathyroidal extension). For the tumours without lymph node  
12 involvement, minimal extrathyroidal extension accounts for virtually all of the increase  
13 between 1999 and 2008 (AD of 8.4 (95% CI: 7.7 to 9.0) per 100,000). By the route of tumour  
14 detection, there was more increase in screen-detected regional stage thyroid cancer than the  
15 clinical-detected regional stage tumour (AD of 13.8 (95% CI: 13.0 to 14.5) vs. 3.9 (95% CI:  
16 3.4 to 4.5) per 100,000), even for the tumours with lymph node involvement (AD of 8.3 (95%  
17 CI: 7.7 to 8.9) vs. 2.2 (95% CI: 1.8 to 2.6) per 100,000).

18

## 19 DISCUSSION

20 Our study showed that the great majority of the recent increases in the incidence of thyroid  
21 cancer in Korea was due to more detection of small-sized (<20 mm) tumours (32.3 per  
22 100,000 people), which accounted for 94.4% of the overall increase (34.4 per 100,000 people)



1 in the estimated thyroid cancer incidence between 1999 and 2008. Obviously, a large portion  
2 of this increase was attributed to the widespread practice of thyroid cancer screening with  
3 ultrasonography, which started around the turn of the century in Korea.[8, 22] By the SEER  
4 summary stage, 97.1% of the increase in the estimated thyroid cancer incidence was due to  
5 increased detection of regional stage tumours (61.6% [21.2 per 100,000 people]) and  
6 localized stage tumours (35.5% [12.2 per 100,000 people]), for which 5-year relative survival  
7 rates were 100.1% and 100.4%, respectively in Korea.[23] This finding of >100% relative  
8 survival rates means that thyroid cancer patients who were more likely to get thyroid cancer  
9 screening might be healthier and have lower risk of dying than the general population, even  
10 in those with regional stage thyroid cancer by SEER summary stage.

11 By the route of tumour detection, the increase in the estimated incidence of screen-detected  
12 tumours (22.8 per 100,000 people) only accounted for 66.1% of the total increase (34.4 per  
13 100,000 people) in thyroid cancer incidence between 1999 and 2008 and clinical-detected  
14 tumours (6.4 per 100,000 people) accounted for additional 18.7% of the increase. Although  
15 some might argue that this finding is inconsistent with the idea of overdiagnosis as a cause of  
16 recent thyroid epidemic, the truth seems to be the opposite. If it is not due to overdiagnosis of  
17 clinically indolent thyroid cancers, there is no better explanation for the observed findings in  
18 our study.

19 In fact, routine ultrasound examination and biopsy of any thyroid nodule <10 mm in size is  
20 not recommended without high risk clinical features.[24] Interestingly, the median size for  
21 clinically-detected tumours was only 9 mm in 2008 (it was 20 mm in 1999), which was quite  
22 similar to the median size of 8 mm for the tumours detected by screening in 2008 (Figure 2).  
23 Practically, 8-9 mm tumours are just too small for any patient to notice or physician to find at

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4 1 such high rates. To be palpable or cause symptoms at such a size they would have to be all  
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6 2 located at the isthmus or external surface of the thyroid, or be invading/pressing on the  
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8 3 trachea or recurrent nerve or esophagus, which is extremely unlikely. This raises serious  
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10 4 questions about the true nature of clinical-detected thyroid cancer. Unless it is disguised as  
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12 5 such for insurance reimbursement purposes, it is impossible to see so many clinically  
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14 6 detected thyroid tumours were less than 20 mm in size.

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18 7 Furthermore, about 60% of the total increase in thyroid cancer incidence between 1999 and  
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20 8 2008 occurred in a short time span of 3 years between 2005 and 2008, and the rising trend  
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22 9 continued even thereafter as shown in Figure 1. Recently, however, after the public awareness  
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24 10 campaign was launched in 2014 against the routine thyroid cancer screening, there was a  
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26 11 dramatic decrease in number of thyroid cancer surgery by 35% one year later.[25] Taken  
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28 12 together with the accumulating data that showed the thyroid cancer mortality rates remained  
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30 13 stable for decades despite of the rapid increase in its incidence rate (Figure 1),[8, 26] our  
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32 14 findings provide further supporting evidence for the over-detection as a cause of thyroid  
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34 15 cancer epidemic in Korea.

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#### 40 41 42 17 **Strengths and weakness of the study**

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45 18 Our study shows a direct association at the individual level between the routes of thyroid  
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47 19 cancer detection and an increase in thyroid cancer rates through the medical record review.  
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49 20 On the other hand, previous studies showed only indirect and ecological association between  
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51 21 ultrasonography uses and the incidence of thyroid cancer.[8, 27] Our study is meaningful as a  
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53 22 nationwide examination of the association between increased thyroid cancer incidence and  
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1 thyroid cancer screening using a representative random sample of thyroid cancer patients  
2 from cancer registry data. In addition, our study also showed that the increase in thyroid  
3 cancer incidence was associated with increase in screen-detected tumour, directly extracted  
4 by a review of medical records.

5 However, there are some limitations in this study. Our data may have a misclassification  
6 bias regarding the routes of tumour detection, which may cause either underestimation or  
7 overestimation of incidence rate in specific subgroups. However, in our study, sample  
8 weights were used to calculate an unbiased estimate after adjusting for the non-response units.  
9 Indeed, the estimated incidence rates from NEST data were similar to real incidence rates  
10 from cancer registry data (Supplementary Table 1 and 2). In addition, the estimated  
11 proportion of clinically detected cases from our findings in 2008 was similar to those from  
12 the Korea National Cancer Screening Survey (KNCSS) study in 2009.[28] Nevertheless,  
13 because of relatively short duration of follow-up, we could not secure the long-term survival  
14 outcome data, which is the inherent limitation for the study of thyroid cancer.

### 16 **Comparison with other studies**

17 There have been debates regarding the cause of the rising incidence of thyroid cancer in  
18 the past decade, as well summarized in recent reviews.[29,30] Although many experts  
19 suggested that the increase in the incidence of thyroid cancer was mainly due to the  
20 increasing utilization of imaging tools for thyroid cancer screening,[8-10, 26, 31] others  
21 remained sceptical and called upon more epidemiologic studies searching for yet unidentified  
22 causal factors.[12-14]

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4 1 Some studies have shown that the incidence of small-sized as well as large-sized, and  
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6 2 advanced stage thyroid cancer have increased.[12, 32] Furthermore, the proportion of  
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8 3 incidentally detected thyroid cancer without symptoms did not increase in tertiary referral  
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10 4 hospitals in the United States, despite the increasing number of thyroid cancer cases.[33] Yoo  
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12 5 et al. also showed that patients with incidentally detected thyroid cancer showed no  
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14 6 difference in tumour size, invasion, lymph node involvement and distant metastasis compared  
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16 7 with patients with non-incidentally detected thyroid cancer.[14] However, these findings  
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18 8 could well be explained by the indolent nature of a well-differentiated thyroid cancer, the  
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20 9 basic premise of the overdiagnosis concept. Because of the indolent nature itself, well-  
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22 10 differentiated thyroid cancer might grow to be large and undiagnosed, even with lymph node  
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24 11 involvement as shown in this study and extra-thyroidal extension, until it is discovered  
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26 12 incidentally by imaging study, [34] which are further substantiated by our study. To date, the  
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28 13 only confirmed risk factor for the thyroid cancer is exposure to the ionizing radiation.[35] In  
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30 14 Korea, however, there were no discernible sources of additional radiation exposures other  
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32 15 than the medicinal use of radioisotopes and such diagnostic procedures as CT scan.[11, 29, 36]  
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34 16 Even if there were some increase in thyroid cancer incidence by all those environmental  
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36 17 causes, their contributions seems to be very small. If the steep increase in Korean thyroid  
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38 18 cancer incidence is not due to overdiagnosis, it is very hard to find a reasonable explanation  
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40 19 for our findings of a 20.1-fold increase in small tumours <10 mm in size, and an 8.1-fold  
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42 20 increase in regional stage tumours, over a short 9-year-time span between 1999 and 2008.  
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49 21 This issue of overdiagnosis starts at the macro level, with how health care is paid for at the  
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51 22 system level, and extends all the way down to the microscopic level, with how pathological  
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53 23 specimens are processed these days compared to how they were examined 30 years  
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4 1 ago.[29,30] Actually, timing of the increase in thyroid cancer incidence in Korea coincides  
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6 2 with the timing of widespread use of ultrasound examination in local clinics following  
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8 3 Korean health care reform in 2000. Many hospitals and clinicians encouraged routine health  
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10 4 check-up programs, which include thyroid cancer screening as an option with additional fee,  
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12 5 not covered by the National Health Insurance. In a hospital-based study of 10 major hospitals,  
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14 6 the annual numbers of thyroid ultrasound examinations almost doubled between 2001 and  
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16 7 2004, and the annual number of ultrasound-guided fine needle aspiration examinations almost  
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18 8 quadrupled during the same period.[22] At the microscopic level, more careful pathologic  
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20 9 examination of resected thyroid specimens could well have contributed to the increase of  
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22 10 thyroid cancer to some extent.[37] But, it alone doesn't seem to explain the magnitude of  
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24 11 thyroid cancer epidemic in Korea.  
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29 12 Generally, only nodules >1 cm are recommended for further evaluation [24]. If there is no  
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31 13 increase in size or evidence of clinical progression of tumour, some investigators  
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33 14 recommended clinical observation for small-sized papillary thyroid cancers because they do  
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35 15 not usually become more aggressive form.[38, 39] In Korea, there had been no discrete  
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37 16 guideline for further evaluation of thyroid nodules until 2010, when the Korean Endocrine  
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39 17 Society published a new guideline recommending fine needle aspiration cytology for thyroid  
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41 18 nodules >5 mm in size.[40] However, because of the same reason, there have been growing  
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43 19 concerns about potential harms and side effects related to the unnecessary evaluation and  
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45 20 subsequent treatments. Recently, a multidisciplinary expert committee, organized by the  
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47 21 National Cancer Center Korea, developed a guideline for thyroid cancer screening. A  
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49 22 consensus was that thyroid ultrasonography is not routinely recommended for healthy  
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51 23 subjects.[41]  
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**2 Conclusion and policy implications**

3 Our study provides evidence that the increase in the incidence of thyroid cancer in Korea  
4 was mainly due to overdiagnosis that resulted from widespread utilization of sensitive  
5 imaging tools such as ultrasound. Considering increasing worldwide trends in thyroid cancer  
6 incidence,[1, 2] the financial burdens resulting from ultrasound detection of small-sized  
7 tumours and subsequent surgery for thyroid cancer are expected to rise more rapidly.[5-7]  
8 These problems are not limited to Korea. Concerted efforts are needed at local and global  
9 levels to discourage the routine thyroid ultrasound examination in asymptomatic general  
10 population unless clinically indicated.

**Details of contributors:**

**Contributors:** All authors contributed to the data analysis and interpretation of the results, and reviewed and approved the final manuscript. J.S. Lee, the guarantor, had full access to all of the data in the study and takes responsibility for the integrity of the data and the accuracy of the data analysis. S Park coordinated the study, and wrote the manuscript. C-M Oh analyzed the data and wrote the manuscript. S.Park, Y.-J. Won, H-J Kong and Y-J. Lee collected and interpreted the data. H. Cho, K.-W. Jung, Y.-J.Won, J.-K. Jun., H.-J.Kong, K.-S.Choi., Y.-J.Lee. and J.S. Lee contributed to the discussion as well as reviewed and edited the manuscript.

**Funding:** This work was supported by a grant from the National Cancer Center (NCC-1310223 and NCC-1032020). The views expressed in this article are those of the authors and do not necessarily represent the views of the National Cancer Center, Goyang, Korea. The NEST survey was conducted with funding support from the National Cancer Center (NCC-1032020). The funders had no role in coordinating the study or writing the manuscript.

**Competing interests:** All authors have completed the ICMJE uniform disclosure form and declare: no support from any organisation for the submitted work; no financial relationships with any organisations that might have an interest in the submitted work in the previous three years; and no other relationships or activities that could appear to have influenced the submitted work.

**Ethical approval:** Ethics approval for the research protocol was approved by the National Cancer Center institutional review board (IRB No: NCC2015-0152).

**Data sharing:** The dataset for NEST study is available to public upon request (Available from: <http://kccrsurvey.cancer.go.kr/index.do>). Informed consent was not obtained but the presented data are anonymised and the risk of identification is low.

**Transparency:** J.S. Lee (the manuscript's guarantor) affirms that the manuscript is an honest, accurate, and transparent account of the study being reported; that no important aspects of the study have been omitted; and that any discrepancies with the study as planned (and, if relevant, registered) have been explained.

**Acknowledgements:** We thank the hospital staff and colleagues who collected patient information for the NEST study. Sohee Park and Chang-Mo Oh contributed equally to this work, and should be considered as first co-authors.

**Disclosure statement:** No competing financial interests exist.

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Table 1. Characteristics of study population across the period

| Variables                        | Total        | Year        |              |              | <i>p</i> -value <sup>‡</sup> |
|----------------------------------|--------------|-------------|--------------|--------------|------------------------------|
|                                  |              | 1999        | 2005         | 2008         |                              |
| Overall                          | 5,796        | 891         | 2,355        | 2,550        |                              |
| Age (year)*                      | 46.9 ± 12.4  | 46.0 ± 14.3 | 47.3 ± 12.5  | 46.8 ± 11.6  | 0.03                         |
| Tumour size (mm)*                | 13.3 ± 11.7  | 21.5 ± 15.9 | 13.6 ± 11.1  | 10.5 ± 9.0   | <0.01                        |
| Sex <sup>†</sup>                 |              |             |              |              | 0.01                         |
| Men                              | 898 (15.5)   | 136 (15.3)  | 328 (13.9)   | 434 (17.0)   |                              |
| Women                            | 4,898 (84.5) | 755 (84.7)  | 2,027 (86.1) | 2,116 (83.0) |                              |
| Routes of detection <sup>†</sup> |              |             |              |              | <0.01                        |
| Screen detection                 | 2,655 (45.8) | 134 (15.0)  | 1,090 (46.3) | 1,431 (56.1) |                              |
| Clinical detection               | 1,784 (30.8) | 447 (50.2)  | 773 (32.8)   | 564 (22.1)   |                              |
| Unspecified                      | 1,357 (23.4) | 310 (34.8)  | 492 (20.9)   | 555 (21.8)   |                              |
| Histologic type <sup>†</sup>     |              |             |              |              | <0.01                        |
| Follicular carcinoma             | 173 (3.0)    | 62 (7.0)    | 66 (2.8)     | 45 (1.8)     |                              |
| Papillary carcinoma              | 5,500 (94.9) | 779 (87.4)  | 2,243 (95.2) | 2,478 (97.2) |                              |

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| 3  |  |              |            |              |              |       |
| 4  |  |              |            |              |              |       |
| 5  | Medullary carcinoma                          | 43 (0.7)     | 13 (1.4)   | 19 (0.8)     | 11 (0.4)     |       |
| 6  | Anaplastic carcinoma                         | 26 (0.5)     | 15 (1.7)   | 6 (0.3)      | 5 (0.2)      |       |
| 7  | Others                                       | 54 (0.9)     | 22 (2.5)   | 21 (0.9)     | 11 (0.4)     |       |
| 8  |  |              |            |              |              |       |
| 9  |  |              |            |              |              |       |
| 10 | Regional lymph node involvement <sup>†</sup> |              |            |              |              | <0.01 |
| 11 | No   | 2,466 (42.6) | 268 (30.1) | 1,012 (43.0) | 1,186 (46.5) |       |
| 12 | Yes  | 2,047 (35.3) | 319 (35.8) | 799 (33.9)   | 929 (36.4)   |       |
| 13 | Unknown                                      | 1,283 (22.1) | 304 (34.1) | 544 (23.1)   | 435 (17.1)   |       |
| 14 |  |              |            |              |              |       |
| 15 |  |              |            |              |              |       |
| 16 | Distant metastasis <sup>†</sup>              |              |            |              |              | <0.01 |
| 17 | No   | 5,380 (92.8) | 774 (86.9) | 2,196 (93.3) | 2,410 (94.5) |       |
| 18 | Yes  | 34 (0.6)     | 15 (1.7)   | 14 (0.6)     | 5 (0.2)      |       |
| 19 | Unknown                                      | 382 (6.6)    | 102 (11.4) | 145 (6.1)    | 135 (5.3)    |       |
| 20 |  |              |            |              |              |       |
| 21 |  |              |            |              |              |       |
| 22 | Extrathyroidal extension <sup>†</sup>        |              |            |              |              | <0.01 |
| 23 | No   | 2,783 (48.0) | 397 (44.5) | 1,194 (50.7) | 1,192 (46.7) |       |
| 24 | Yes  | 2,593 (44.7) | 357 (40.1) | 993 (42.2)   | 1,243 (48.8) |       |
| 25 | Unknown                                      | 420 (7.3)    | 137 (15.4) | 168 (7.1)    | 115 (4.5)    |       |
| 26 |  |              |            |              |              |       |
| 27 | Focality <sup>†</sup>                        |              |            |              |              | <0.01 |
| 28 | Unifocal                                     | 3,810 (66.7) | 554 (62.2) | 1,553 (65.9) | 1,703 (66.8) |       |
| 29 | Multifocal                                   | 1,697 (29.3) | 234 (26.3) | 689 (29.3)   | 774 (30.3)   |       |
| 30 |  |              |            |              |              |       |
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| 49 |  |              |            |              |              |       |

|                                 |              |            |              |              |       |
|---------------------------------|--------------|------------|--------------|--------------|-------|
| Unknown                         | 289 (5.0)    | 103 (11.5) | 113 (4.8)    | 73 (2.9)     |       |
| AJCC 6th stage <sup>†</sup>     |              |            |              |              | <0.01 |
| Stage I                         | 3,038 (52.4) | 428 (48.0) | 1,249 (53.0) | 1,361 (53.3) |       |
| Stage II                        | 49 (0.9)     | 14 (1.6)   | 23 (1.0)     | 12 (0.5)     |       |
| Stage III                       | 1,036 (17.9) | 97 (10.9)  | 373 (15.8)   | 566 (22.2)   |       |
| Stage IV                        | 426 (7.3)    | 101 (11.3) | 178 (7.6)    | 147 (5.8)    |       |
| Unknown                         | 1,247 (21.5) | 251 (28.2) | 532 (22.6)   | 464 (18.2)   |       |
| SEER summary stage <sup>†</sup> |              |            |              |              | <0.01 |
| Localized                       | 2,125 (36.6) | 302 (33.9) | 919 (39.0)   | 904 (35.5)   |       |
| Regional                        | 3,176 (54.8) | 425 (47.7) | 1,243 (52.8) | 1,508 (59.1) |       |
| Distant                         | 126 (2.2)    | 48 (5.4)   | 45 (1.9)     | 33 (1.3)     |       |
| Unknown                         | 369 (6.4)    | 116 (13.0) | 148 (6.3)    | 105 (4.1)    |       |

\*Continuous variables were expressed as mean  $\pm$  standard deviation.

<sup>†</sup>Categorical variables were expressed as number (percentage).

<sup>‡</sup>*p*-values were calculated by ANOVA for continuous variables and chi-square test for categorical variables.

The routes of tumour detection were classified as recorded in medical records: screen detection (detected by cancer screening as recorded in medical records), clinical detection (detected by symptom associated with thyroid disease, including thyroid cancer), and unspecified (or unknown).

Histologic types of thyroid cancer were classified based on histologic classification of IARC according to the ICD-O-3. Extrathyroidal extension was determined based on the AJCC 6<sup>th</sup> edition.

**Table 2. Estimated age standardized incidence rate\* of thyroid cancer by routes of tumour detection, tumour size and SEER summary stage, 1999-2008**

| Variables                 | Year                       |                        |                        |                            |                        |                        |                            |                        |                        |
|---------------------------|----------------------------|------------------------|------------------------|----------------------------|------------------------|------------------------|----------------------------|------------------------|------------------------|
|                           | 1999                       |                        |                        | 2005                       |                        |                        | 2008                       |                        |                        |
|                           | Routes of tumour detection |                        |                        | Routes of tumour detection |                        |                        | Routes of tumour detection |                        |                        |
|                           | SD                         | CD                     | UNK                    | SD                         | CD                     | UNK                    | SD                         | CD                     | UNK                    |
| <b>Tumour size</b>        |                            |                        |                        |                            |                        |                        |                            |                        |                        |
| <10mm                     | 0.27<br>(0.22 to 0.31)     | 0.49<br>(0.43 to 0.55) | 0.43<br>(0.37 to 0.49) | 4.80<br>(4.63 to 4.97)     | 2.37<br>(2.25 to 2.49) | 1.59<br>(1.49 to 1.69) | 15.00<br>(14.70 to 15.29)  | 4.88<br>(4.71 to 5.05) | 4.00<br>(3.84 to 4.15) |
| 10 - 20mm                 | 0.32<br>(0.27 to 0.37)     | 0.82<br>(0.74 to 0.90) | 0.50<br>(0.44 to 0.56) | 3.39<br>(3.25 to 3.54)     | 2.41<br>(2.28 to 2.54) | 1.00<br>(0.92 to 1.08) | 6.86<br>(6.66 to 7.06)     | 2.85<br>(2.72 to 2.98) | 1.63<br>(1.53 to 1.73) |
| 20 - 30mm                 | 0.13<br>(0.10 to 0.16)     | 0.76<br>(0.68 to 0.83) | 0.30<br>(0.26 to 0.35) | 0.67<br>(0.60 to 0.73)     | 1.09<br>(1.00 to 1.17) | 0.39<br>(0.34 to 0.44) | 0.97<br>(0.90 to 1.05)     | 0.89<br>(0.81 to 0.96) | 0.45<br>(0.40 to 0.50) |
| ≥.50)                     | 0.17<br>(0.13 to 0.21)     | 0.97<br>(0.88 to 1.06) | 0.32<br>(0.27 to 0.37) | 0.32<br>(0.28 to 0.37)     | 1.05<br>(0.97 to 1.13) | 0.32<br>(0.28 to 0.37) | 0.61<br>(0.55 to 0.68)     | 0.97<br>(0.89 to 1.05) | 0.42<br>(0.37 to 0.47) |
| Unspecified               | 0.13<br>(0.10 to 0.16)     | 0.30<br>(0.25 to 0.35) | 0.47<br>(0.41 to 0.53) | 0.21<br>(0.17 to 0.24)     | 0.26<br>(0.21 to 0.30) | 0.59<br>(0.53 to 0.65) | 0.27<br>(0.23 to 0.31)     | 0.18<br>(0.15 to 0.21) | 0.76<br>(0.69 to 0.83) |
| <b>SEER summary stage</b> |                            |                        |                        |                            |                        |                        |                            |                        |                        |



|              |                        |                        |                        |                        |                        |                        |                           |                         |                        |
|--------------|------------------------|------------------------|------------------------|------------------------|------------------------|------------------------|---------------------------|-------------------------|------------------------|
| Localized    | 0.42<br>(0.36 to 0.48) | 1.16<br>(1.07 to 1.25) | 0.56<br>(0.49 to 0.62) | 4.16<br>(4.00 to 4.32) | 2.80<br>(2.66 to 2.93) | 1.19<br>(1.10 to 1.27) | 8.84<br>(8.61 to 9.07)    | 3.76<br>(3.60 to 3.91)  | 1.73<br>(1.63 to 1.83) |
| Regional     | 0.37<br>(0.32 to 0.42) | 1.57<br>(1.46 to 1.68) | 1.04<br>(0.95 to 1.13) | 4.74<br>(4.57 to 4.91) | 3.90<br>(3.74 to 4.06) | 2.01<br>(1.89 to 2.12) | 14.15<br>(13.86 to 14.44) | 5.51<br>(5.33 to 5.70)  | 4.50<br>(4.33 to 4.66) |
| Distant      | 0.09<br>(0.06 to 0.12) | 0.21<br>(0.17 to 0.25) | 0.06<br>(0.03 to 0.08) | 0.17<br>(0.14 to 0.21) | 0.20<br>(0.17 to 0.24) | 0.03<br>(0.02 to 0.04) | 0.17<br>(0.14 to 0.20)    | 0.19<br>(0.16 to 0.23)  | 0.15<br>(0.12 to 0.18) |
| Unknown      | 0.13<br>(0.10 to 0.16) | 0.39<br>(0.33 to 0.45) | 0.37<br>(0.32 to 0.42) | 0.32<br>(0.27 to 0.36) | 0.27<br>(0.23 to 0.31) | 0.67<br>(0.60 to 0.73) | 0.55<br>(0.49 to 0.60)    | 0.30<br>(0.26 to 0.35)  | 0.87<br>(0.80 to 0.95) |
| <b>Total</b> | 1.01<br>(0.89 to 1.13) | 3.34<br>(3.13 to 3.55) | 2.02<br>(1.86 to 2.18) | 9.39<br>(9.07 to 9.71) | 7.17<br>(6.89 to 7.45) | 3.89<br>(3.68 to 4.10) | 23.71<br>(23.22 to 24.20) | 9.76<br>(9.44 to 10.09) | 7.25<br>(6.98 to 7.52) |

SD=Screen detection; CD=Clinical detection; UNK=Unknown

\*Age-standardized incidence rates and their 95% confidence intervals (CIs) was calculated per 100,000 people and Segi's world standard population was used for age-standardization.

Age-standardized incidence rates were calculated as weighted mean of the age-specific rates, which the weights are taken from the proportion of population of Segi's world standard population.

The routes of tumour detection were classified as recorded in medical records: screen detection (detected by cancer screening as recorded in medical records), clinical detection (detected by symptom associated with thyroid disease, including thyroid cancer), and unspecified (or unknown).

Tumor size is classified into <10 mm, 10-20 mm, 20-30 mm, ≥30 mm and unspecified.

SEER summary stage is classified into localized stage, regional stage, distant stage and unspecified stage.

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**Table 3. Estimated age-standardized incidence rate\* of thyroid cancer with regional stage by the degree of extension and lymph node involvement according to the routes of tumour detection, 1999-2008**

| Regional stage         |                          | Year                       |    |     |                            |    |     |                            |    |     |
|------------------------|--------------------------|----------------------------|----|-----|----------------------------|----|-----|----------------------------|----|-----|
|                        |                          | 1999                       |    |     | 2005                       |    |     | 2008                       |    |     |
| Lymph node involvement | Extrathyroidal extension | Routes of tumour detection |    |     | Routes of tumour detection |    |     | Routes of tumour detection |    |     |
|                        |                          | SD                         | CD | UNK | SD                         | CD | UNK | SD                         | CD | UNK |
|                        |                          |                            |    |     |                            |    |     |                            |    |     |

|              |                   |                        |                        |                        |                        |                        |                        |                           |                        |                        |
|--------------|-------------------|------------------------|------------------------|------------------------|------------------------|------------------------|------------------------|---------------------------|------------------------|------------------------|
| No           | Minimal extension | 0.09<br>(0.05 to 0.12) | 0.44<br>(0.36 to 0.52) | 0.38<br>(0.30 to 0.45) | 1.76<br>(1.62 to 1.90) | 1.31<br>(1.19 to 1.43) | 0.75<br>(0.66 to 0.84) | 5.53<br>(5.30 to 5.77)    | 2.18<br>(2.03 to 2.33) | 1.56<br>(1.43 to 1.69) |
|              | Gross extension   | 0.01<br>(0.00 to 0.02) | 0.03<br>(0.01 to 0.05) | 0.01<br>(0.00 to 0.01) | 0.11<br>(0.08 to 0.15) | 0.10<br>(0.07 to 0.13) | 0.00<br>(0.00 to 0.00) | 0.05<br>(0.03 to 0.08)    | 0.02<br>(0.01 to 0.04) | 0.02<br>(0.01 to 0.04) |
|              | <b>Subtotal†</b>  | 0.10<br>(0.06 to 0.13) | 0.47<br>(0.39 to 0.55) | 0.38<br>(0.31 to 0.45) | 1.87<br>(1.73 to 2.01) | 1.41<br>(1.28 to 1.53) | 0.75<br>(0.66 to 0.84) | 5.59<br>(5.35 to 5.82)    | 2.20<br>(2.05 to 2.35) | 1.58<br>(1.46 to 1.71) |
| Yes          | None              | 0.13<br>(0.09 to 0.18) | 0.52<br>(0.43 to 0.60) | 0.16<br>(0.11 to 0.20) | 1.33<br>(1.21 to 1.45) | 0.89<br>(0.79 to 0.99) | 0.46<br>(0.39 to 0.53) | 3.05<br>(2.87 to 3.23)    | 1.14<br>(1.02 to 1.25) | 0.89<br>(0.80 to 0.99) |
|              | Minimal extension | 0.13<br>(0.09 to 0.17) | 0.53<br>(0.44 to 0.61) | 0.49<br>(0.41 to 0.57) | 1.43<br>(1.30 to 1.55) | 1.46<br>(1.33 to 1.59) | 0.77<br>(0.68 to 0.86) | 5.48<br>(5.24 to 5.72)    | 2.06<br>(1.91 to 2.21) | 2.00<br>(1.86 to 2.15) |
|              | Gross extension   | 0.01<br>(0.00 to 0.02) | 0.05<br>(0.03 to 0.08) | 0.01<br>(0.00 to 0.02) | 0.12<br>(0.08 to 0.15) | 0.14<br>(0.10 to 0.19) | 0.03<br>(0.01 to 0.05) | 0.04<br>(0.02 to 0.05)    | 0.11<br>(0.08 to 0.15) | 0.02<br>(0.00 to 0.03) |
|              | <b>Subtotal†</b>  | 0.27<br>(0.21 to 0.33) | 1.10<br>(0.98 to 1.22) | 0.66<br>(0.57 to 0.75) | 2.87<br>(2.70 to 3.05) | 2.49<br>(2.32 to 2.66) | 1.26<br>(1.14 to 1.38) | 8.56<br>(8.27 to 8.86)    | 3.31<br>(3.12 to 3.50) | 2.91<br>(2.74 to 3.09) |
| <b>Total</b> |                   | 0.37<br>(0.32 to 0.42) | 1.57<br>(1.46 to 1.68) | 1.04<br>(0.95 to 1.13) | 4.74<br>(4.57 to 4.91) | 3.90<br>(3.74 to 4.06) | 2.01<br>(1.89 to 2.12) | 14.15<br>(13.86 to 14.44) | 5.51<br>(5.33 to 5.70) | 4.50<br>(4.33 to 4.66) |

SD=Screen detection; CD=Clinical detection; UNK=Unknown

This table shows the change in age-standardized incidence rate for thyroid cancer by subtype of the regional stage thyroid cancer.

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\*Age-standardized incidence rates and their 95% confidence intervals (CIs) was calculated per 100,000 people and Segi’s world standard population was used for age-standardization.

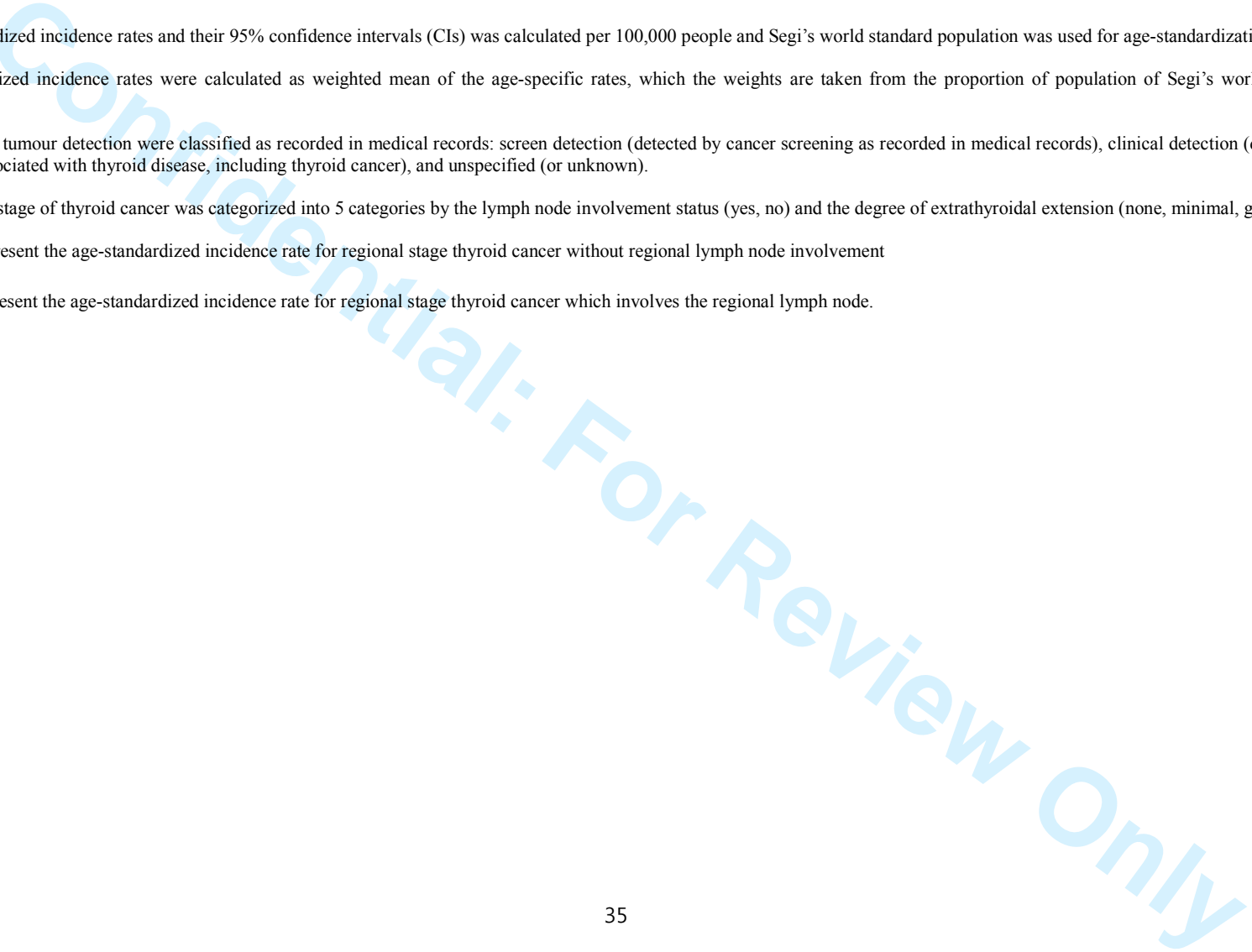
Age-standardized incidence rates were calculated as weighted mean of the age-specific rates, which the weights are taken from the proportion of population of Segi’s world standard population.

The routes of tumour detection were classified as recorded in medical records: screen detection (detected by cancer screening as recorded in medical records), clinical detection (detected by symptom associated with thyroid disease, including thyroid cancer), and unspecified (or unknown).

The regional stage of thyroid cancer was categorized into 5 categories by the lymph node involvement status (yes, no) and the degree of extrathyroidal extension (none, minimal, gross)

†Subtotal represent the age-standardized incidence rate for regional stage thyroid cancer without regional lymph node involvement

‡Subtotal represent the age-standardized incidence rate for regional stage thyroid cancer which involves the regional lymph node.



**Figure legends****Figure 1.** Trends in thyroid cancer incidence and mortality rate between 1999 and 2012

## Footnote:

Two Y-axes were used to describe changes in thyroid cancer incidence and mortality across the years. The first Y-axis on the left side represents age-standardized incidence rate per 100,000 people for thyroid cancer and the second Y-axis on the right side represents age-standardized mortality rate per 100,000 people for thyroid cancer.

The solid blue-colour line indicates the age-standardized incidence rates for thyroid cancer and dashed red-colour line indicates the age-standardized mortality rates for thyroid cancer between 1999 and 2012 in Korea.

The number of thyroid cancer new cases or deaths was used in numerator and mid-year population at each year is used in denominator to calculate the incidence rate.

The age-standardized rates are presented as number of thyroid cancer cases or deaths per 100,000 people using Segi's world standard population as standard population.

**Figure 2.** Box plots showing the change in the tumour size by the diagnostic year according to the detection routes

## Footnote:

Y-axis represents tumour size (mm) in a 10 logarithmic scale.

The box represent the 3<sup>rd</sup> quartile and 1<sup>st</sup> quartile of the tumour size and the median was shown inside the box

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5 The lines, called whiskers, are drawn to span all data points within 1.5 interquartile range (IQR) from the upper and lower quartile and circles represent the  
6 extreme values beyond the bounds of the whisker.  
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10 **Figure 3. (A)**

11 Tumor size is classified into <10 mm, 10-20 mm, 20-30 mm, ≥30 mm and unspecified.

12 The white bars indicate the differences of age-standardized incidence rate of thyroid cancer per 100,000 people between 1999 and 2008.

13 The gray bars indicate the differences of age-standardized incidence rate of thyroid cancer per 100,000 people between 2005 and 2008.

14 Error bars represent the 95% confidence intervals of the differences of age-standardized incidence rate between the study period.  
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23 **Figure 3. (B)**

24 SEER summary stage is classified into localized stage, regional stage, distant stage and unspecified stage.

25 The white bars indicate the differences of age-standardized incidence rate of thyroid cancer per 100,000 people between 1999 and 2008.

26 The gray bars indicate the differences of age-standardized incidence rate of thyroid cancer per 100,000 people between 2005 and 2008.

27 Error bars represent the 95% confidence intervals of the differences of age-standardized incidence rate between the study period.  
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5 **Figure 4.** Absolute change over time in incidence rate of regional stage thyroid cancer by degree of extension and lymph node involvement  
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7 according to the detection routes  
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10 Footnote:

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12 This graph presented the absolute differences of age-standardized incidence rate of regional stage thyroid cancer per 100,000 people by the  
13 lymph node involvement status (yes, no) and the degree of extrathyroidal extension (none, minimal, gross) according to the detection  
14 routes.  
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16 The number of thyroid cancer new cases was used in numerator and mid-year population at each year is used in denominator to calculate the incidence rate.

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18 The age-standardized rates are presented as number of thyroid cancer cases or deaths per 100,000 people using Segi's world standard population as  
19 standard population.  
20

21 The route of tumour detection was classified as recorded in medical records: screen detection (detected by cancer screening as recorded in  
22 medical records), clinical detection (detected by symptom associated with thyroid disease, including thyroid cancer), and unspecified (or  
23 unknown).  
24

25 Regional stage is classified into none extrathyroid extension with lymph node involvement, minimal extrathyroid extension with lymph node  
26 involvement, gross extrathyroid extension with lymph node involvement, minimal extrathyroid extension without lymph node  
27 involvement, gross extrathyroid extension without lymph node involvement.  
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29 The white bars indicate the differences of age-standardized incidence rate of thyroid cancer per 100,000 people between 1999 and 2008.

30 The gray bars indicate the differences of age-standardized incidence rate of thyroid cancer per 100,000 people between 2005 and 2008.

31 Error bars represent the 95% confidence intervals of the differences of age-standardized incidence rate between the study period.  
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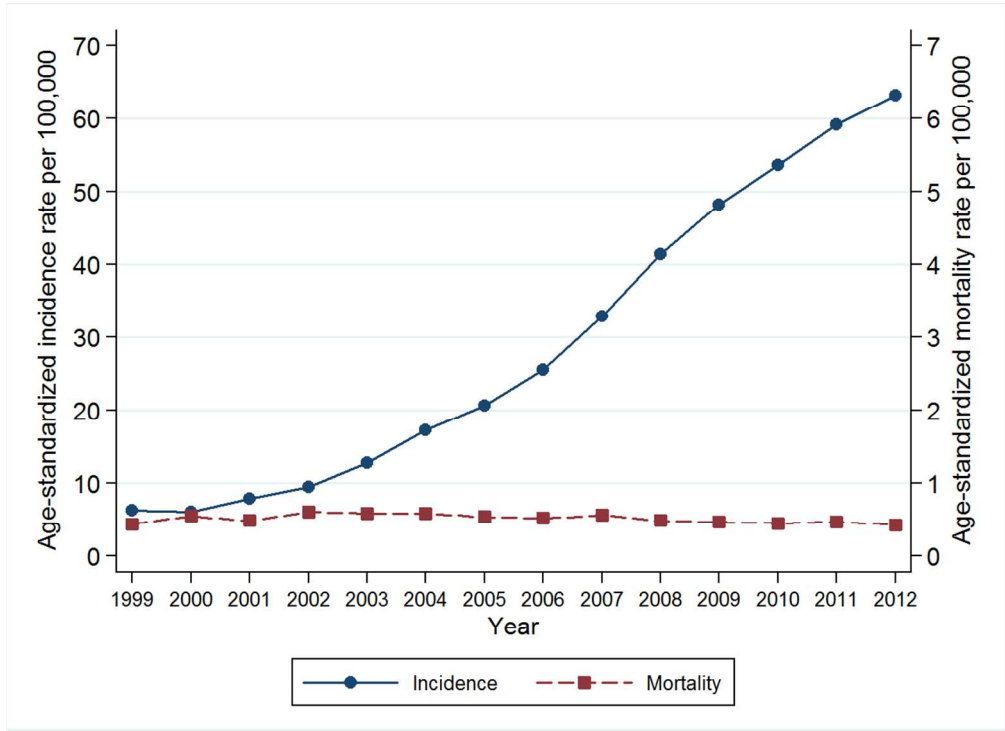


Figure 1. Trends in thyroid cancer incidence and mortality rate between 1999 and 2012

454x330mm (72 x 72 DPI)

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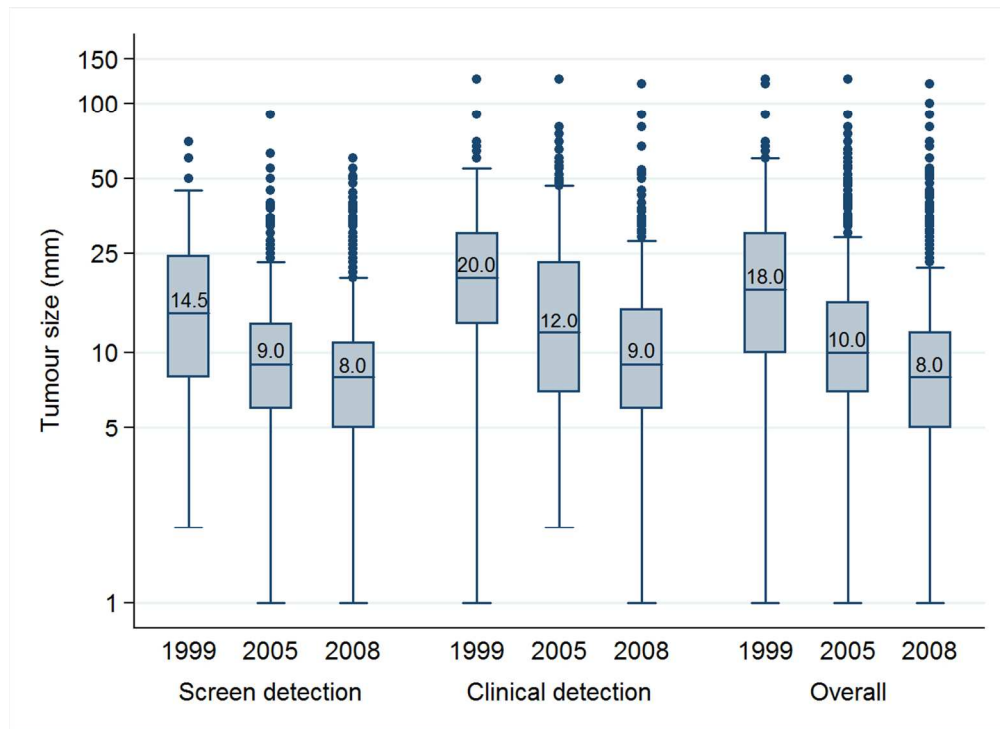


Figure 2. Box plots showing the change in the tumour size by the diagnostic year according to the detection routes

454x330mm (72 x 72 DPI)

Review Only

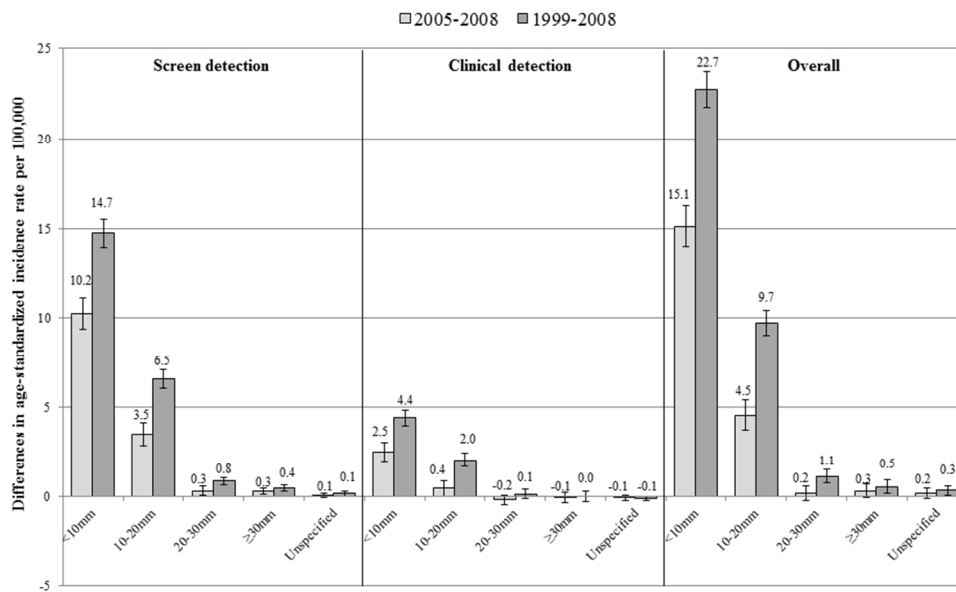


Figure 3. Absolute change over time in thyroid cancer incidence according to the detection routes (A) by tumor size

254x190mm (96 x 96 DPI)

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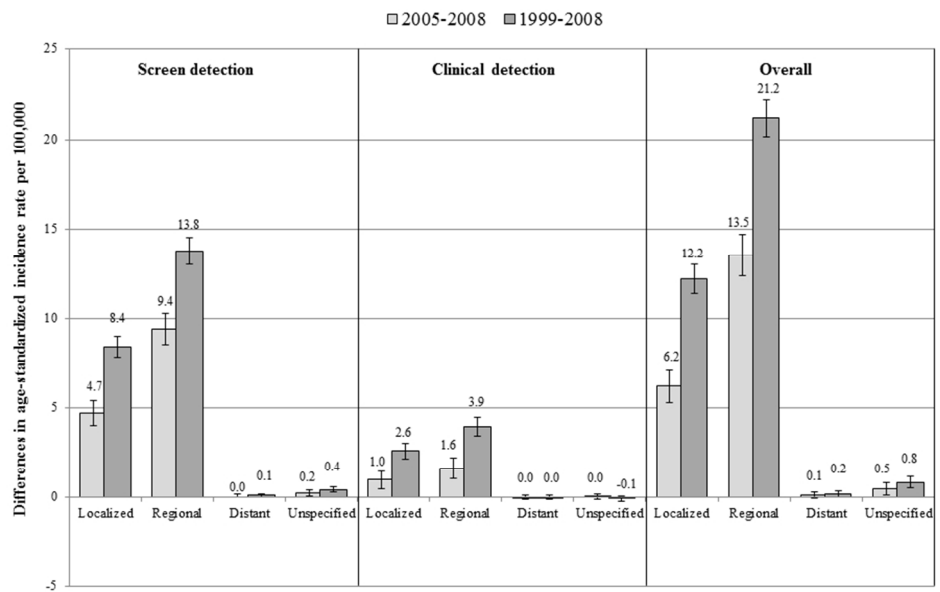


Figure 3. Absolute change over time in thyroid cancer incidence according to the detection routes (B) by SEER summary stage

254x190mm (96 x 96 DPI)

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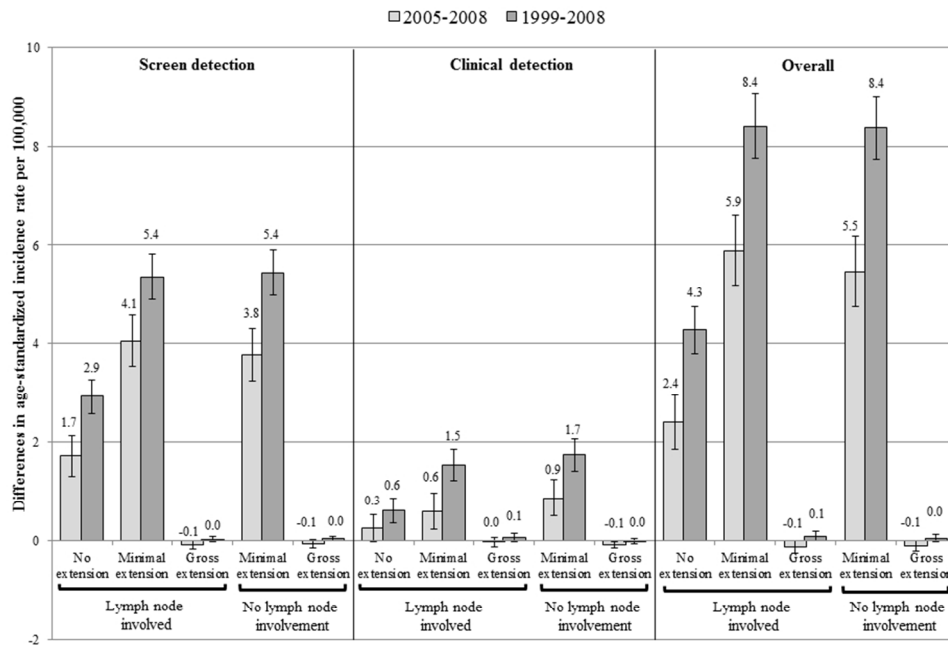


Figure 4. Absolute change over time in incidence rate of regional stage thyroid cancer by degree of extension and lymph node involvement according to the detection routes

254x190mm (96 x 96 DPI)

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**Supplementary table 1. Comparison between estimated mean age and sex distribution of thyroid cancer patients and mean age and sex distribution of thyroid cancer patients**

|            | Year               |              |                    |               |                    |               |
|------------|--------------------|--------------|--------------------|---------------|--------------------|---------------|
|            | 1999               |              | 2005               |               | 2008               |               |
|            | NEST data          | KNCI DB      | NEST data          | KNCI DB       | NEST data          | KNCI DB       |
| Age (year) | 46.3 (44.8 – 47.9) | 46.6 ± 15.2  | 47.3 (46.7 – 47.9) | 47.2 ± 12.8   | 47.0 (46.0 – 47.9) | 47.6 ± 12.1   |
| Sex        |                    |              |                    |               |                    |               |
| Men        | 136 (15.8)         | 521 (15.6)   | 328 (13.6)         | 1,779 (14.0)  | 434 (17.2)         | 4,336 (15.9)  |
| Women      | 755 (84.2)         | 2,823 (84.4) | 2,027 (86.4)       | 10,975 (86.1) | 2,116 (82.8)       | 22,905 (84.1) |

NEST data = National Epidemiologic Survey of Thyroid cancer; KNCI DB= Korea National Cancer Incidence Database

Mean ages and proportion of the sex from NEST data were estimated considering the weights and sample design.

Ages for NEST data were expressed as means and their 95% confidence intervals and ages for KNCI DB were expressed as means ± standard deviations.

Sexes for NEST data and KNCI DB were expressed as numbers (percentages).

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**Supplementary table 2. Comparison between estimated age-standardized incidence rate of thyroid cancer and true age-standardized incidence rate of thyroid cancer**

|       | Year                                 |                               |                                      |                               |                                      |                               |
|-------|--------------------------------------|-------------------------------|--------------------------------------|-------------------------------|--------------------------------------|-------------------------------|
|       | 1999                                 |                               | 2005                                 |                               | 2008                                 |                               |
|       | Estimated incidence rate (NEST data) | Real incidence rate (KNCI DB) | Estimated incidence rate (NEST data) | Real incidence rate (KNCI DB) | Estimated incidence rate (NEST data) | Real incidence rate (KNCI DB) |
| Total | 6.37 (6.15 – 6.59)                   | 6.3                           | 20.45 (20.09 – 20.81)                | 20.7                          | 40.73 (40.23 – 41.22)                | 41.3                          |
| Men   | 2.18 (1.99 – 2.37)                   | 2.1                           | 5.68 (5.41 – 5.96)                   | 5.9                           | 13.97 (13.56 – 14.38)                | 13.3                          |
| Women | 10.48 (10.08 – 10.87)                | 10.4                          | 35.04 (34.38 – 35.71)                | 35.3                          | 67.61 (66.71 – 68.51)                | 69.3                          |

NEST data = National Epidemiologic Survey of Thyroid cancer; KNCI DB= Korea National Cancer Incidence Database

Age-standardized incidence rates and their 95% confidence intervals (CIs) was calculated per 100,000 people and Segi’s world standard population was used for age-standardization  
Age-standardized incidence rates were calculated as weighted mean of the age-specific rates, which the weights are taken from the proportion of population of Segi’s world standard population.

Estimated incidence rates from NEST data were calculated considering the weights and sample design.

**Supplementary table 3. Changes in median tumour size of thyroid cancer according to the routes of tumour detection and, 1999-2008**

| Variables   | 1999                       |                  |     |               |       |                 | 2005                       |             |     |              |       |              | 2008                       |             |     |              |       |             |
|-------------|----------------------------|------------------|-----|---------------|-------|-----------------|----------------------------|-------------|-----|--------------|-------|--------------|----------------------------|-------------|-----|--------------|-------|-------------|
|             | Routes of tumour detection |                  |     |               |       |                 | Routes of tumour detection |             |     |              |       |              | Routes of tumour detection |             |     |              |       |             |
|             | SD                         |                  | CD  |               | Total |                 | SD                         |             | CD  |              | Total |              | SD                         |             | CD  |              | Total |             |
| Tumour size | n                          | Tumour size      | n   | Tumour size   | n     | Tumour size     | n                          | Tumour size | n   | Tumour size  | n     | Tumour size  | n                          | Tumour size | n   | Tumour size  | n     | Tumour size |
| Total       | 120                        | 14.5<br>(8-24.5) | 409 | 20<br>(13-30) | 891   | 18<br>(10-30)   | 1,090                      | 9<br>(6-13) | 773 | 12<br>(7-23) | 2,355 | 10<br>(7-16) | 1,431                      | 8<br>(5-11) | 564 | 9<br>(6-15)  | 2,550 | 8<br>(5-12) |
| Men         | 19                         | 15<br>(10-30)    | 66  | 25<br>(13-35) | 136   | 20.5<br>(12-35) | 163                        | 9<br>(7-15) | 87  | 20<br>(8-40) | 328   | 11<br>(7-21) | 257                        | 9<br>(6-13) | 81  | 10<br>(7-26) | 434   | 9<br>(6-15) |
| Women       | 115                        | 14<br>(8-20)     | 381 | 20<br>(13-30) | 755   | 18<br>(10-30)   | 927                        | 9<br>(6-13) | 686 | 12<br>(7-20) | 2,027 | 10<br>(7-15) | 1,174                      | 8<br>(5-11) | 483 | 8<br>(6-14)  | 2,116 | 8<br>(5-12) |

SD=Screen detection; CD=Clinical detection; LN=Lymph node involvement

Total include cases detected by screen detection, clinical detection and unknown routes of detection

Tumour sizes were expressed as median (interquartile range)

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**Supplementary table 4. proportion of regional lymph node involvement by tumour size according to the routes of tumour detection and, 1999-2008**

| Variables   | 1999                       |              |     |              |       |              | 2005                       |               |     |              |       |               | 2008                       |               |     |              |       |               |
|-------------|----------------------------|--------------|-----|--------------|-------|--------------|----------------------------|---------------|-----|--------------|-------|---------------|----------------------------|---------------|-----|--------------|-------|---------------|
|             | Routes of tumour detection |              |     |              |       |              | Routes of tumour detection |               |     |              |       |               | Routes of tumour detection |               |     |              |       |               |
|             | SD                         |              | CD  |              | Total |              | SD                         |               | CD  |              | Total |               | SD                         |               | CD  |              | Total |               |
| Tumour size | n                          | LN(+)        | n   | LN(+)        | n     | LN(+)        | n                          | LN(+)         | n   | LN(+)        | n     | LN(+)         | n                          | LN(+)         | n   | LN(+)        | n     | LN(+)         |
| < 10mm      | 33                         | 6<br>(18.2)  | 59  | 12<br>(20.3) | 162   | 37<br>(22.8) | 558                        | 120<br>(21.5) | 255 | 68<br>(26.7) | 1,013 | 245<br>(24.2) | 906                        | 262<br>(28.9) | 294 | 56<br>(19.1) | 1,512 | 430<br>(28.4) |
| 10- 20mm    | 43                         | 16<br>(37.2) | 112 | 33<br>(29.5) | 232   | 79<br>(34.1) | 392                        | 168<br>(42.9) | 250 | 95<br>(38.0) | 768   | 310<br>(40.4) | 421                        | 208<br>(49.4) | 159 | 68<br>(42.8) | 707   | 345<br>(48.8) |
| 20-30mm     | 21                         | 10<br>(47.6) | 100 | 45<br>(45.0) | 168   | 82<br>(48.8) | 73                         | 36<br>(49.3)  | 112 | 60<br>(53.6) | 234   | 125<br>(53.4) | 57                         | 30<br>(52.6)  | 48  | 28<br>(58.3) | 138   | 81<br>(58.7)  |
| ≥30mm       | 23                         | 8<br>(34.8)  | 138 | 60<br>(43.5) | 208   | 92<br>(44.2) | 43                         | 22<br>(51.2)  | 128 | 63<br>(49.2) | 212   | 109<br>(51.4) | 32                         | 12<br>(37.5)  | 50  | 29<br>(58.0) | 115   | 65<br>(56.5)  |
| Unspecified | 14                         | 6<br>(42.9)  | 38  | 10<br>(26.3) | 121   | 29<br>(24.0) | 24                         | 3<br>(12.5)   | 28  | 3<br>(10.7)  | 128   | 10<br>(7.8)   | 15                         | 2<br>(13.3)   | 13  | 2<br>(15.4)  | 78    | 8<br>(10.3)   |

SD=Screen detection; CD=Clinical detection; LN=Lymph node involvement

Total include cases detected by screen detection, clinical detection and unknown routes of detection

Lymph node involvements were expressed as numbers (percentages)



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4 **Print abstract**  
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7 **Study question:** Was the increase of thyroid cancer really mostly due to the increase in  
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9 detection of thyroid cancer in Korea?  
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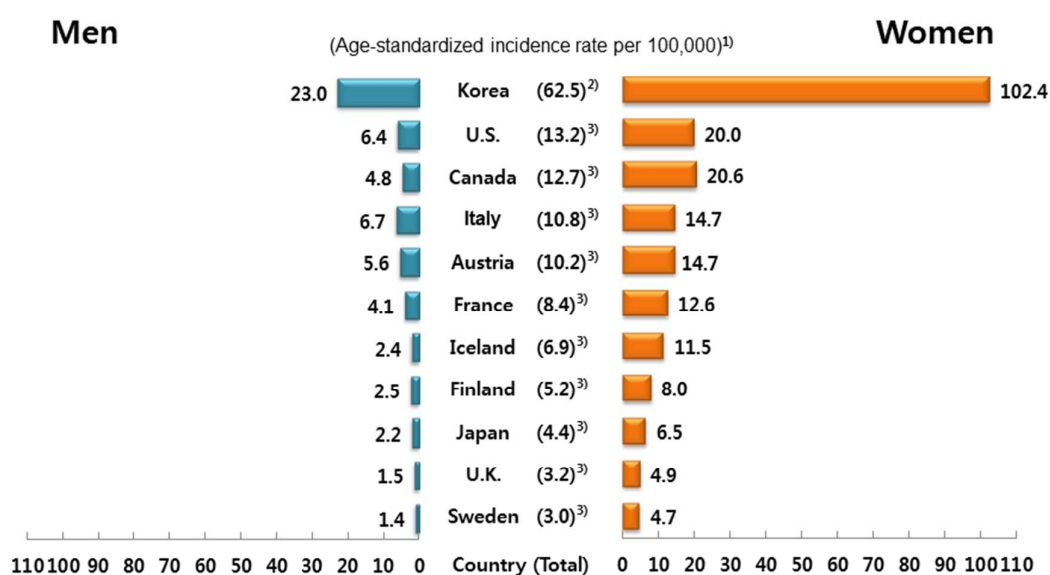
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12 **Method:** Our study was conducted through a retrospective medical chart review. The  
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14 hospitals and thyroid cancer patients were randomly sampled using the national cancer  
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16 registry in Korea. A total of 5,796 thyroid cancer patients were included in this study. In order  
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18 to know the change of thyroid cancer burden, we estimate the difference of age-standardized  
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20 incidence rates between 1999 and 2008 according to the route of tumour detection (screen-  
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22 detection vs. clinical detection)  
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26 **Study answer and limitations:** Overall, 94.4% of the total increase (34.4 per 100,000 people)  
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28 was due to thyroid cancer <20 mm in size (32.3 per 100,000 people), mainly due to screening.  
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30 Even among clinically detected cases, the great majority (99.9%) of the increase (6.4 per  
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32 100,000 people) was due to increased detection of tumours <20 mm in size (6.4 per 100,000  
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34 people). These results showed that the increase in the incidence of thyroid cancer in Korea  
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36 was mainly owing to overdiagnosis that resulted from widespread utilization of sensitive  
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38 imaging tools such as ultrasound. However, our data may have a misclassification bias  
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40 regarding the routes of tumour detection, because of a retrospective chart review in nature  
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47 **What this study adds:** Overdiagnosis is considered to be the most plausible explanation;  
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49 however, some sceptics remain unconvinced. Our study showed clearly that the current  
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51 epidemic of thyroid cancer in Korea is due to an increase in the detection of small-sized  
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53 tumours, mainly due to screening.  
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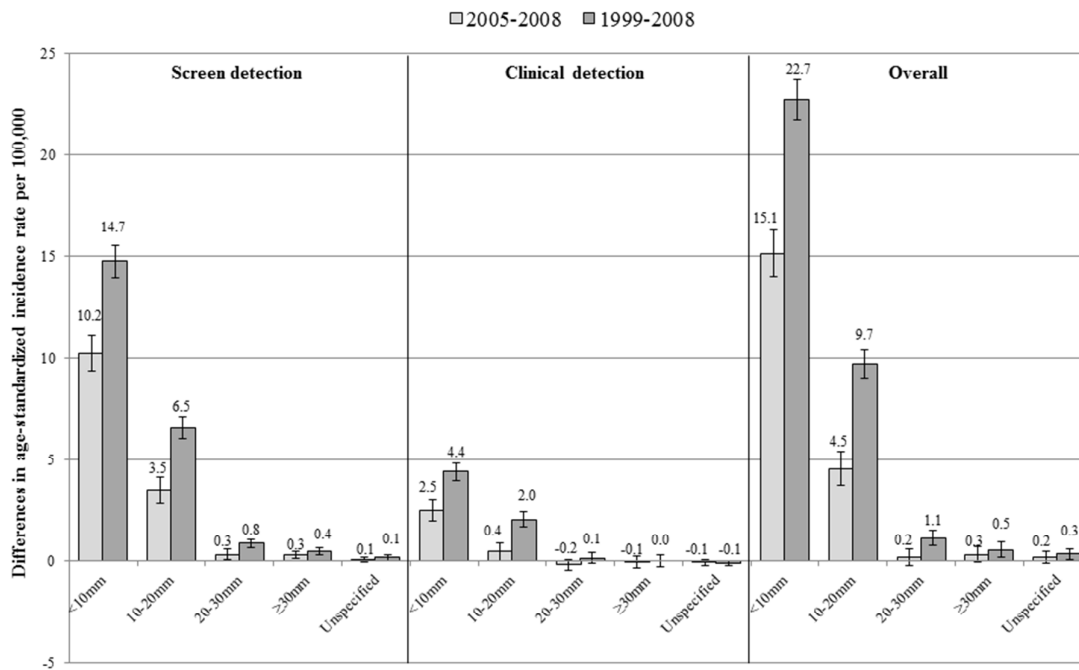
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57 **Funding, competing interests, data sharing:** Our work was supported by a grant from the  
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National Cancer Center in the Republic of Korea (NCC-1310223 and NCC-1032020). All authors have no competing interests. The dataset for NEST study is available to public with open access upon request (Available from: <http://kccrsurvey.cancer.go.kr/index.do>).



- 1) Standard population per 100,000 people was calculated using Segi's world standard population
- 2) Observed incidence rate in year 2012 was obtained from the Korea Central Cancer Registry
- 3) Estimated incidence rate in year 2012 based on the data from GLOBOCAN 2012, IARC, 2013

**Figure 1.** International comparison of thyroid cancer incidence rates for selected countries



**Figure 2.** Absolute change over time in thyroid cancer incidence according to the detection routes by tumour size

Review Only