



**Post-Surgical Prescriptions for Opioid-Naïve Patients and  
the Association with Overdose and Abuse**

Journal:	BMJ
Manuscript ID	BMJ.2017.040635
Article Type:	Research
BMJ Journal:	BMJ
Date Submitted by the Author:	27-Jul-2017
Complete List of Authors:	Brat, Gabriel; Beth Israel Deaconess Medical Center, Surgery; Harvard Medical School, Department of Biomedical Informatics Agniel, Denis; Harvard Medical School, Department of Biomedical Informatics; RAND Corporation, Beam, Andrew; Harvard Medical School, Department of Biomedical Informatics Yorkgitis, Brian; University of Florida Health at Jacksonville Bicket, Mark; Johns Hopkins, Anesthesiology Homer, Mark; Harvard Medical School, Department of Biomedical Informatics Fox, Kathe; Aetna Inc, Informatics Knecht, Dan; Aetna Inc, Informatics McMahill-Walraven, Cheryl; Aetna Inc, Informatics Palmer, Nathan; Harvard Medical School, Department of Biomedical Informatics Kohane, Isaac; Harvard Medical School, Department of Biomedical Informatics
Keywords:	Opioids, Substance Abuse, Surgery

SCHOLARONE™  
Manuscripts

## Post-Surgical Prescriptions for Opioid-Naïve Patients and the Association with Overdose and Abuse

Gabriel A. Brat, MD, MPH<sup>1,2\*</sup>  
Instructor in Surgery

Denis Agniel, PhD<sup>1\*</sup>  
Post-Doctoral Fellow

Andrew Beam, PhD<sup>1</sup>  
Research Scientist

Brian Yorkgitis, DO<sup>3</sup>  
Assistant Professor in Surgery

Mark Bicket, MD<sup>4</sup>  
Assistant Professor in Anesthesia

Mark Homer, PhD<sup>1</sup>  
Post-Doctoral Fellow

Kathe P. Fox, PhD<sup>5</sup>  
Director

Daniel B. Knecht, MD, MBA<sup>5</sup>  
Chief of Staff

Cheryl N. McMahonill-Walraven, MSW, PhD<sup>5</sup>  
Director

Nathan Palmer, PhD<sup>1#</sup>  
Research Scientist

Isaac Kohane, MD, PhD<sup>1#</sup>  
Department Chair

\*Co-First Author, #Co-Senior Author

<sup>1</sup>Department of Biomedical Informatics, Harvard Medical School  
Countway Library, 4<sup>th</sup> floor  
10 Shattuck Street  
Boston, MA 02215

<sup>2</sup>Department of Surgery, Beth Israel Deaconess Medical Center  
Lowry Building, Suite 3A  
110 Francis Street  
Boston, MA 02215

<sup>3</sup>Department of Surgery, University of Florida, Jacksonville  
Division of Acute Care Surgery  
University of Florida College of Medicine-Jacksonville  
655 W. 8<sup>th</sup> Street

1  
2  
3 Jacksonville, FL 32209  
4

5 <sup>4</sup>Department of Anesthesia and Critical Care Medicine, Johns Hopkins University  
6 600 N Wolfe St  
7 Baltimore, MD 21287  
8 bicket@jhmi.edu  
9

10  
11 <sup>5</sup>Department of Analytics and Behavior Change, Aetna Inc.  
12 1425 Union Meeting Road, Suite U21N  
13 Blue Bell, PA 19422  
14

15  
16 Corresponding Author Information:  
17

18 Gabriel Brat  
19 Attn: Elizabeth Sumner  
20 Beth Israel Deaconess Medical Center  
21 Lowry Building, Suite 3A  
22 110 Francis Street  
23 Boston, MA 02215  
24 617-632-9783  
25 gbrat@bidmc.harvard.edu  
26  
27

28 Manuscript word count: 3598  
29 ABSTRACT  
30

31  
32 **Importance:** Rates of non-fatal opioid overdose have risen by more than 50% over 10 years.  
33 Most cases originate from an initial medical prescription. Post-surgical patients are nearly 4  
34 times more likely to receive post-discharge opioids as their non-surgical counterparts. Because  
35 existing guidelines do not adequately address post-discharge dispensation, surgical providers  
36 face a dilemma with each prescription refill.  
37

38  
39 **Objective:** We quantified the effects of varying opioid prescribing patterns after surgery on  
40 overdose or abuse in an opioid-naïve population.  
41

42 **Design:** Retrospective cohort study  
43

44 **Setting:** Surgical claims were extracted from a linked medical and pharmacy administrative  
45 database of 37,651,619 commercially insured patients between 2008 and 2016.  
46  
47

48 **Population:** Opioid-naïve patients undergoing surgery  
49

50 **Interventions:** N/A  
51

52  
53 **Main Outcomes/Measures:** Oral opioid exposure after discharge as defined by refills and total  
54 dosage and duration. The primary outcome was a diagnostic code of opioid dependence, abuse,  
55 or overdose.  
56  
57  
58  
59  
60

1  
2  
3  
4 **Results:** 568,612 (56%) patients received post-operative opioids, and a misuse code was  
5 identified in 5,906 patients (0.6%, 183 per 100,000 person-years). Opioid duration was the  
6 strongest predictor of misuse, with each refill and additional week of opioid exposure associated  
7 with an adjusted increase in the rate of misuse of 51.6% (CI 47.7 to 55.6%,  $p<0.001$ ) and 20.0%  
8 (CI 18.5 to 21.1%,  $p<0.001$ ), respectively.  
9

10  
11 **Conclusions:** The duration of opioid prescription after surgery is more predictive of opioid  
12 misuse than dosage. Each refill dramatically increases the rate of misuse. With the  
13 complementary forces of opioid duration and dose, our analysis quantifies the association of  
14 prescribing choices on opioid misuse and identifies levers for possible impact.  
15

16  
17 **Funding:** No sources of funding.  
18  
19  
20

#### 21 WHAT THIS PAPER ADDS

22 What is already known:

- 23 • Opioid misuse is rising rapidly in the US and internationally.
- 24 • Surgical patients are four times more likely to get opioids at discharge than their  
25 non-surgical counterparts.
- 26 • It is unknown how opioid prescribing habits by clinicians are related to rates of  
27 misuse.

28 What this study adds:

- 29 • Each refill and week of opioid prescription is associated with a large increase in  
30 opioid misuse among opioid-naïve patients.
- 31 • Our data suggests that duration of the prescription rather than dosage is more  
32 strongly associated with ultimate misuse in the early post-surgical period.

## INTRODUCTION

In the last fifteen years, age-adjusted opioid overdose rates have tripled and now rank as the leading cause of unintentional injury-related death.(1, 2) Prescription medications are implicated in the majority of the cases, as rates of opioid prescription quadrupled (3, 4) and were paralleled by rising rates of overdose deaths. Non-fatal overdose events from prescription opioids account for 7-11 times more episodes than fatal overdoses(2, 5) and have similarly risen by more than 50% over 10 years. (6) Most striking is the fact that the majority of these non-fatal overdose episodes take place in patients identified as non-chronic (<90 days) opioid users.

Over-prescription of opioids is thought to be a major contributor,(7) where two thirds of opioid abuse can be attributed to opioids obtained through a single physician.(2) Surgeons play a large role in this epidemic by serving as a gateway to overprescribing medications that drive opioid diversion and addiction. (8) Surgical patients are nearly 4 times more likely to get post-discharge opioids as their non-surgical counterparts. Orthopedic surgeons alone were responsible for 7.7% of all opioid prescriptions in 2009.(9, 10) Despite these numbers, surgeons have yet to find the right balance of opioid prescriptions: between 3 and 10% of opioid-naïve patients become chronic users, while emerging research suggests that the remaining group of patients leave as many as 80% of all prescribed pills unused.(11)

The lack of guidance around post-surgical opioid prescribing(12, 13) is partially a result of the fact that little is known about the effect of longer and larger regimens of post-discharge opioids. More directly, we do not know how prescription refills affect long-term likelihood of misusing opioids. Prominent authors have called for study into this question(6, 14, 15) to underpin future

1  
2  
3 guidelines.(16) Furthermore, there is evidence that any post-discharge exposure is a risk factor  
4  
5 for multiple refills(17) In this study, we examine the association between opioid prescription  
6  
7 refills after surgery and overdose or abuse in an opioid-naïve population.  
8  
9

## 10 11 12 METHODS

### 13 14 **Data Source**

15  
16 Surgical patients with medical and pharmacy insurance were drawn from a de-identified  
17  
18 administrative database at Aetna Inc., a commercial managed healthcare company. This database  
19  
20 includes 37,651,619 million members with Aetna health and pharmacy insurance coverage  
21  
22 between 2008 and early 2016. Members were defined by a unique numerical identifier. Data  
23  
24 included all medical claims during the study period.  
25  
26  
27  
28  
29  
30

### 31 32 **Patient Involvement**

33  
34 Patients were not involved in the design of this study. Surgical providers were consulted  
35  
36 extensively during the initial design and will be involved in dissemination of study results.  
37  
38  
39

### 40 41 **Sample Cohort**

42  
43 For this retrospective cohort study, the study cohort consisted of members who underwent  
44  
45 surgery and had at least 6 months of medical and 3 months of pharmacy insurance before  
46  
47 surgery, as well as 90 days of pharmacy and 1 year of medical coverage(15) after surgery. The  
48  
49 index surgery for each member was chosen as the first surgery in the database that met criteria  
50  
51 and after which no further surgery claims were filed for 90 days. Members were followed until  
52  
53 they experienced an opioid-related event or their last month of enrollment in the database.  
54  
55  
56  
57  
58  
59  
60

1  
2  
3  
4  
5  
6 A member was considered opioid naïve and eligible for inclusion if total opioid exposure in the  
7  
8 60 days before surgery was 7 days or less.(18) Post-surgical opioid exposure was measured if the  
9  
10 member filled a prescription for an included opioid within 30 days of discharge. Exposure was  
11  
12 considered concluded when either 30 days elapsed without a filled opioid prescription or a  
13  
14 misuse diagnosis was observed.  
15  
16

17  
18  
19 We excluded patients who had pre-surgical evidence of opioid or other non-specific forms of  
20  
21 misuse in the 6 months prior to surgery (see Supplemental eTable 1 for a list of pre-surgical  
22  
23 exclusion codes). Finally, we excluded a small subset of patients with missing data for any  
24  
25 variable. The protocol and sample derivation is summarized in Supplemental eFigure 1.  
26  
27  
28  
29

### 30 31 **Outcome Measures**

32  
33 Surgical claims were identified by a comprehensive list of Current Procedural Terminology  
34  
35 (CPT) codes associated with inpatient and outpatient surgery and specialty released by the  
36  
37 National Surgical Quality Improvement Program (NSQIP) of the American College of Surgeons  
38  
39 in 2015.(19)  
40  
41  
42  
43

44  
45 The primary outcome was an International Classification of Disease (ICD) diagnosis code of  
46  
47 opioid dependence, abuse, or overdose (see Supplemental eTable 1). Only diagnosis codes  
48  
49 related specifically to prescription opioids were included. This constellation of codes defined a  
50  
51 composite outcome of opioid *misuse*.  
52  
53  
54  
55  
56  
57  
58  
59  
60

## Opioid Use

Opioids were identified in the database as narcotic analgesics or narcotic analgesic combinations by therapeutic category from Cerner's Multum Lexicon Drug Database.(20) Only non-injected drugs associated with the following primary ingredients were used: codeine, hydrocodone, hydromorphone, morphine, oxycodone, oxymorphone, or tramadol. Other less common opioids were excluded for low numbers or association with palliative care or dependence treatment. We determined the morphine milligram equivalent (MME) dosage for each opioid prescription, using standard conversions.(21) In order to decrease the influence of extreme outliers while respecting variation seen in the literature, daily dose was truncated at 350 MME/day.(22) Length of exposure was truncated at 90 plus 1 days, and number of refills was truncated at 5.

## Refill Identification

A medication refill is a physical event with varying lengths. Like the initial prescription, there is no standard refill dosage or duration. Thus, identification of the event is a somewhat artificial threshold marking continuation of the opioid exposure. Because it requires a patient to approach their care provider for further medication, the event is also relevant. In this vein, we chose to identify refills in two ways. First, the number of physical prescriptions filled were counted after the initial exposure. The first post-discharge prescription was counted as the initial exposure and all subsequent prescriptions with less than a 30-day gap between prescriptions were included. Second, we identified total post-discharge exposure by duration and dosage. Post-discharge opioid exposure duration was determined to be the total number of calendar days covered by a prescription for an opioid after discharge from the index surgical procedure. We used a modified cabinet supply methodology outlined by Mosher.(23) We also used a well-described method(24)



1  
2  
3 to consider overlapping prescriptions as part of the same episode and an indication of a  
4 completed previous prescription at a higher dose. Accounting for overlapping prescriptions  
5 consisted of defining exposure as the total days of accumulated prescriptions minus overlap.  
6  
7  
8  
9

10  
11  
12 When a single discharge date was listed on all medical claims associated with the index surgery  
13 date, this was used as the official discharge date. When multiple discharge dates were present,  
14 the last date was used. If no discharge date was associated with any medical claim on the index  
15 surgery date, the surgery date was used as discharge date.  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25

## 26 **Statistical Analysis**

27  
28 We analyzed the time until misuse event over the entire study period. Raw rates of opioid misuse  
29 were computed as total number of misuse events divided by total follow-up time and are reported  
30 as cases per 100,000 person-years (CP100k). Weighted linear regression (WLS) was used for  
31 unadjusted analysis of log-transformed weekly rates of misuse, where each week was weighted  
32 according to sample size. Cox proportional hazards models were used for adjusted analysis of  
33 time until misuse event. Adjusted models included either refills or duration, as well as daily dose  
34 (MME/day), age, sex, state of residence, surgery type by CPT top-level grouping, surgery year,  
35 concurrent benzodiazepine use, and binary indicators of pre-surgical diagnoses potentially  
36 related to misuse. A single surgery might be associated with multiple surgery types, if multiple  
37 CPT codes were assigned.  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60

1  
2  
3 Pre-surgical diagnoses of interest were determined using penalized logistic regression.(25) All  
4  
5 590 ICD codes assigned to at least 0.5% of patients in the 6 months prior to surgery were  
6  
7 included in the model, as well as age, sex, and surgery type. In total, 65 pre-surgical diagnosis  
8  
9 codes were selected (see Supplemental eTable 2).  
10  
11  
12  
13

14  
15 WLS effects are reported as multiplicative percent increases in rate, and Cox effects as  
16  
17 multiplicative percent increases in hazard or equivalently hazard ratios (HRs). Two-sided p-  
18  
19 values and 95% confidence intervals (CIs) are reported throughout. All analyses were conducted  
20  
21 using R 3.2.2 (R Core Team).  
22  
23  
24  
25

26 We performed sensitivity analyses restricted to (i) one year post-surgery and to (ii) members  
27  
28 with no additional surgeries during follow-up to ensure that the effect we observed was driven by  
29  
30 the initial opioid exposure and not downstream unidentified factors. For misuse events within  
31  
32 one year, we used logistic regression to adjust for covariates.  
33  
34  
35  
36  
37

38 We considered two additional sensitivity analyses to detect if structural factors due to changing  
39  
40 trends over time (year of surgery) or geography were influencing our estimates. We considered a  
41  
42 Cox model including an interaction between duration and an indicator for year and another  
43  
44 model including an interaction between duration and state of residence. Further sensitivity  
45  
46 analysis attempted to mimic an unobserved confounder by creating a synthetic binary variable  
47  
48 that was associated with both duration and opioid misuse. A Cox model was fit including this  
49  
50 synthetic confounder to see the degree to which strong unobserved confounding might explain  
51  
52 the observed association.  
53  
54  
55  
56  
57  
58  
59  
60

1  
2  
3  
4  
5 The de-identified data in this study was exempt from Institutional Review Board review as  
6 confirmed by the Harvard Medical School IRB committee.  
7  
8  
9

## 10 11 12 RESULTS

### 13 14 **Cohort Characteristics**

15  
16 The study sample included 1,015,116 members who met study criteria and underwent an index  
17 surgery. Members were followed for a median of 2.67 years. After the index surgery, 568,612  
18 (56%) filled a post-operative opioid prescription. In the subsequent follow-up period, misuse was  
19 identified in 5,906 members (0.6%, 183 CP100k), with 1,857 occurring within one year after  
20 surgery (0.2%).  
21  
22  
23  
24  
25  
26  
27  
28  
29

30  
31 Characteristics of the cohort followed national trends (Table 1). Surgeries were more prevalent  
32 among older age groups, and younger groups had higher rates of opioid usage. The most  
33 common surgery types were those of the musculoskeletal system (367,317 surgeries; 2,448  
34 misuse events; 206 CP100k), digestive system (293,905 surgeries; 1,825 misuse events; 198  
35 CP100k), and integumentary system (106,914 surgeries; 533 misuse events; 161 CP100k). Rates  
36 of misuse by age group followed national patterns with higher rates among younger adult males  
37 (Figure 1A) and increasing rates over the study period (Figure 1B).  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47  
48

49 The study period saw notable changes in opioid prescription characteristics and rates of misuse,  
50 as demonstrated in Table 1. Post-surgical incidence of misuse increased from 183 CP100k  
51 (2009) to 269 CP100k (2014), while opioid prescription fill rates plateaued and began to fall in  
52  
53  
54  
55  
56  
57  
58  
59  
60

1  
2  
3 the later years of the study. Median duration and median dose prescribed remained stable  
4  
5 throughout the study period at about 5 days and 50 MME/day, respectively. These stable  
6  
7 numbers masked a change in opioid prescription characteristics during the study period: fewer  
8  
9 short-course and increased numbers of longer duration prescriptions as well as a trend toward  
10  
11 lower doses by episode (see Figures 2D and 2E). Similar prescribing changes were detected in  
12  
13 virtually all categories (both genders, all adult age groups, all surgery types). See Supplemental  
14  
15 eFigure 2 for further detail.  
16  
17  
18  
19  
20  
21

### 22 **Rates of Misuse by Opioid Exposure**

23  
24 The number of post-discharge prescriptions best predicted eventual misuse. The rate of misuse  
25  
26 more than doubled among those with one refill (86,654 [15.2%]; 293 CP100k) versus those with  
27  
28 no refills (434,273 [76.2%] patients; 145 CP100k). In total, each additional refill increased the  
29  
30 rate of misuse by 70.7% before adjustment and increased the hazard of misuse by 51.6% (CI  
31  
32 47.7-55.6%,  $p < 0.001$ ) after adjusting for covariates.  
33  
34  
35  
36  
37

38 The relationship between number of refills and misuse was further supported by evaluation of the  
39  
40 number of days of opioid exposure post-discharge. In the aggregate, each additional week of  
41  
42 opioid exposure was associated with an average increase in the rate of misuse of 32.9% (CI 26.7-  
43  
44 39.4%,  $p$ -value  $< 0.001$ ,  $R^2=0.92$ , see Figure 2A). Adjusting for covariates, each additional week  
45  
46 of exposure to opioids was associated with a 20.0% increase in hazard (CI 18.5-21.3%,  $p$ -value  $<$   
47  
48 0.001). For both refills and duration, risk of misuse increased sharply at shorter periods and  
49  
50 began to taper at higher levels of exposure ( $>11$  weeks of duration).  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60

1  
2  
3 In comparison to duration of exposure, the dosage prescribed was a weaker predictor of misuse  
4 (Figure 2C), and dose became important only at extended duration (Figure 2E). Each additional  
5  
6 (Figure 2C), and dose became important only at extended duration (Figure 2E). Each additional  
7  
8 10 MME/day were associated with only a 0.8% increase in hazard of misuse (HR 1.008, CI  
9  
10 1.003-1.013, p-value = 0.001). Even high doses (>150 MME/day) were associated with only  
11  
12 mild increases in risk when duration was short (Figure 2E). For example, when duration was less  
13  
14 than 2 weeks in length, similar rates of misuse were found for lower (40-50 MME/day) vs.  
15  
16 higher (100-150 MME/day) opioid dose. Conversely, members receiving greater than 9 weeks of  
17  
18 opioids at a higher dose had dramatically increasing rates of misuse: 476 CP100k (at <20  
19  
20 MME/day, n=422) to 2398 CP100k (at 50-60 MME/day, n=430) to 5689 CP100k (at >150  
21  
22 MME/day, n=237). *For non-chronic opioid users, higher doses of opioids had smaller effects on*  
23  
24 *the rate of misuse than additional weeks of exposure.*  
25  
26  
27  
28  
29  
30

31 Temporal changes in surgical prescribing offer further ecological evidence of this effect. Figure  
32  
33 3A shows that surgeons reduced the mean dosage within their specialty during the study period.  
34  
35 Typical reductions ranged from 3 to 18 MME/day (4 to 24%) over the duration of the study.  
36  
37 While dosage fell, mean duration of exposure during the years of the study remained relatively  
38  
39 stable (Figure 3B). Within this context, post-surgical misuse continued to rise (Figure 1B).  
40  
41 Changes in dosage without similar changes in duration are one more support for duration of  
42  
43 exposure as a principal factor in misuse.  
44  
45  
46  
47  
48

### 49 **Additional Risk Factors of Misuse**

50  
51 After adjusting for covariates, other risk factors (detailed in Supplemental eTable 2), including  
52  
53 benzodiazepines (HR 1.76, CI 1.63-1.91) as well as regimens initiated with hydromorphone (HR  
54  
55  
56  
57  
58  
59  
60

1  
2  
3 1.86, CI 1.44-2.39) and oxycodone (HR 1.33, CI 1.11-1.58) had significant association with  
4  
5 opioid misuse. The adjusted effect of surgery was greatly attenuated after controlling for the  
6  
7 strongly associated pre-surgical diagnoses. Notable pre-surgical diagnoses included bariatric  
8  
9 surgery status (V45.86, HR 2.17, CI 1.75-2.70), tobacco use disorder (305.1, HR 2.16, CI 1.98-  
10  
11 2.37), other chronic pain (338.29, HR 1.99, CI 1.66-2.38), and major depressive disorder (311,  
12  
13 HR 1.60, CI 1.44-1.78).  
14  
15  
16  
17  
18

### 19 **Sensitivity Analyses**

20  
21 As part of a sensitivity analysis, we constructed models that removed potential sequential  
22  
23 confounders. We found no difference in effect, with similar results for misuse events within one  
24  
25 year of surgery (Supplemental eFigure 3) and among patients with no additional surgery during  
26  
27 follow-up (Supplemental eFigure 4).  
28  
29  
30  
31  
32

33 We also verified that the observed association was not affected by geography or biased by  
34  
35 increasing rates of misuse in later years. We compared the association between duration and  
36  
37 misuse over different years (see Figure 3) and at the state level; results were statistically  
38  
39 indistinguishable from a model with aggregated duration effect (likelihood ratio test p-value 0.26  
40  
41 and 0.99, respectively).  
42  
43  
44  
45  
46

47 Finally, we assessed the potential effect of unobserved confounding by generating a synthetic  
48  
49 binary variable strongly associated with both length of exposure (OR 2.7) and misuse (HR 5.0)  
50  
51 and inserted it into the model. Even in the presence of this artificial explanatory confounder,  
52  
53 each week of exposure was still associated with a 13% increase in hazard of misuse.  
54  
55  
56  
57  
58  
59  
60

## DISCUSSION

Physicians struggle to appropriately prescribe and dose post-operative opioids while addressing the very real needs of operative acute pain. (26, 27) This is the first study to quantify the strong relationship between number and duration of refills of prescribed opioid pain medication and subsequent opioid overdose and abuse in the surgical population. We focused on typical surgical patients without previous misuse history or ongoing opioid use. We estimated an adjusted 52% increase in misuse for every refill fulfilled or 20% increase for every week of prescription. This association remained significant in multiple sensitivity analyses and using both Cox models and logistic regression. Further, our data was remarkably consistent with previous literature: the rate of refills(28) and the misuse event rate of 0.2% within one year(29) was similar to that identified in other studies.

These striking numbers build on recent literature about the broad effect of post-discharge prescriptions on subsequent opioid use. Patients who received even one post-discharge prescription were three times more likely to be taking opioids at one year(17). This finding extended across specialties, where surgical and non-surgical patients had similar rates of opioid refills. Irrespective of the direction of causality, our data suggest that patients who require subsequent refills of opioid medications are significantly more likely to have a misuse episode, even years after the index surgery. Our data is consistent with several studies in surgical patients that have shown that early opioid administration after surgery is associated with subsequent long-term usage,(30, 31) a proxy for abuse. Whether driven by the patient's underlying need or

1  
2  
3 the clinician's tendency to prescribe opioids, this relationship further holds when examining  
4  
5 refills as individual weeks of exposure.  
6  
7  
8  
9

10 Furthermore, our adjusted models suggest that the effect of duration is not explained by temporal  
11  
12 changes in physician behavior or patient population. During the later parts of the study period,  
13  
14 surgeons appear to have reduced the number of patients receiving opioids and the number of  
15  
16 patients with short prescriptions (<7 days). They increased rates of longer prescriptions for a  
17  
18 subset of patients (see figure 2D). Despite changing exposure groups and behaviors over time,  
19  
20 the stable relationship between duration and misuse in each year of the study (Figure 3) is  
21  
22 suggestive of an independent effect.  
23  
24  
25  
26  
27

28 A second finding was that duration of treatment rather than dosage of equivalent opioids was  
29  
30 more strongly associated with subsequent misuse for acute post-discharge prescriptions. This  
31  
32 builds on Miller's and Edlund's(31, 32) finding of the importance of prescription duration. Each  
33  
34 week of opioid exposure was associated with a 20% increase in misuse; short-term dosage  
35  
36 carried a small (~10%) incremental impact per 100 MME on misuse and became noteworthy  
37  
38 only at longer durations of administration. While this seems discordant with other studies that  
39  
40 have found 2-9 fold increases in the rates of abuse or overdose for doses >100 MME/day,(5, 7,  
41  
42 22, 31) our work differs from previous studies—which focused on chronic users—by examining  
43  
44 a general surgical population who typically receive fewer than 2 weeks of opioids. Patients with  
45  
46 chronic opioid usage may exhibit different misuse risk profiles.  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60



1  
2  
3 Our data suggests that opioid-naïve patients who receive low to moderately high doses of pain  
4 medications for short durations have small associated increases in their overall rates of misuse.  
5  
6 Many studies have shown that pain is often poorly managed after surgery. (33–37) Higher doses  
7  
8 (to a point) may better saturate mu receptors, while under treatment of acute pain increases the  
9  
10 risk of pseudo-addiction, chronic pain, and, potentially, overdose.(38, 39) These findings suggest  
11  
12 a more nuanced understanding of the relationship between duration and dosage with a focus on  
13  
14 early appropriate treatment of pain (including higher doses) for a limited time. Such findings  
15  
16 suggest that optimal post-operative prescribing, which maximizes analgesia and minimizes the  
17  
18 risk of misuse, may be achieved with moderate to high opioid dosages at shorter durations, a  
19  
20 combination that merits further investigation in population based and clinical studies.(39)  
21  
22  
23  
24  
25  
26  
27

### 28 **Limitations**

29  
30 We recognize that administrative data has inherent biases that may affect our results. First, our  
31  
32 dataset fails to exclude patients with undocumented pre-surgical abuse or opioid usage.  
33  
34 Similarly, we may not detect post-operative misuse in members who leave the cohort because  
35  
36 they lose or change coverage. Miscoding claims is possible but less likely as coding of opioid  
37  
38 abuse has been found to be accurate 85% of the time. (40) Alternatively, increased recognition of  
39  
40 the problem of opioid misuse may lead to overcoding in later years or undercoding in earlier  
41  
42 years. This could be one explanation for the rising rates of misuse observed in later years, but  
43  
44 recent national studies by other authors have also shown similar trends.(1) Finally, measurement  
45  
46 of opioid exposure is complicated by the possibility that patients might fill a prescription and  
47  
48 modify the course or dosing of the drug.(41) Our cabinet method of measuring exposure  
49  
50 attempts to conservatively overestimate usage.  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60

1  
2  
3  
4  
5  
6 As for the problem of confounding, we controlled for disease burden by adjusting for surgery  
7  
8 type and examined the full space of pre-surgical diagnosis codes, but these are, at best, partial  
9  
10 measures of disease state at the time of surgery. Notably, we are unable to control for the extent  
11  
12 of pain or the vagaries of surgical techniques. In the presence of uncontrolled confounding, we  
13  
14 cannot be certain of the magnitude of the effect that we see. Those patients with higher  
15  
16 likelihood for developing misuse may be requesting augmented treatment.(42) The consistency  
17  
18 of our findings, despite extensive sensitivity analyses, suggest there may yet be a causal  
19  
20 component to our analysis. This is further supported by evidence tying a majority of patients who  
21  
22 present to addiction centers to an initial prescribed opioid for pain.(43)  
23  
24  
25  
26  
27

28 As a final point, the generalizability of this study is limited to insured adults in the US, as several  
29  
30 studies have shown increased rates of misuse in Medicaid, Medicare, and veteran populations.  
31  
32  
33 (23, 44, 45)  
34  
35  
36  
37

## 38 CONCLUSION

39  
40 In this study, we quantified the strong association between short-term post-surgical refills and  
41  
42 ultimate misuse. A single refill increased the potential of misuse by more than 50%, and the  
43  
44 duration of exposure appeared to be the most prominent predictor of misuse. Our findings are  
45  
46 significant as they offer a potential lever for intervention and behavior change after surgery.  
47  
48  
49 Given that surgical and non-surgical patients receive similar numbers of refills, these findings  
50  
51 have the potential to extend beyond surgery. Surgeons and non-surgeons are changing their  
52  
53 opioid prescription characteristics, but rates of abuse continue to rise. They are trapped between  
54  
55  
56  
57  
58  
59  
60

1  
2  
3 guidelines that recommend shorter duration and smaller dosing of opioid medication and a subset  
4  
5 of patients who request or require opioids beyond the initial prescription. With these seemingly  
6  
7 conflicting forces at play, our analysis provides a broad evidentiary framework to inform  
8  
9 clinician behavior and promote protocol development. Further research of this relationship is  
10  
11 needed to determine how initial treatment regimens can minimize abuse and addiction.  
12  
13  
14  
15

## 16 **AUTHORSHIP**

17  
18 GB, DA, and MB designed the study. CMW, DK, and KF contributed data assets. GB and DA  
19  
20 wrote the manuscript. GA, DA, AB, and NP performed the analysis. MH contributed critical  
21  
22 analytical tools for the analysis. CMW, DK, KF, MB, IK, and BY contributed citations,  
23  
24 evaluated and edited the manuscript.

25  
26 *The lead author (the manuscript's guarantor) affirms that the manuscript is an honest, accurate,  
27  
28 and transparent account of the study being reported; that no important aspects of the study have  
29  
30 been omitted; and that any discrepancies from the study as planned (and, if relevant, registered)  
31  
32 have been explained.*

33  
34 *The Corresponding Author has the right to grant on behalf of all authors and does grant on  
35  
36 behalf of all authors, an exclusive license (or non exclusive for government employees) on a  
37  
38 worldwide basis to the BMJ Publishing Group Ltd to permit this article (if accepted) to be  
39  
40 published in BMJ editions and any other BMJ PGL products and sublicenses such use and exploit  
41  
42 all subsidiary rights, as set out in our license."*

43  
44 *All authors have completed the [Unified Competing Interest form](#) (available on request from the  
45  
46 corresponding author) and declare: no support from any organization for the submitted work [or  
47  
48 describe if any]; no financial relationships with any organizations that might have an interest in  
49  
50 the submitted work in the previous three years, no other relationships or activities that could  
51  
52 appear to have influenced the submitted work.*

## 53 **FUNDING**

54  
55 There is no declared funding for this study. We gratefully thank Aetna for donating this dataset.  
56  
57 Mark Bicket was supported by the National Institute of General Medical Sciences of the  
58  
59 National Institutes of Health under award number T32GM075774.  
60

## 61 **DECLARATION OF INTERESTS**

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28  
29  
30  
31  
32  
33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60

Drs. Brat, Agniel, Beam, Yorkgitis, Homer, Bicket, Knecht, Walraven, Fox, Palmer, and Kohane have nothing to disclose.

Confidential: For Review Only

## Citations

1. R. A. Rudd, N. Aleshire, J. E. Zibbell, R. M. Gladden, Increases in Drug and Opioid Overdose Deaths — United States , 2000 – 2014. *MMWR Morb Mortal Wkly Rep.* **64**, 1378–1382 (2016).
2. M. J. Elzey, S. M. Barden, E. S. Edwards, Patient Characteristics and Outcomes in Unintentional, Non-fatal Prescription Opioid Overdoses: A Systematic Review. *Pain Physician.* **19**, 215–28 (2016).
3. L. J. Paulozzi, G. K. Strickler, P. W. Kreiner, C. M. Koris, Controlled Substance Prescribing Patterns — Prescription Behavior Surveillance System, Eight States, 2013. *MMWR. Surveill. Summ.* **64**, 1–14 (2015).
4. R. R. Paulozzi LJ, Jones C, Mack K, Vital signs: overdoses of prescription opioid pain relievers---United States, 1999--2008. *MMWR. Morb. Mortal. Wkly. Rep.* **60**, 1487–92 (2011).
5. K. M. Dunn *et al.*, Overdose and prescribed opioids : Associations among chronic non-cancer pain patients. *Ann. Intern. Med.* **152**, 85–92 (2010).
6. J. F. Waljee *et al.*, The Use of Opioid Analgesics following Common Upper Extremity Surgical Procedures. *Plast. Reconstr. Surg.* **137**, 355e–364e (2016).
7. A. S. B. Bohnert *et al.*, Association between opioid prescribing patterns and opioid overdose-related deaths. *JAMA.* **305**, 1315–21 (2011).
8. J. F. Waljee, L. Li, C. M. Brummett, M. J. Englesbe, Iatrogenic Opioid Dependence in the United States: Are Surgeons the Gatekeepers? *Ann. Surg.* **265**, 728–730 (2017).
9. N. D. Volkow, T. A. McLellan, J. H. Cotto, M. Karithanom, S. R. B. Weiss, Characteristics of opioid prescriptions in 2009. *JAMA.* **305**, 1299–301 (2011).
10. M. E. Menendez, D. Ring, B. T. Bateman, Preoperative Opioid Misuse is Associated With Increased Morbidity and Mortality After Elective Orthopaedic Surgery. *Clin. Orthop. Relat. Res.*, 2402–2412 (2015).
11. M. V. Hill, R. S. Stucke, M. L. McMahon, J. L. Beeman, R. J. Barth, An Educational Intervention Decreases Opioid Prescribing After General Surgical Operations. *Ann. Surg.* **XX**, 1 (2017).
12. R. G. Wilkerson, H. K. Kim, T. A. Windsor, D. P. Mareiniss, The Opioid Epidemic in the United States. *Emerg. Med. Clin. North Am.* **34**, e1–e23 (2016).
13. T. R. Frieden, D. Houry, Reducing the Risks of Relief — The CDC Opioid-Prescribing Guideline. *N. Engl. J. Med.* **374**, 1501–1504 (2016).
14. B. P. Waxman, Medicine in small doses. *ANZ J. Surg.* **85**, 210–211 (2015).
15. H. Clarke, N. Soneji, D. T. Ko, L. Yun, D. N. Wijeyesundera, Rates and risk factors for prolonged opioid use after major surgery: population based cohort study. *BMJ.* **348**, g1251 (2014).
16. M. D. Sullivan, What are we treating with opioid and sedative-hypnotic combination therapy? *Pharmacoepidemiol. Drug Saf.* **24**, 893–895 (2015).
17. S. L. Calcaterra *et al.*, Opioid Prescribing at Hospital Discharge Contributes to Chronic Opioid Use. *J. Gen. Intern. Med.*, 38–43 (2015).

18. A. B. Jena, D. Goldman, P. Karaca-Mandic, Hospital Prescribing of Opioids to Medicare Beneficiaries. *JAMA Intern. Med.* **2115**, 1–8 (2016).
19. ACS, ACS National Surgical Quality Improvement Program (2015), (available at <https://www.facs.org/quality-programs/acs-nsqip>).
20. Cerner Corporation, Multum Lexicon (2016), (available at <http://www.multum.com/Lexicon.htm>).
21. C. for M. and Medicaid, Opioid Morphine Equivalent Conversion Factors (2014), p. 1.
22. T. Gomes, M. M. Mamdani, I. A. Dhalla, J. M. Paterson, D. N. Juurlink, Opioid Dose and Drug-Related Mortality in Patients With Nonmalignant Pain. *Arch. Intern. Med.* **171**, 686–691 (2011).
23. H. J. Mosher, K. K. Richardson, B. C. Lund, The 1-Year Treatment Course of New Opioid Recipients in Veterans Health Administration. *Pain Med.*, 1282–1291 (2016).
24. N. Dasgupta *et al.*, Cohort Study of the Impact of High-Dose Opioid Analgesics on Overdose Mortality. *Pain Med.* **17**, 85–98 (2016).
25. R. Tibshirani, Regression Shrinkage and Selection via the Lasso. *J. R. Stat. Soc. Series B* (**267–288** (1996)).
26. B. T. Bateman, N. K. Choudhry, Limiting the Duration of Opioid Prescriptions: Balancing Excessive Prescribing and the Effective Treatment of Pain. *JAMA Intern. Med.* **176**, 583–584 (2016).
27. H. Wunsch, D. N. Wijeyesundera, M. A. Passarella, M. D. Neuman, Opioids Prescribed After Low-Risk Surgical Procedures in the United States, 2004-2012. *JAMA.* **315**, 1654 (2016).
28. N. A. Bedard *et al.*, Opioid Use After Total Knee Arthroplasty: Trends and Risk Factors for Prolonged Use. *J. Arthroplasty* (2017), doi:10.1016/j.arth.2017.03.014.
29. R. A. Deyo *et al.*, Association Between Initial Opioid Prescribing Patterns and Subsequent Long-Term Use Among Opioid-Naïve Patients: A Statewide Retrospective Cohort Study. *J. Gen. Intern. Med.* **32**, 21–27 (2017).
30. E. C. Sun, B. Darnall, L. C. Baker, S. Mackey, Incidence of and Risk Factors for Chronic Opioid Use Among Opioid-Naïve Patients in the Postoperative Period. *JAMA Intern. Med.* **94305**, 1–8 (2016).
31. M. Miller *et al.*, Prescription opioid duration of action and the risk of unintentional overdose among patients receiving opioid therapy. *JAMA Intern. Med.* **175**, 608–615 (2015).
32. M. J. Edlund *et al.*, The role of opioid prescription in incident opioid abuse and dependence among individuals with chronic noncancer pain: the role of opioid prescription. *Clin. J. Pain.* **30**, 557–64 (2014).
33. K. S. Ladha *et al.*, Variations in the Use of Perioperative Multimodal Analgesic Therapy. *Anesthesiology.* **124**, 837–45 (2016).
34. S. a Strassels, C. Chen, D. B. Carr, Postoperative analgesia: economics, resource use, and patient satisfaction in an urban teaching hospital. *Anesth. Analg.* **94**, 130–137, table of contents (2002).
35. R. S. Morrison *et al.*, The impact of post-operative pain on outcomes following hip fracture. *Pain.* **103**, 303–11 (2003).
36. H. Kehlet, T. S. Jensen, C. J. Woolf, Persistent postsurgical pain: risk factors and

- 1  
2  
3 prevention. *Lancet*. **367**, 1618–1625 (2006).
- 4 37. E. Lenguerrand *et al.*, Trajectories of pain and function after primary hip and knee  
5 arthroplasty: The adapt cohort study. *PLoS One*. **11**, 1–16 (2016).
- 6 38. C. L. Wu, S. N. Raja, Treatment of acute postoperative pain. *Lancet*. **377**, 2215–2225  
7 (2011).
- 8 39. N. D. Volkow, A. T. McLellan, Opioid Abuse in Chronic Pain - Misconceptions and  
9 Mitigation Strategies. *N. Engl. J. Med*. **374**, 1253–1263 (2016).
- 10 40. D. McCarty *et al.*, in *Presentation to FDA* (2014);  
11 <http://www.fda.gov/downloads/Drugs/NewsEvents/UCM398787.pdf>.
- 12 41. M. V. Hill, M. L. McMahon, R. S. Stucke, R. J. Barth, Wide Variation and Excessive Dosage  
13 of Opioid Prescriptions for Common General Surgical Procedures. *Ann. Surg.* **XX**, 1–6  
14 (2016).
- 15 42. M. J. Edlund *et al.*, Risks for opioid abuse and dependence among recipients of chronic  
16 opioid therapy: Results from the TROUP Study. *Drug Alcohol Depend.* **112**, 90–98 (2010).
- 17 43. S. D. Passik, L. Hays, N. Eisner, K. L. Kirsh, Psychiatric and pain characteristics of  
18 prescription drug abusers entering drug rehabilitation. *J. Pain Palliat. Care Pharmacother.*  
19 **20**, 5–13 (2006).
- 20 44. M. V. Ronan, S. J. Herzig, Hospitalizations Related To Opioid Abuse/Dependence And  
21 Associated Serious Infections Increased Sharply, 2002-12. *Health Aff.* **35**, 832–837 (2016).
- 22 45. T. Ciesielski *et al.*, A Tool to Assess Risk of De Novo Opioid Abuse or Dependence. *Am. J.*  
23 *Med.* **129**, 699–705.e4 (2016).
- 24  
25  
26  
27  
28  
29  
30  
31  
32  
33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60

# Tables and Figure Legends

## Tables and Figures

Table 1: Demographic and Unadjusted Data

Figure 1: Age and Gender Breakdown

Figure 2: Misuse by Dose and Duration

Figure 3: Temporal Effects and Sensitivity Analysis

## Supplemental Material

eTable1: Table of ICD9 Codes of Pre-Operative Misuse and Post-Operative Misuse

eTable2: All Events Cox Model

eFigure1: CONSORT Flow Diagram

eFigure2: Prescribing Changes by Gender, Group, Surgery Category

eFigure3: Sensitivity Analysis with One-Year Events and No Intervening Surgery



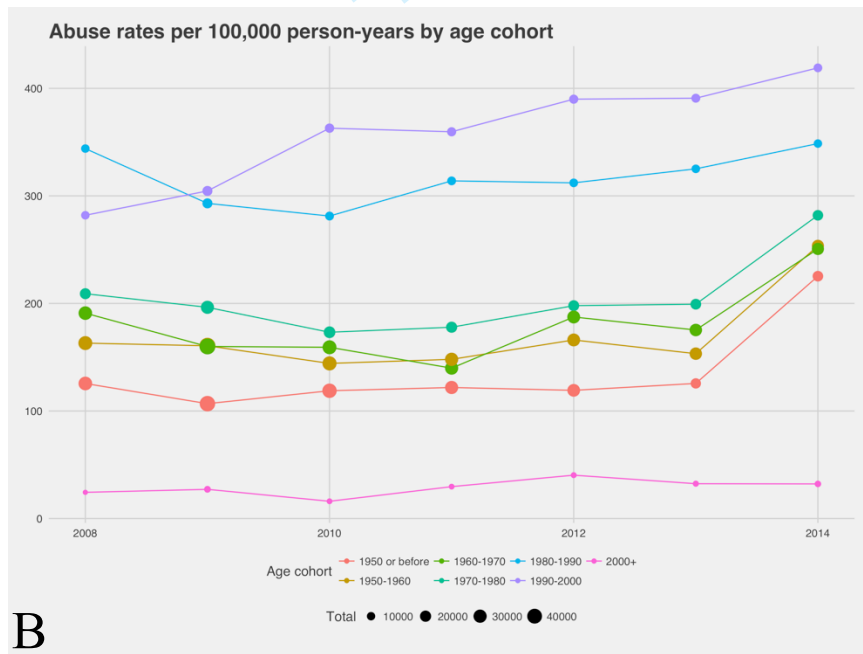
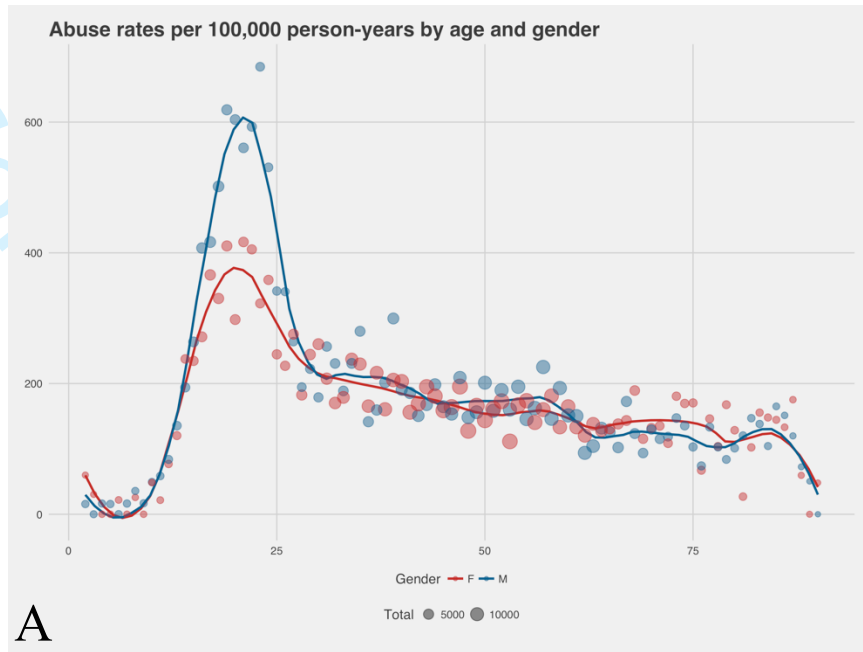
Table 1: Baseline Demographic Information and Unadjusted Associations

Category	Type	Total	Abuse/ Overdose Events	Opioid Prescription Filled (%)	Median Duration (days)	Median MME/day	Median Follow-up (years)	Median Time to Abuse (years)	Cases/ 100,000 Pt- Yrs
Entire Sample		1,015,116	5,906	56	5	50	2.67	1.74	183.2
Gender	F	563,170	3,166	54.3	5	50	2.67	1.82	176.3
	M	451,946	2,740	58.2	5	50	2.66	1.67	191.8
Birth Year	1950 or Before	206,593	841	48	5	48.2	2.9	2.03	124.3
	1950-1960	229,493	1,213	56.9	5	50	2.75	1.94	161.8
	1960-1970	225,078	1,262	58.2	5	50	2.72	1.9	172.8
	1970-1980	148,264	912	59.6	5	50	2.54	1.74	199.5
	1980-1990	82,266	714	61.4	5	52.5	2.25	1.39	313.6
	1990-2000	85,729	932	62.1	5	50	2.62	1.65	348.2
	2000+	37,693	32	41.4	5	21.3	2.51	0.89	27.8
Surgery Year	2008	142,332	1,031	47.5	5	50	3.3	2.76	183.1
	2009	205,618	1,374	53	5	50	3.62	2.51	169.5
	2010	157,640	982	61.1	5	50	3.66	2.28	168.9
	2011	137,648	780	62.8	5	50	3.52	1.72	171.6
	2012	130,096	705	57.6	5	50	3.2	1.38	193
	2013	113,841	505	63.9	5	50	2.39	1.29	195
	2014	110,392	461	49.1	5	50	1.52	0.76	268.7

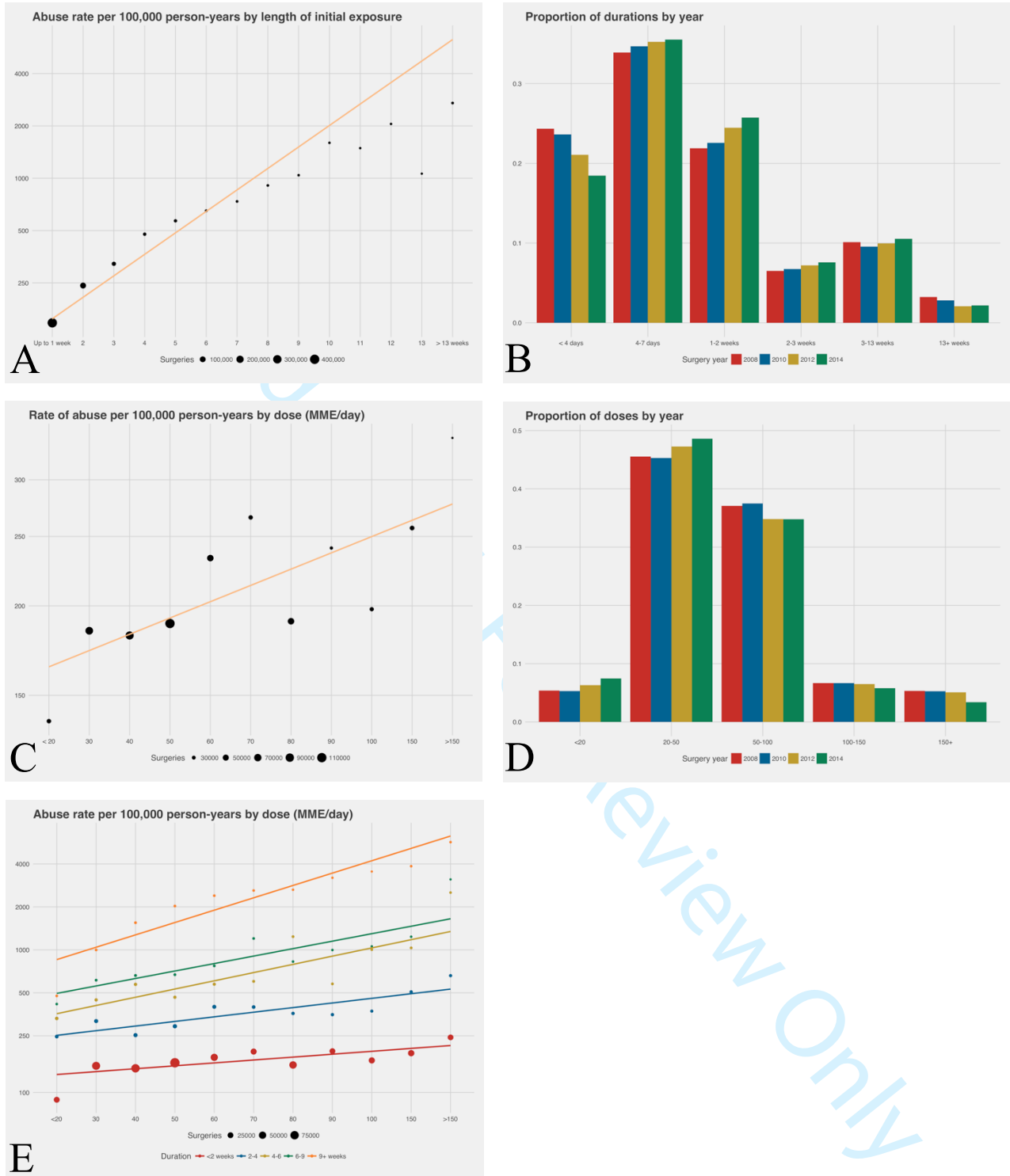
Please see following page for continuation of table.

Table 1 Continued: Baseline Demographic Information and Unadjusted Associations

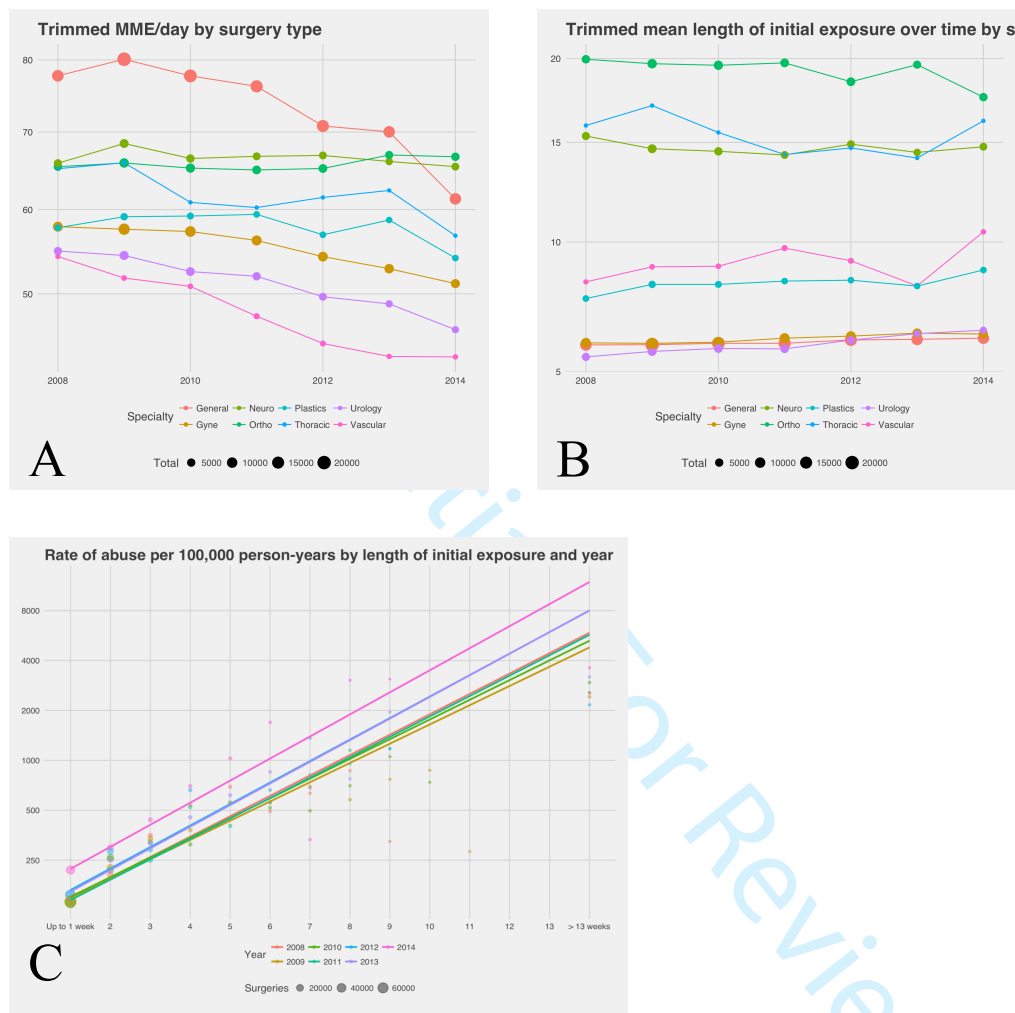
Category	Type	Total	Abuse/ Overdose Events	Opioid Prescription Filled (%)	Median Duration (days)	Median MME/day	Median Follow- up (years)	Median Time to Abuse (years)	Cases/ 100,000 Pt- Yrs
Surgery Type	Musculoskeletal System	367,317	2,448	60.3	6	57.7	2.71	1.86	206.2
	Digestive System	293,905	1,825	63.2	5	50	2.63	1.68	198.1
	Integumentary System	106,914	533	39.3	5	45	2.61	1.75	160.8
	Female Genital System	98,444	449	56.7	5	46.9	2.69	1.85	142.3
	Cardiovascular System	57,715	241	33.3	6	44.4	2.65	1.52	134.4
	Nervous System	38,698	374	61.1	8	56.2	2.6	1.52	306.5
	Urinary System	30,274	121	49.8	5	45	2.71	1.52	125.8
	Male Genital System	26,524	76	59.3	5	41.2	2.73	1.98	89.6
	Endocrine System	19,622	110	49.2	4	45	2.72	1.6	172
	Hernia and Lymphatic Systems	11,836	56	62.6	5	50	2.6	1.52	153.9
	Auditory System	10,250	37	30.1	5	41.7	2.62	1.76	114.6
	Respiratory System	6,280	50	59.5	8	50	2.44	0.85	270.2
	Maternity Care and Delivery	2,692	13	67.4	4	46.9	2.42	2.28	162.2
	Mediastinum and Diaphragm	1,186	13	62	6	67.5	2.74	2.52	344.1
	Reproductive System	10	0	30	5	30	1.51	-	0
Drug	Hydrocodone	275,292	1,695	-	5	45	2.83	1.95	187.5
	Oxycodone	205,559	1,432	-	5	62.5	2.7	1.68	219
	Codeine	35,041	148	-	4	27	2.84	2.45	127.6
	Mixed	30,803	324	-	9	60	2.62	1.52	339.7
	Tramadol	11,721	70	-	8	30	2.21	1.53	221.1
	Hydromorphone	9,600	123	-	5	66.7	2.47	1.45	427.6



**Figure 1: Misuse Rates Per 100,000 Person-Years Over Time by Cohort. (A) Rates of misuse across ages and gender. (B) Rising rates of misuse by age cohort over time.**



**Figure 2: Post-Surgical Rates of Abuse by Dosage and Duration of Opioid Prescription.** (A) Rates of opioid abuse and overdose by week of exposure (y-axis is on log-scale). (B) Changing rates of duration of exposure during the study as measured in weeks. (C) Rates of opioid abuse and overdose by dose in MME/day (y-axis is on log-scale). (D) Changing dosage during the study by year. (E) Rates of opioid abuse and overdose by dose, grouped by duration of exposure (y-axis is on log-scale).



**Figure 3: Temporal Changes in Opioid Exposure.** (A) Decreasing mean dosage (MME/day) by surgical specialty during the years of the study, while (B) mean length of exposure (days) by surgical specialty remains relatively stable. (C) Decreasing rates of opioid misuse by week of exposure during the study. Relationship between abuse and weeks of exposure for each year of the study (y-axis is on log-scale). The relationship is stable with similar trend lines and initial rates. Only weeks with greater than 100 surgeries were included.

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28  
29  
30  
31  
32  
33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60

Confidential For Review Only

# Supplemental Tables and Figures

- eTable 1: Table of ICD9 Codes of Pre-Operative Abuse and Post-Operative Abuse
- eTable 2: All Events Cox Model
- eFigure 1: CONSORT Protocol
- eFigure 2: Prescribing Changes by Gender, Group, Surgery Category
- eFigure 3: Sensitivity Analysis with One-Year Events
- eFigure 4: Sensitivity Analysis with No Intervening Surgery

## eTable 1: ICD Code Tables Used in the Study

### 1A: All Observed Outcome Codes

ICD	LONG DESCRIPTION	N in sample
304.00	Opioid type dependence, unspecified	2,203
304.01	Opioid type dependence, continuous	1,311
305.50	Opioid abuse, unspecified	484
965.09	Poisoning by other opiates and related narcotics	409
E935.2	Other opiates and related narcotics causing adverse effects in therapeutic use	384
965.00	Poisoning by opium (alkaloids), unspecified	190
304.70	Combinations of opioid type drug with any other drug dependence, unspecified	113
305.51	Opioid abuse, continuous	77
304.71	Combinations of opioid type drug with any other drug dependence, continuous	72
E850.2	Accidental poisoning by other opiates and related narcotics	63
304.02	Opioid type dependence, episodic	39
305.53	Opioid abuse, in remission	35
305.52	Opioid abuse, episodic	30
304.72	Combinations of opioid type drug with any other drug dependence, episodic	11

### 1B: All Abuse Codes Used for Exclusion Criteria

ICD	LONG DESCRIPTION	N in sample
304.00	Opioid type dependence, unspecified	2,240
305.90	Other, mixed, or unspecified drug abuse, unspecified	1,625
304.01	Opioid type dependence, continuous	1,486
304.90	Unspecified drug dependence, unspecified	1,417
977.9	Poisoning by unspecified drug or medicinal substance	1,076
292.0	Drug withdrawal	956
305.50	Opioid abuse, unspecified	589
304.03	Opioid type dependence, in remission	283

1			
2			
3	E935.2	Other opiates and related narcotics causing	256
4		adverse effects in therapeutic use	
5			
6	965.09	Poisoning by other opiates and related	229
7		narcotics	
8			
9	304.91	Unspecified drug dependence, continuous	226
10	977.8	Poisoning by other specified drugs and	222
11		medicinal substances	
12			
13	304.70	Combinations of opioid type drug with any	177
14		other drug dependence, unspecified	
15			
16	305.93	Other, mixed, or unspecified drug abuse, in	160
17		remission	
18			
19	965.00	Poisoning by opium (alkaloids), unspecified	145
20	305.51	Opioid abuse, continuous	134
21			
22	304.71	Combinations of opioid type drug with any	121
23		other drug dependence, continuous	
24			
25	305.91	Other, mixed, or unspecified drug abuse,	120
26		continuous	
27	305.53	Opioid abuse, in remission	90
28			
29	305.53	Opioid abuse, in remission	90
30	304.02	Opioid type dependence, episodic	67
31			
32	E980.0	Poisoning by analgesics, antipyretics, and	48
33		antirheumatics, undetermined whether	
34		accidentally or purposely inflicted	
35			
36	304.93	Unspecified drug dependence, in remission	47
37	E850.2	Accidental poisoning by other opiates and	44
38		related narcotics	
39			
40	965.01	Poisoning by heroin	43
41	305.92	Other, mixed, or unspecified drug abuse,	42
42		episodic	
43			
44	305.52	Opioid abuse, episodic	36
45			
46	304.73	Combinations of opioid type drug with any	32
47		other drug dependence, in remission	
48			
49	965.02	Poisoning by methadone	25
50	292.12	Drug-induced psychotic disorder with	24
51		hallucinations	
52			
53	304.72	Combinations of opioid type drug with any	18
54		other drug dependence, episodic	
55			
56	304.92	Unspecified drug dependence, episodic	14
57			
58			
59			
60			



1			
2			
3	E850.0	Accidental poisoning by heroin	10
4			
5	292.11	Drug-induced psychotic disorder with	7
6		delusions	
7			
8	E850.1	Accidental poisoning by methadone	4
9	E935.0	Heroin causing adverse effects in	3
10		therapeutic use	
11			
12			
13			
14			
15			
16			
17			
18			
19			
20			
21			
22			
23			
24			
25			
26			
27			
28			
29			
30			
31			
32			
33			
34			
35			
36			
37			
38			
39			
40			
41			
42			
43			
44			
45			
46			
47			
48			
49			
50			
51			
52			
53			
54			
55			
56			
57			
58			
59			
60			

Confidential: For Review Only

**eTable 2: Comprehensive Adjusted Cox Regression Effects Model.**

Covariate	Hazard ratio	Confidence interval, low	Confidence interval, high	p-value
Duration in weeks	1.2	1.185	1.214	0
Dose in 10 MME/day	1.008	1.003	1.013	0.0008128
Male gender	1.292	1.202	1.39	4.608e-12
hydrocodone	1.141	0.9576	1.359	0.1404
hydromorphone	1.859	1.449	2.385	1.08e-06
mixed	1.576	1.289	1.928	9.471e-06
morphine	2.44	1.5	3.969	0.0003256
oxycodone	1.328	1.113	1.583	0.001612
oxymorphone	1.97	0.2712	14.31	0.5027
tramadol	1.03	0.7696	1.379	0.8425
stateAK	0.83	0.502	1.372	0.4677
stateAL	0.832	0.4969	1.393	0.4843
stateAR	0.7326	0.458	1.172	0.1942
stateAZ	0.9921	0.761	1.293	0.9533
stateCA	0.8953	0.7122	1.125	0.3434
stateCO	0.9319	0.685	1.268	0.6535
stateCT	0.9685	0.7526	1.246	0.8035
stateDC	0.8819	0.5402	1.44	0.6155
stateDE	0.7548	0.4237	1.345	0.3397
stateFL	0.8562	0.6799	1.078	0.1872
stateGA	0.8398	0.6375	1.106	0.2142
stateHI	8.836e-06	3.41e-270	2.289e+259	0.9701
stateIA	0.485	0.2129	1.105	0.08501
stateID	1.175	0.7402	1.864	0.4947
stateIL	0.6854	0.5074	0.9257	0.01378
stateIN	0.6764	0.4501	1.016	0.05986
stateKS	0.426	0.2514	0.7219	0.001518
stateKY	0.8924	0.5909	1.348	0.5885
stateLA	1.097	0.8028	1.499	0.5609
stateMA	0.8251	0.5571	1.222	0.3372
stateMD	0.8992	0.6989	1.157	0.4087
stateME	0.7927	0.5623	1.117	0.1848

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28  
29  
30  
31  
32  
33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60

stateMI	0.5857	0.4134	0.8298	0.002616
stateMN	0.8729	0.5155	1.478	0.6132
stateMO	0.623	0.4306	0.9014	0.01204
stateMS	1.479	1.032	2.118	0.03282
stateMT	0.5012	0.159	1.58	0.2383
stateNC	0.5007	0.3351	0.748	0.0007319
stateND	0.3611	0.05035	2.589	0.3108
stateNE	0.5071	0.2469	1.042	0.06447
stateNH	0.9437	0.5475	1.626	0.8347
stateNJ	1.023	0.8154	1.284	0.8429
stateNM	1.341	0.5888	3.056	0.4844
stateNV	1.523	1.109	2.09	0.009269
stateNY	1.202	0.9572	1.511	0.1131
stateOH	0.6674	0.5134	0.8676	0.002518
stateOK	1.106	0.8282	1.477	0.4951
stateOR	0.8351	0.5313	1.313	0.4349
statePA	0.8719	0.6911	1.1	0.2477
stateRI	1.317	0.6406	2.708	0.4539
stateSC	0.7177	0.4529	1.138	0.1581
stateSD	1.253	0.309	5.079	0.7523
stateTN	1.172	0.8606	1.597	0.3135
stateTX	0.9668	0.7812	1.197	0.7566
stateUT	0.9925	0.7009	1.406	0.9664
stateVA	0.5682	0.4318	0.7478	5.456e-05
stateVT	1.386	0.5644	3.403	0.4765
stateWA	1.183	0.9173	1.525	0.1954
stateWI	0.6802	0.4328	1.069	0.09482
stateWV	0.6269	0.3364	1.168	0.1416
stateWY	1.786	0.5665	5.633	0.3221
Surgery year 2009	1.018	0.9129	1.135	0.7483
Surgery year 2010	1.044	0.9303	1.171	0.4658
Surgery year 2011	1.026	0.9071	1.161	0.6822
Surgery year 2012	1.182	1.039	1.345	0.01092
Surgery year 2013	1.179	1.024	1.357	0.02168
Surgery year 2014	1.633	1.396	1.909	8.065e-10

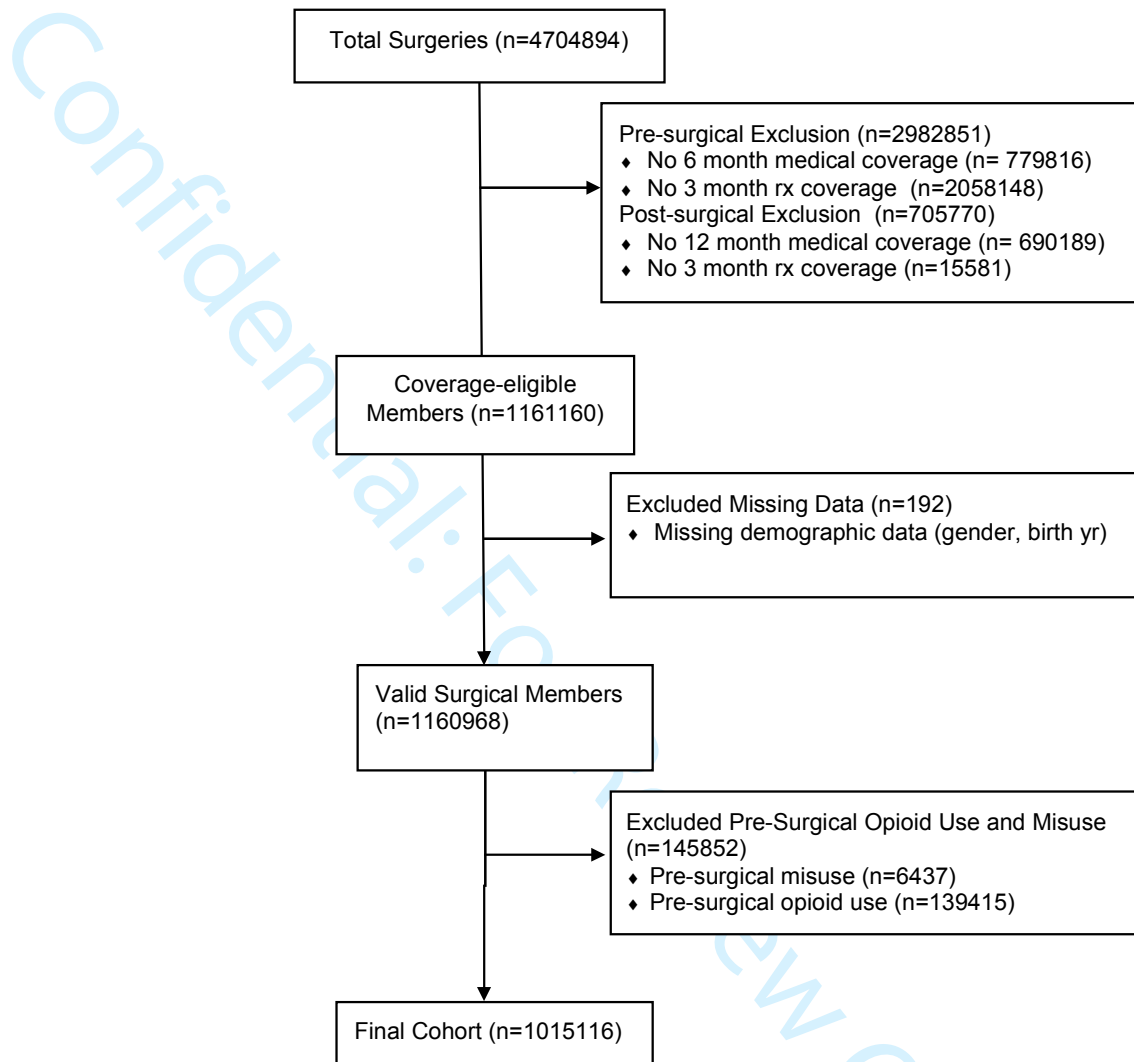
1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28  
29  
30  
31  
32  
33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60

Surgery year 2015	2.189	1.549	3.093	8.879e-06
CPT group: Auditory.system	1.067	0.6375	1.786	0.8053
CPT group: Cardiovascular.system	0.9771	0.7731	1.235	0.8463
CPT group: Digestive.system	1.042	0.8968	1.211	0.5896
CPT group: Endocrine.system	1.255	0.9477	1.661	0.1131
CPT group: Female.genital.system	1.062	0.8924	1.263	0.4991
CPT group: Hemic.and.lymphatic.systems	1.009	0.7392	1.378	0.954
CPT group: Integumentary.system	1.104	0.932	1.307	0.2525
CPT group: Male.genital.system	0.8674	0.6451	1.166	0.3461
CPT group: Maternity.care.and.delivery	1.002	0.5309	1.89	0.9956
CPT group: Mediastinum.and.diaphragm	1.664	0.9164	3.021	0.0943
CPT group: Musculoskeletal.system	1.095	0.9468	1.265	0.2219
CPT group: Nervous.system	0.7131	0.6031	0.8431	7.578e-05
CPT group: Reproductive.system	6.214e-06	0	Inf	0.9972
CPT group: Respiratory.system	0.9156	0.6326	1.325	0.6402
CPT group: Urinary.system	0.8858	0.6791	1.155	0.3712
Septicemia NOS	1.486	1.075	2.053	0.01641
Dehydration	1.083	0.8807	1.331	0.4501
Hypopotassemia	1.147	0.9408	1.399	0.1748
Recurr depr psychos-mod	1.516	1.226	1.874	0.0001202
Anxiety state NOS	1.462	1.312	1.628	5.446e-12
Generalized anxiety dis	1.112	0.9292	1.33	0.2468
Dysthymic disorder	1.496	1.272	1.76	1.175e-06
Tobacco use disorder	2.164	1.979	2.367	0
Adjust dis w anxiety/dep	1.317	1.047	1.658	0.01877
Depressive disorder NEC	1.604	1.441	1.784	0
Attn defic nonhyperact	1.555	1.252	1.931	6.368e-05
Attn deficit w hyperact	1.484	1.191	1.849	0.000442
Chronic pain NEC	1.986	1.656	2.382	1.456e-13
Migrne unsp wo ntrc mgrn	1.305	1.102	1.544	0.002004
Idio periph neurpthy NOS	1.345	1.019	1.775	0.03668
Acute pharyngitis	1.158	1.028	1.305	0.0155

1					
2					
3					
4	Acute bronchitis	1.26	1.103	1.439	0.0006516
5	Chronic sinusitis NOS	1.097	0.9172	1.311	0.3116
6	Asthma NOS	1.205	1.079	1.347	0.0009646
7					
8	Acute respiratory failure	1.075	0.8098	1.427	0.617
9					
10	Atrophic gastritis w/o hmrhg	1.143	0.901	1.45	0.2708
11	Other specific gastritis w/o hmrhg	1.216	0.9554	1.547	0.1122
12	Gastritis/dyspepsia NOS w/o hmrhg	1.079	0.8982	1.297	0.4157
13					
14	Noninfectious gastroenteritis NEC	1.1	0.9119	1.328	0.3183
15					
16	Constipation NOS	1.227	1.048	1.437	0.01085
17	Cellulitis of leg	1.488	1.112	1.992	0.007465
18	Rheumatoid arthritis	1.413	1.101	1.814	0.006551
19					
20	Lumbosacral spondylosis	1.334	1.125	1.583	0.0009396
21					
22	Cervical disc degeneration	1.273	1.046	1.549	0.01581
23	Lumbal/lumbosacral disc degeneration	1.064	0.9038	1.253	0.4555
24					
25	Cervicalgia	1.083	0.9528	1.231	0.2226
26	Brachial neuritis NOS	1.163	0.9646	1.402	0.1136
27					
28	Lumbago	1.28	1.147	1.428	9.79e-06
29	Lumbosacral neuritis NOS	1.366	1.173	1.59	5.789e-05
30					
31	Backache NOS	1.254	1.109	1.418	0.000308
32	Other back symptoms	1.047	0.7931	1.383	0.7446
33					
34	Myalgia and myositis NOS	1.156	1.007	1.327	0.03984
35	Insomnia NOS	1.655	1.436	1.907	3.569e-12
36					
37	Hypersomnia with sleep apnea NOS	1.124	0.8953	1.412	0.3134
38	Malaise and fatigue NEC	1.256	1.143	1.38	2.167e-06
39					
40	Altered mental status	1.303	0.9475	1.793	0.1035
41	Abnormal loss of weight	1.252	0.9981	1.57	0.05199
42					
43	Headache	1.16	1.031	1.305	0.01388
44	Tachycardia NOS	1.018	0.8195	1.265	0.8704
45					
46	Respiratory abnormality NEC	1.08	0.9408	1.239	0.2752
47					
48	Cough	1.034	0.9176	1.164	0.5855
49	Chest pain NOS	1.034	0.9315	1.147	0.5323
50					
51	Nausea with vomiting	1.177	1.023	1.353	0.02228
52	Vomiting alone	1.355	1.131	1.624	0.0009703
53					
54	Flatulence/eructation/gas pain	1.153	0.924	1.438	0.2079
55	Diarrhea	1.19	1.022	1.387	0.02547
56					
57					
58					
59					
60					

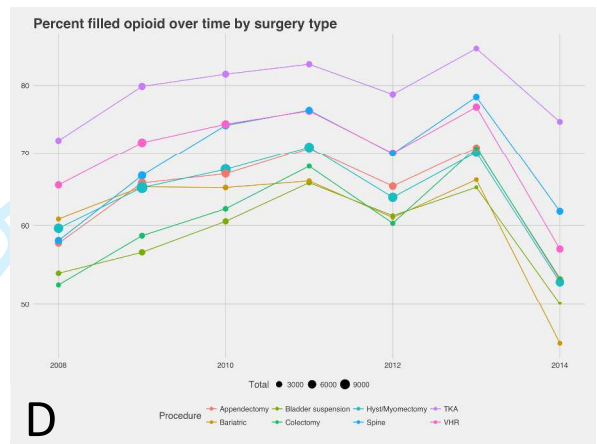
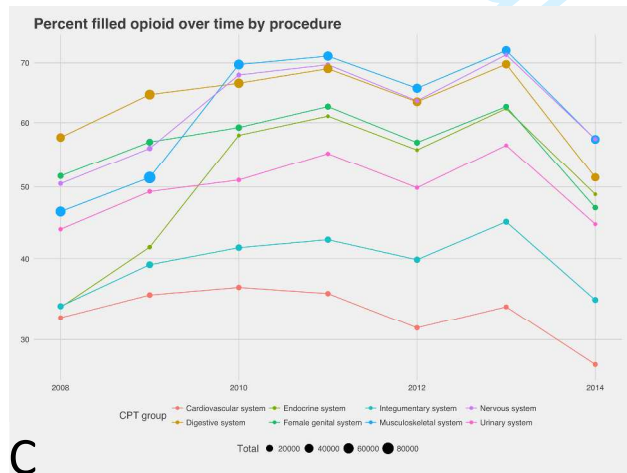
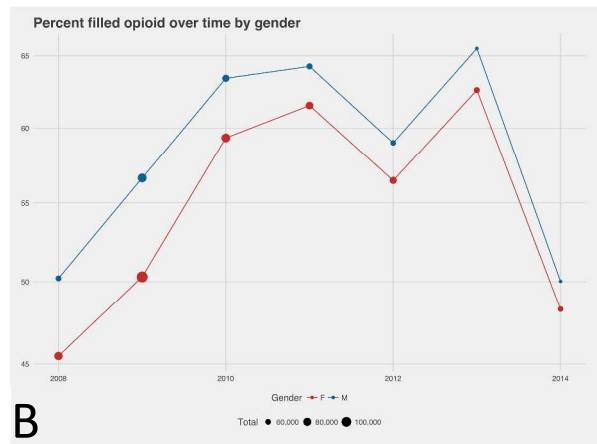
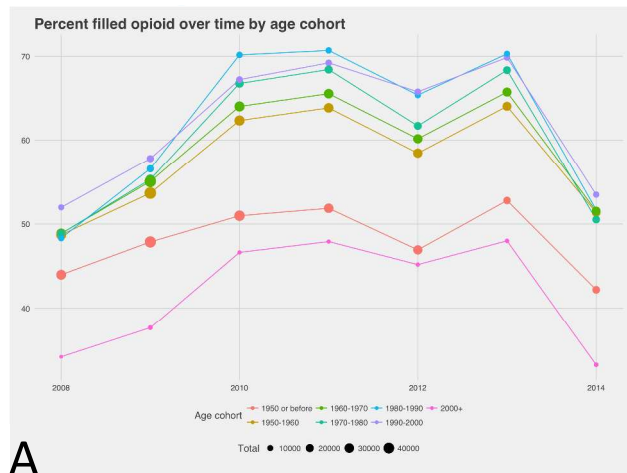
1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28  
29  
30  
31  
32  
33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60

Abdmnal pain unspcf site	1.118	1.015	1.233	0.02393
Abdmnal pain generalized	1.295	1.131	1.484	0.0001933
Abdmnal pain oth spcf st	1.17	1.032	1.327	0.01454
Ascites NEC	1.037	0.7777	1.383	0.8045
Sprain of neck	1.555	1.29	1.875	3.733e-06
Face & neck injury	1.499	1.175	1.914	0.001147
Injury of chest wall NEC	1.278	0.9626	1.696	0.08988
Arthrodesis status	1.266	1.023	1.567	0.03001
Bariatric surgery status	2.174	1.754	2.696	1.472e-12
Fit/adj vascular cathetr	1.046	0.8462	1.292	0.6788
Fit/adj non-vsc cath NEC	1.175	0.9151	1.509	0.2059
Routine medical exam	0.7708	0.6929	0.8573	1.635e-06
Observ-suspect cond NEC	1.192	0.903	1.572	0.2155
Screen mammogram NEC	0.9304	0.8152	1.062	0.2845
BMI > 40	1.289	0.9976	1.665	0.05217
Age cohort: [1950,1960)	1.243	1.103	1.401	0.0003583
Age cohort: [1960,1970)	1.46	1.295	1.645	5.513e-10
Age cohort: [1970,1980)	1.762	1.55	2.003	0
Age cohort: [1980,1990)	3.039	2.655	3.478	0
Age cohort: [1990,2000)	3.982	3.487	4.547	0
Age cohort: [2000,2014]	0.1726	0.07662	0.3888	2.234e-05
Benzodiazepine use	1.764	1.625	1.914	0



eFigure 1: CONSORT Diagram of Cohort Derivation.

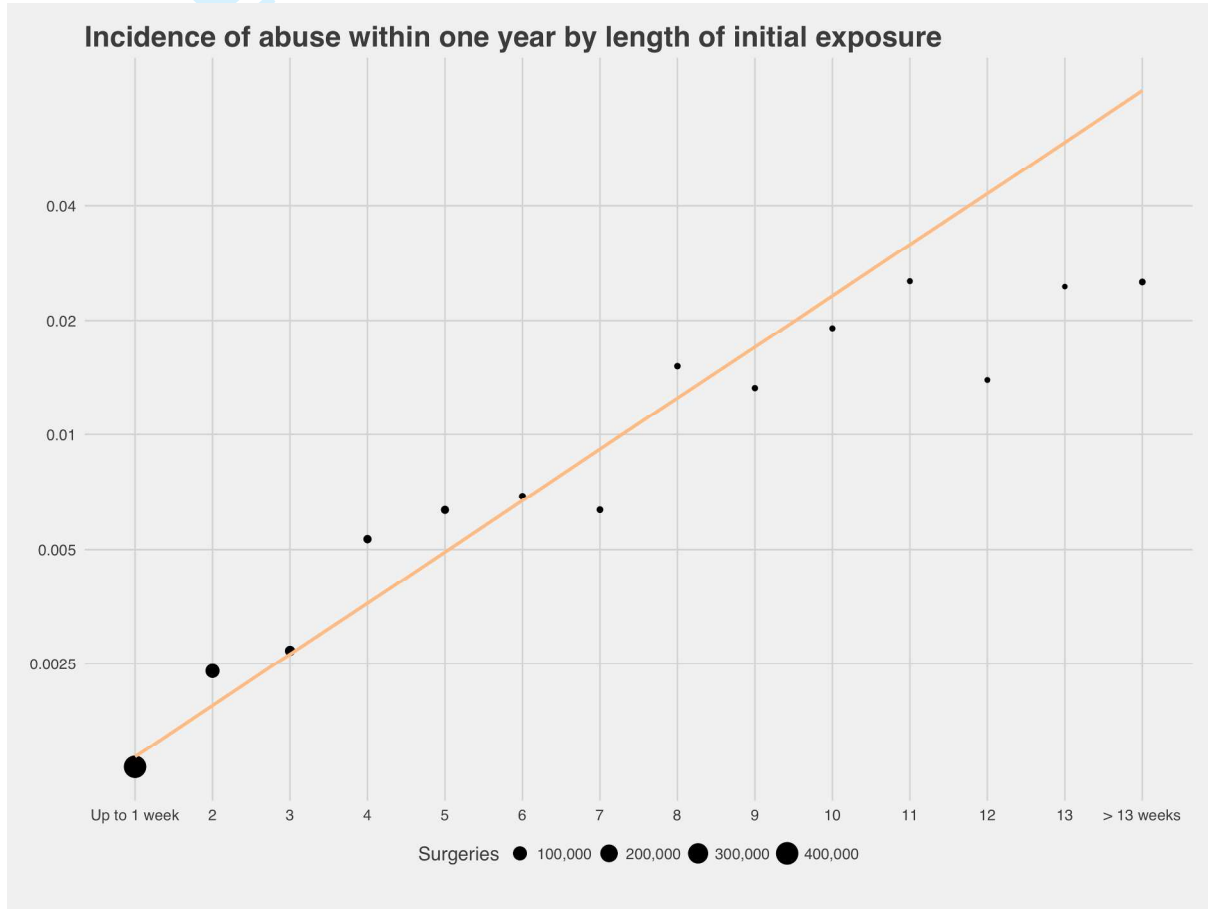
1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28  
29  
30  
31  
32  
33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60



eFigure 2: Rate of Opioid Prescription Within 7 Days of Discharge During the Years of the Study. Each graph is arranged by year and (A) age cohort, (B) gender, (C) surgery type, (D) select representative surgeries. The size of each data point represents the number of surgical events.

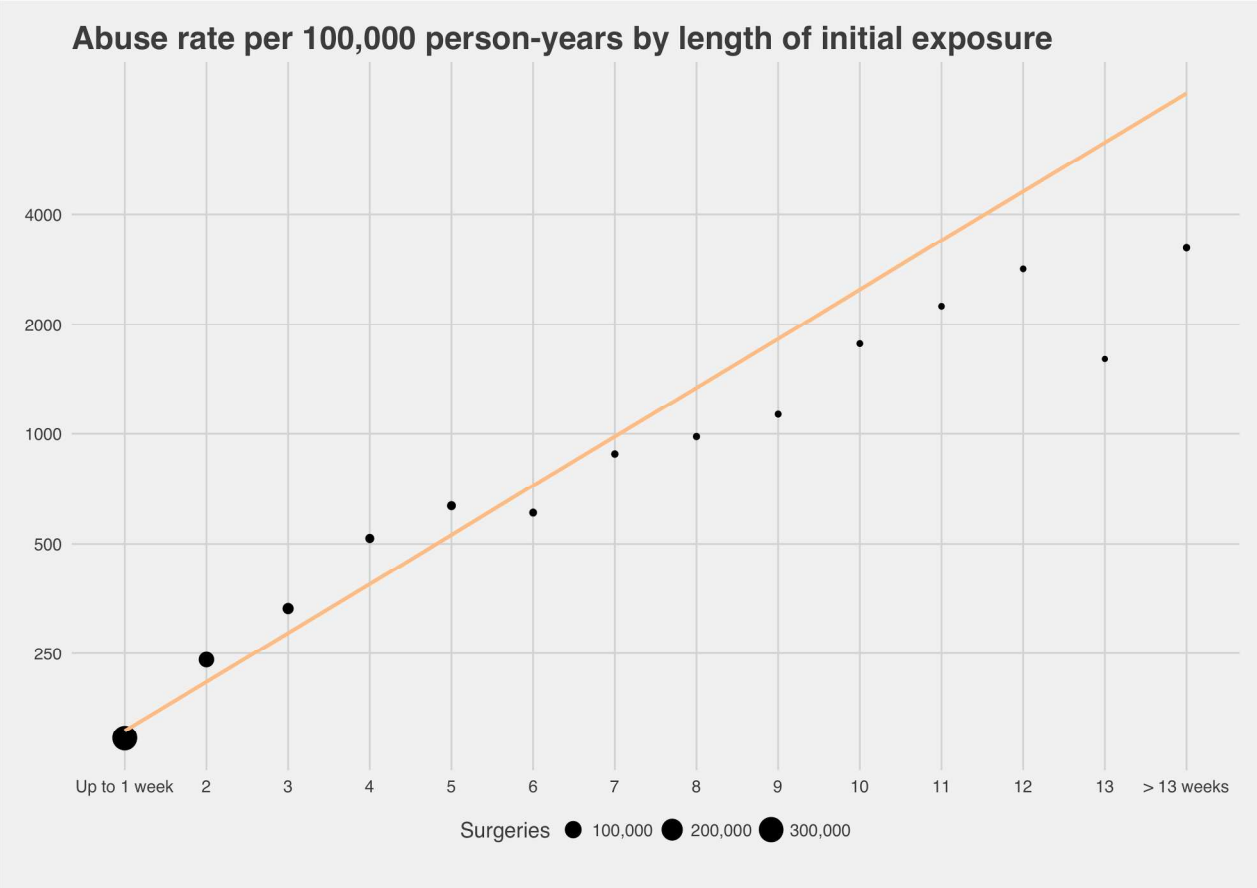


1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28  
29  
30  
31  
32  
33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60

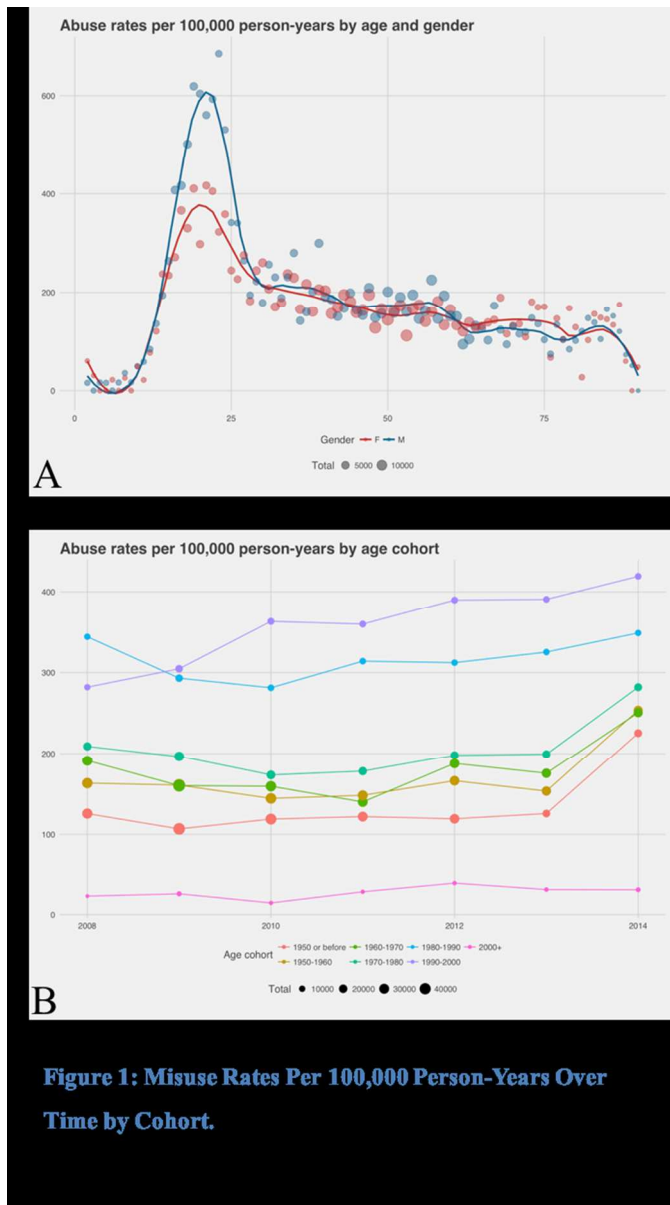


**eFigure 3: Incidence of Opioid Abuse and Overdose Within One Year After Surgery by Week of Exposure.** The relationship between rates of opioid abuse and weeks of post-surgical opioid prescription. The size of each data point represents the number of surgical events. Y axis is represented on a log scale.

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28  
29  
30  
31  
32  
33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60



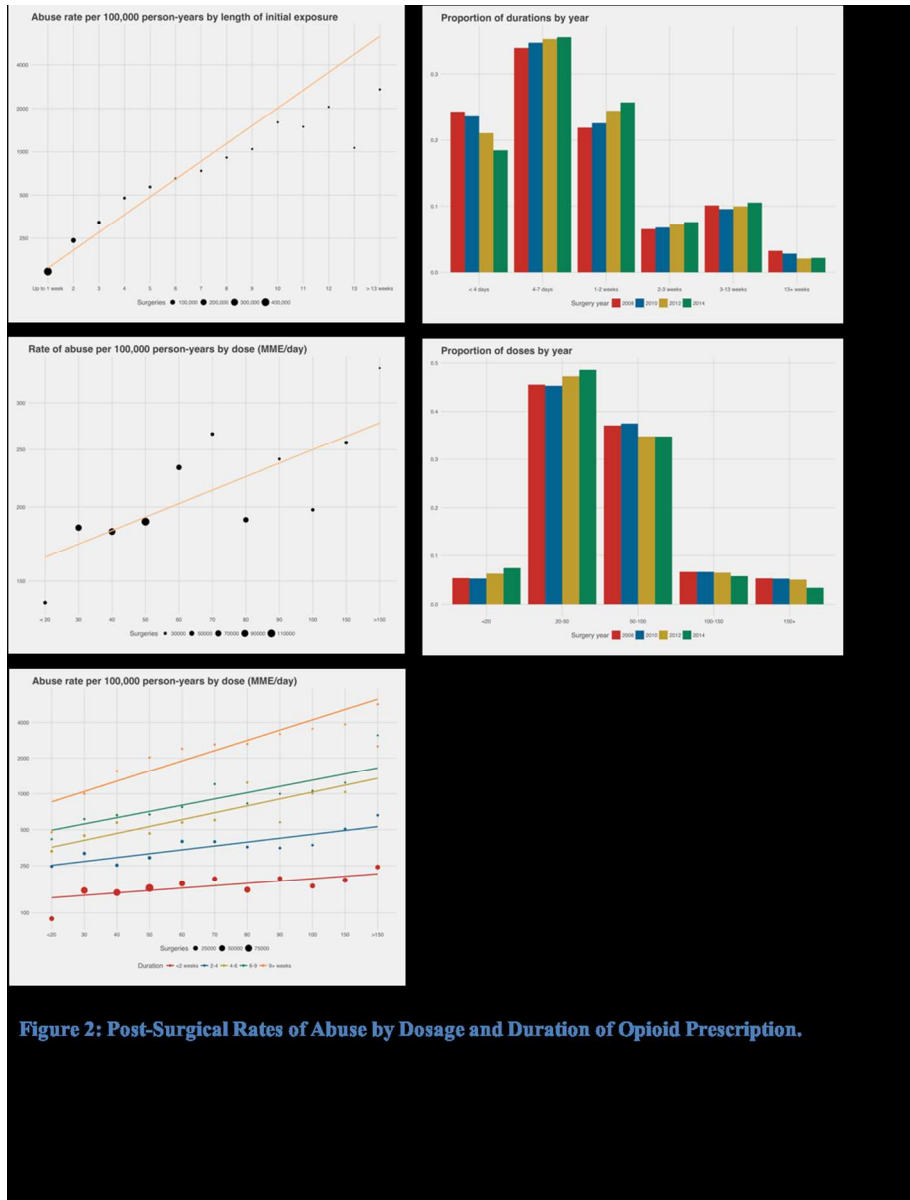
eFigure 4: Rate of Opioid Abuse and Overdose Among Those With No Subsequent Surgery by Week of Exposure. The relationship between rates of overdose and weeks of post-surgical opioid prescription duration for patients who did not have subsequent surgeries after the index surgery. The size of each data point represents the number of surgical events. Y axis is represented on a log scale.



**Figure 1: Misuse Rates Per 100,000 Person-Years Over Time by Cohort.**

Figure 1: Misuse Rates Per 100,000 Person-Years Over Time by Cohort. (A) Rates of misuse across ages and gender. (B) Rising rates of misuse by age cohort over time.

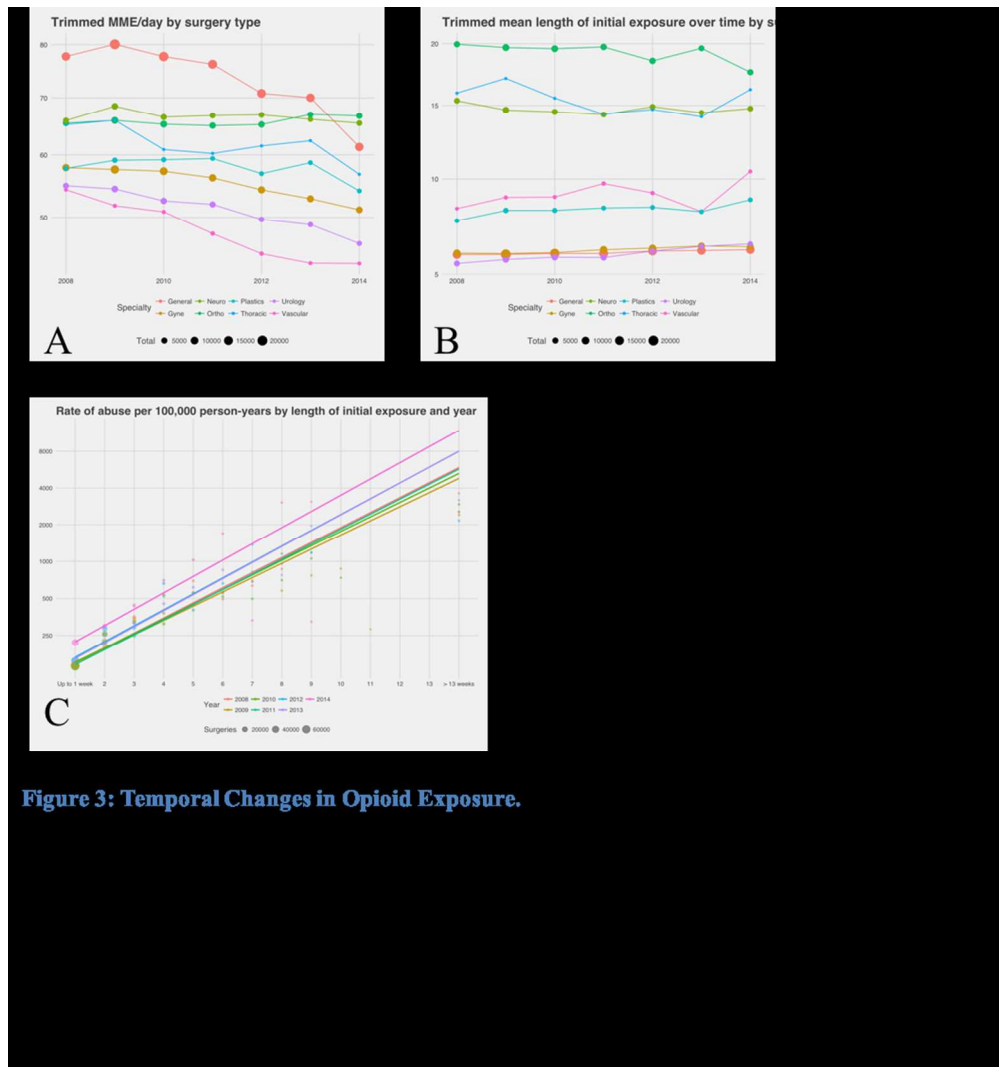
118x210mm (150 x 150 DPI)



**Figure 2: Post-Surgical Rates of Abuse by Dosage and Duration of Opioid Prescription.**

Figure 2: Post-Surgical Rates of Abuse by Dosage and Duration of Opioid Prescription. (A) Rates of opioid abuse and overdose by week of exposure (y-axis is on log-scale). (B) Changing rates of duration of exposure during the study as measured in weeks. (C) Rates of opioid abuse and overdose by dose in MME/day (y-axis is on log-scale). (D) Changing dosage during the study by year. (E) Rates of opioid abuse and overdose by dose, grouped by duration of exposure (y-axis is on log-scale).

184x240mm (150 x 150 DPI)



**Figure 3: Temporal Changes in Opioid Exposure.**

Figure 3: Temporal Changes in Opioid Exposure. (A) Decreasing mean dosage (MME/day) by surgical specialty during the years of the study, while (B) mean length of exposure (days) by surgical specialty remains relatively stable. (C) Decreasing rates of opioid misuse by week of exposure during the study. Relationship between abuse and weeks of exposure for each year of the study (y-axis is on log-scale). The relationship is stable with similar trend lines and initial rates. Only weeks with greater than 100 surgeries were included.

179x190mm (150 x 150 DPI)