



Polypharmacy and the effects of apixaban in patients with atrial fibrillation: insights from the ARISTOTLE trial

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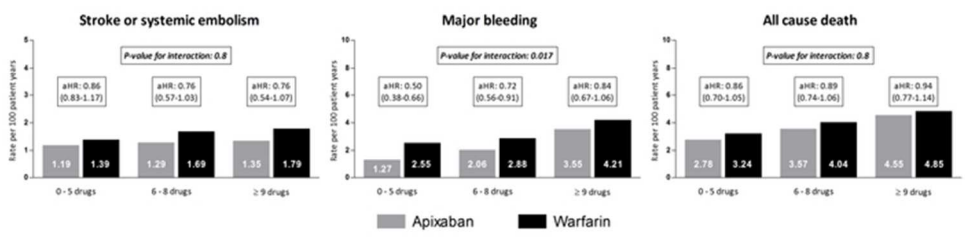


Figure 1
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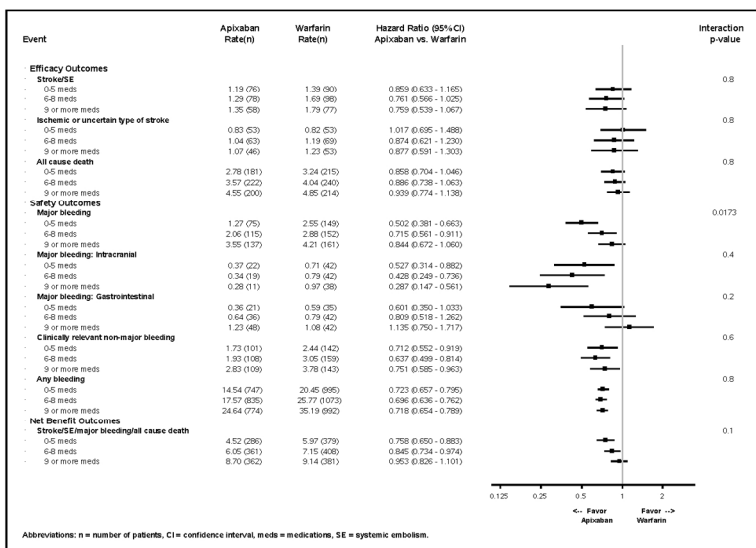


Figure 2
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3 1 **Polypharmacy and the effects of apixaban in patients with atrial fibrillation: a post-hoc analysis**
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5 2 **of the ARISTOTLE trial**
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3 **Abstract**
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5 **Objective:** In various clinical settings, polypharmacy has been associated with frailty and adverse
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7 outcome. Compared with warfarin, apixaban has a superior efficacy and safety profile in atrial
8
9 fibrillation. However, patients with polypharmacy may have a differential response to anticoagulation
10
11 therapy, due to extensive comorbidity and/or drug-drug interactions.
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13 **Design, Participants, Interventions, Main Outcome Measures:** Patients in the ARISTOTLE trial
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15 (n=18,201) were divided into tertiles according to the number of medications used at baseline. We
16
17 compared clinical outcomes and the treatment effects of apixaban versus warfarin (adjusted for age,
18
19 sex, and country).
20

21 **Results:** Patients used a median of 6 drugs (interquartile range 5 to 9); polypharmacy (≥ 5 drugs) was
22
23 seen in 76%. Greater numbers of concomitant medications were used in older patients and in women,
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25 and in patients in the United States. Number of comorbidities increased across tertiles of increasing
26
27 number of medications (0-5; 6-8; ≥ 9 drugs), as did the proportions of patients with drugs that interact
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29 with warfarin or apixaban. Mortality significantly increased as number of medications increased.
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31 Across tertiles of increasing numbers of drugs, rates of stroke/systemic embolism (1.29; 1.48; 1.57 per
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33 100 patient-years, respectively) and major bleeding (1.91; 2.46; 3.88 per 100 patient-years,
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35 respectively) increased. The relative risk reductions of stroke or systemic embolism for apixaban
36
37 versus warfarin were consistent, regardless of the number of concomitant medications (interaction p-
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39 value=0.8). With regard to major bleeding, there was less reduction seen with apixaban versus
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41 warfarin with greater numbers of concomitant drugs (interaction p-value 0.017). Patients with
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43 interacting (potentiating) drugs for warfarin or apixaban had similar outcomes and consistent treatment
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45 effects of apixaban versus warfarin.
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48 **Conclusions:** In ARISTOTLE, three quarters of patients have polypharmacy, and they constitute a
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50 population with a greater comorbidity, more interacting drugs, increased mortality, and higher rates of
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52 thrombo-embolic and bleeding complications. In terms of a potential differential response to
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54 anticoagulation therapy in patients with AF and polypharmacy, apixaban was more effective than
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56 warfarin and at least as safe.
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52 **Trial Registration:** ClinicalTrials.gov (NCT00412984).

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3 54 **Print abstract**
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6 55 **Study question:** Does the treatment effect of apixaban versus warfarin differ with increasing numbers
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8 56 of concomitant medications in patients with atrial fibrillation?
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10 57 **Methods:** Patients in the ARISTOTLE trial (n=18,201, median follow-up 1.8 years) were divided into
11
12 58 tertiles according to the number of medications used at baseline. We compared clinical outcomes and
13
14 59 the treatment effects of apixaban versus warfarin (adjusted for age, sex, and country).
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16 60 **Study answer and limitations:** With increasing numbers of drugs, co-morbidity increased, as did the
17
18 61 risk of stroke and systemic embolism, major bleeding and mortality. Patients with polypharmacy (seen
19
20 62 in 76%) were older and were more often from the United States. As for the benefit in efficacy of
21
22 63 apixaban versus warfarin, relative risk reductions in stroke or systemic embolism were consistent
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24 64 (interaction p-value=0.8), regardless of the number of concomitant drugs. With regard to major
25
26 65 bleeding, there was a decrease in the relative benefit of apixaban over warfarin with increasing
27
28 66 numbers of co-medication (interaction p-value 0.017). The attenuation of the safety benefit was not
29
30 67 explained by differences in use of interacting drugs, such as CYP3A4/P-gp inhibitors and warfarin
31
32 68 potentiators. Although it is plausible that polypharmacy may cause a differential drug response to oral
33
34 69 anticoagulation, this is a post-hoc analysis, on baseline burden of medication.
35

36 70 **What this study adds:** In this population of patients with atrial fibrillation, three quarters of patients
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38 71 have polypharmacy, and they constitute a population with a greater comorbidity, more interacting
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40 72 drugs, increased mortality, and higher rates of thrombo-embolic and bleeding complications. In terms
41
42 73 of a potential differential response to anticoagulation therapy in patients with atrial fibrillation and
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44 74 polypharmacy, apixaban was more effective than warfarin and at least as safe.
45

46 75 **Funding, competing interests, data sharing:** The ARISTOTLE study was supported by Bristol-
47
48 76 Myers Squibb and Pfizer, Inc.. No additional data available.
49

50 77 **Trial Registration:** ClinicalTrials.gov (NCT00412984).
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79 Introduction

80 In an era of increasing life expectancy, and with a growing population of survivors with various
81 comorbidities, clinical decision making with regard to antithrombotic therapy for atrial fibrillation
82 (AF) has become an even greater clinical challenge.¹ Despite the often well appreciated risk of stroke,
83 oral anticoagulation is often not prescribed in the elderly, and undertreatment has been associated with
84 adverse outcome.^{2,3} However, physicians increasingly acknowledge that treatment decisions should
85 probably be based on biological rather than chronological age.⁴

86 In a variety of populations, polypharmacy has been associated with multiple comorbidities and
87 frailty.⁵⁻¹⁰ Moreover, the risk of drug-drug interactions increases with the number of concomitant
88 drugs. In addition, polypharmacy has been related to a higher risk of death and bleeding complications,
89 also in patients with AF.⁶⁻¹⁷ In this context, patients with polypharmacy may have a differential
90 response to anticoagulation therapy.

91 With the introduction of apixaban, a safer alternative to warfarin has become available which
92 has also proven to be of value in patients considered unsuitable for warfarin.^{18,19} In a previous report
93 we demonstrated that the benefits of apixaban versus warfarin were irrespective of age (<65 yrs vs 65-
94 74 yrs vs ≥ 75 yrs). However, among the elderly there are patients with hardly any comorbidity,
95 whereas there are also younger patients with significant comorbidity. On average, patients with AF use
96 about four to six different medications.^{10,11,20} Given that polypharmacy is generally defined as the use
97 of five or more concomitant medications, and thus represents an everyday issue, additional
98 information on the impact of oral anticoagulation drugs in this specific subset of patients is of clinical
99 importance.²¹ Especially in the case of apixaban, information on the impact of potentiating drugs is
100 limited, an issue that is specifically of interest in patients with many concomitant drugs.

101 In this context, we performed a post-hoc analysis of the ARISTOTLE trial (Apixaban for
102 Reduction of Stroke and Other Thromboembolic Events in Atrial Fibrillation) to assess the association
103 between the number of drugs used and the extent of comorbidity and adverse outcome.¹⁹ In addition,
104 we addressed the relative treatment effect of apixaban versus warfarin in relation to the number of
105 concomitant medications.

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5 107 **Methods**6
7 108 **Patients**

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9 109 The study design and the main outcomes of the ARISTOTLE trial have been reported previously.^{19,22}
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11 110 In brief, ARISTOTLE was a multicenter double-blind, double-dummy trial comparing apixaban with
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13 111 warfarin. Patients with documented AF or atrial flutter were eligible for inclusion if one or more of the
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15 112 following risk factors for thromboembolism were present: symptomatic heart failure within 3 months
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17 113 prior to inclusion or left ventricular function $\leq 40\%$; hypertension requiring pharmacological treatment;
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19 114 age ≥ 75 years; diabetes mellitus; and prior stroke, transient ischemic attack (TIA), or systemic
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21 115 embolus. Exclusion criteria included clinically significant mitral stenosis, conditions other than AF
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23 116 requiring anticoagulation, required aspirin treatment in a dose >165 mg/day or used in combination
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25 117 with a thienopyridine, recent ischemic stroke, AF due to reversible causes, an increased bleeding risk
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27 118 considered to be a contraindication for oral anticoagulation, and severe renal insufficiency (i.e., serum
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29 119 creatinine >2.5 mg/dL or a calculated creatinine clearance <25 mL/min).

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32 120 Patients were randomized to either apixaban 5 mg twice daily (n=9120) or warfarin (n=9081).

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34 121 The target international normalized ratio (INR) range was 2.0 to 3.0, using a blinded encrypted point
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36 122 of care device. In cases where two or more of the following three criteria were present at baseline,
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38 123 patients received apixaban in a dose of 2.5 mg twice daily or matching placebo: age ≥ 80 years, body
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40 124 weight ≤ 60 kilograms, serum creatinine ≥ 1.5 mg/dL. The study was approved by appropriate ethical
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42 125 committees at all sites and all patients provided written informed consent
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46 127 **Concomitant medications and comorbidity**

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48 128 To investigate the association between the number of concomitant medications and the extent of
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50 129 comorbidity, we assessed the number of drugs used for each patient. The study drug (apixaban or
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52 130 warfarin) and the matching placebo were counted as one drug. All medications were categorized
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54 131 according to the Anatomical Therapeutic Chemical classification system.²³ Polypharmacy was defined
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56 132 as the use of five or more concomitant drugs.²¹
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3 133 The use of drugs known to interact with apixaban or warfarin was assessed for each patient. For
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5 134 apixaban, we studied drugs known to inhibit both the cytochrome P450 3A4 (CYP3A4) enzyme as
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7 135 well as the P-glycoprotein (P-gp) as depicted by the Food and Drug Administration (FDA).²⁴ For
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9 136 warfarin, we studied the use of drugs known to inhibit or potentiate its anticoagulant effect with a high
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11 137 probability according to the American College of Chest Physicians guideline.²⁵
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13 138 All analyses performed were based upon the baseline medication burden; only for the anticoagulant we
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15 139 also studied premature permanent study drug discontinuation and for patients assigned to warfarin we
16
17 140 calculated the time in therapeutic range (TTR) according to the Rosendaal method.²⁶
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19 141 Per protocol, the use of any concomitant medications during the trial was left to the discretion of the
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21 142 treating physician. The following concomitant medications were prohibited in combination with the
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23 143 study medication: potent inhibitors of CYP3A4 (e.g., azole antifungals, macrolide antibiotics, protease
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25 144 inhibitors, and nefazadone), aspirin in a daily dose >165 mg, other anticoagulant agents (e.g.,
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27 145 unfractionated heparin, low molecular weight heparin, direct thrombin inhibitors, pentasaccharides),
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29 146 and glycoprotein IIb/IIIa inhibitors. If these agents were used during trial participation, study
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31 147 medication was to be (temporarily) interrupted and restarted as soon as the prohibited medication was
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33 148 discontinued. In addition, during the trial it was advised to cautiously use aspirin in combination with a
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35 149 thienopyridine, chronic daily use of a non-steroid anti-inflammatory agent, and cytotoxic or
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37 150 myelosuppressive therapy.

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152 **Clinical outcomes**

153 We assessed outcomes in relation to the number of concomitant medications used at the time of
154 randomization, during a median follow-up of 1.8 years (25th, 75th percentiles: 1.3, 2.3 years). The
155 primary efficacy outcome was stroke (i.e., abrupt onset of focal neurological symptoms lasting at least
156 24 hours), or a systemic embolism (i.e., symptoms suggestive of an acute loss of blood flow to a non-
157 cerebral artery, supported by evidence of embolism from surgical specimens, autopsy, angiography, or
158 other objective testing).

159 Key secondary efficacy outcomes included assessment of the type of stroke (ischemic,
160 hemorrhagic, unspecified) and all-cause death.

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3 161 The primary safety endpoint was major bleeding according to the criteria set by the
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5 162 International Society on Thrombosis and Haemostasis (ISTH), which includes any clinically overt
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7 163 bleeding event accompanied by one or more of the following: a hemoglobin drop of 2 g/dL or more
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9 164 over a 24-hour period, a transfusion of 2 or more units of packed red blood cells, bleeding at a critical
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11 165 site (i.e., intracranial, intra-spinal, intraocular, intra-articular, pericardial, intramuscular with
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13 166 compartment syndrome, or retroperitoneal), or fatal bleeding.²⁷ Moreover, clinically relevant non-
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15 167 major bleeding events were monitored and were defined as all clinically overt bleeding not meeting
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17 168 the criteria of major bleeding though leading to either hospital admission, physician-guided medical or
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19 169 surgical treatment, or a change in antithrombotic therapy.

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21 170 The combined endpoint of 'net benefit' was defined as the combination of death, stroke,
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23 171 systemic embolism, and major bleeding.

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26 27 173 **Statistical analysis**

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29 174 Based on the tertiles of the distribution of the number of concomitant medications used at baseline,
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31 175 patients were classified in three groups. Comorbidities, organized by organ system, were summarized
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33 176 for the three groups, as well as other baseline characteristics. A similar approach was followed for the
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35 177 different drug classes. Data were depicted as means and standard deviations for continuous variables
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37 178 and frequencies and percentages for categorical variables. One-way ANOVA and chi-square tests were
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39 179 used to compare groups. Efficacy, safety, and net benefit endpoints were compared among the three
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41 180 groups using rates per 100 patient-years of follow-up and adjusted hazard ratios with 95% confidence
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43 181 intervals. Adjusted hazard ratios were derived using Cox regression models adjusting for sex and age
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45 182 and country of randomization. In these models, age was considered non-linear and included as a
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47 183 restricted cubic spline. The randomized treatment effect was assessed within each group (0–5, 6–8, ≥9
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49 184 medications) using a Cox regression model to estimate hazard ratios for apixaban versus warfarin
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51 185 along with 95% confidence intervals. The homogeneity of the randomized treatment effect across
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53 186 groups was tested by adding interaction terms to the Cox regression model.
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3 187 The proportional hazard assumption was evaluated using scaled Schoenfeld residuals and no clinically
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5 188 relevant departure from the assumption was observed. All the analyses performed with SAS version
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7 189 9.4 (SAS Institute, Inc., Cary, NC).
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10 11 191 **Patient involvement**

12 192 No patients were involved in designing the study, in assessing the burden of the intervention on
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14 193 patients, or in explicitly setting outcome measures; however, outcomes were chosen to reflect daily
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16 194 practice described in earlier studies.²⁸ Final study results of the ARISTOTLE trial were disseminated
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18 195 to study participants through their treating physicians.
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22 23 197 **Results**

24 25 198 **Baseline characteristics and comorbidity**

26
27 199 Table 1 depicts baseline characteristics of the study population, categorized in tertiles by the number
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29 200 of drugs. The randomized treatment was well balanced across tertiles and no relevant differences
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31 201 between apixaban and warfarin was observed for any of the drug categories across the tertiles
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33 202 (Supplementary Table 1).
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36 203 Patients using more medications were older, more often female, and less often warfarin-naïve
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38 204 at study entry (Table 1). The CHADS₂ and HAS-BLED scores increased across tertiles of increasing
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40 205 number of concomitant medications. With increasing number of medications the associated
41
42 206 comorbidity increased significantly (Table 1).
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45 46 208 **Concomitant drugs - classification according to organ or system**

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48 209 The median number of medications used was 6 (25th, 75th percentiles: 5, 9) and polypharmacy was
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50 210 present in 13,932 (76.5%) patients (Supplementary Figure 1). There were marked regional differences
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52 211 in the number of medications used, with 53% of patients enrolled in North America using 9 or more
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54 212 medications (United States 58%; Canada 38%), compared with 10–21% for the other regions (Table
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56 213 1). Although 4 or more organ systems with comorbidity was higher in the U.S. (43.3% in the U.S.
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3 214 versus 20.5% in non-U.S. countries), the greater number of medications in the U.S. was observed
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5 215 regardless of the number of comorbidities.
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7 216 Across tertiles of polypharmacy, the median number of represented drug classes increased from
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9 217 2 (2, 3) for patients using 0–5 medications to 5 (4, 5) for patients using 9 or more medications (Table
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11 218 2).
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13 219 There were no relevant differences between apixaban and warfarin regarding the proportion of drug
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15 220 classes. For each of the respective drug classes the proportion of patients using one or more drugs
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17 221 increased significantly across tertiles.
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19 222 For each of the tertiles, the number of represented drug classes was higher in the U.S. than in the non-
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21 223 U.S. population (Supplementary Table 2 A, B). Despite this difference in prescription pattern, there
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23 224 was a clear association between the number of concomitant drugs at baseline and the number of
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25 225 comorbidities, both for the U.S. and the non-U.S. populations.
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30 31 228 **Clinical outcomes according to the number of concomitant medications**

32 33 229 *Efficacy outcomes*

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35 230 With regard to the primary efficacy endpoint (stroke and systemic embolism), patients using more
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37 231 concomitant medications were at higher risk, with an increase in event rates from 1.29 for patients
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39 232 using 0–5 medications to 1.57 per 100 patient-years for patients using 9 or more medications
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41 233 ($p<0.001$; Table 3). For the secondary efficacy outcomes there was also a significant association with
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43 234 the number of concomitant medications, with a two-fold increased risk for all-cause death, when the
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45 235 highest tertile (≥ 9 medications) was compared with the lowest (0–5 medications) ($p<0.001$).
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47 236

48 49 237 *Safety outcomes*

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51 238 The risk of major bleeding for patients using 6–8 and 9 or more medications was significantly higher
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53 239 when compared with those using 0–5 medications (6–8 medications: adjusted HR 1.24, 95% CI 1.04 to
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55 240 1.49; 9 or more drugs: adjusted HR 1.72, 95% CI 1.41 to 2.10; Table 3). When subdividing major
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57 241 bleeding according to the location, no significant difference across tertiles was observed for
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3 242 intracranial bleeding ($p=0.73$), while the event rate for gastrointestinal bleeding significantly increased
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5 243 with a higher number of concomitant medications.
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9 245 ***Net benefit outcome***

10 246 With regard to the combined endpoint stroke, systemic embolism, major bleeding, and all-cause death,
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12 247 event rates increased across tertiles (5.24, 6.59, and 8.92 per 100 patient-years for 0–5, 6–8, and 9 or
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14 248 more medications, respectively, $p<0.001$). This was associated with an adjusted hazard ratio of 1.84
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16 249 (95% CI 1.631 to 2.071) for patients using 9 or more medications when compared with those using 0–
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18 250 5.
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23 252 ***Other outcomes***

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25 253 With increasing numbers of medications, the risk of permanent study drug discontinuation increased
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27 254 significantly (discontinuation rates 14.3, 15.0, and 17.4 per 100 patient-years at risk for 0–5, 6–8 and 9
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29 255 or more drugs, respectively, $p<0.001$) (Table 3). Poor INR control during follow-up (i.e., TTR below
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31 256 66%) was highest in the patients using 0–5 concomitant medications and decreased across tertiles
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33 257 (53.2%, 50.2%, and 44.9% for 0–5, 6–8, and 9 or more respectively, $p<0.001$) (Table 3).
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37 259 **Treatment effect**

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39 260 Figures 1 and 2 outline the treatment effect of apixaban when compared with warfarin for the different
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41 261 outcomes categorized by the number of medications used at baseline.

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43 262 For the primary efficacy outcome, risk reductions of apixaban versus warfarin were consistent,
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45 263 irrespective of the number of medications used (p interaction=0.8), with lower event rates on apixaban
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47 264 for all tertiles. Also for the secondary efficacy outcomes, no significant interactions were observed.

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49 265 With regard to major bleeding, relative risk reductions for apixaban versus warfarin decreased
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51 266 with increasing number of drugs (p interaction=0.017), corresponding with absolute reductions per 100
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53 267 patient-years of 1.28 to 0.82 to 0.66 for the three respective categories (0–5, 6–8, and 9 or more drugs).

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55 268 For intracranial bleeding, the absolute benefit on apixaban showed a numeric increase across tertiles;
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57 269 this, in contrast to the numeric differences in major gastrointestinal bleeding observed between
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3 270 treatment groups. With regard to the combined outcome of stroke, systemic embolism, major bleeding
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5 271 and all-cause death, we observed no significant interaction between treatment groups ($p=0.1$) Rates of
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7 272 permanent study drug discontinuation were lower for apixaban in all tertiles (p interaction= 0.4).
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274 **Interacting drugs**

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11 275 The proportion of patients using an interacting drug increased across tertiles, both for CYP3A4/P-gp
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13 276 inhibiting as warfarin potentiating drugs. At least one combined inhibitor of both the CYP3A4 enzyme
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15 277 and P-gp was used by 20.9% of the apixaban users and 21.1% of patients on warfarin used VKA
16
17 278 potentiating drugs. (Table 2). As for the concomitant use of aspirin, NSAID and/or prednisone,
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19 279 proportions were 13.8%, 31.7%, and 49.7%, respectively ($p<0.001$).
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23 280 Rates of major bleeding did not significantly differ between patients with or without combined
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25 281 CYP3A4 and P-gp inhibitors (2.59 vs 2.61 per 100 patient-years, respectively; Table 4). Moreover, no
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27 282 significant interaction with the treatment allocation was observed ($p=0.4$). With regard to drugs known
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29 283 to potentiate warfarin, we also observed no difference in event rate of major bleeding (2.60 vs 2.61 per
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31 284 100 patient-year for users and non-users, respectively).
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34 35 286 **Discussion**

36
37 287 In this post-hoc analysis of the ARISTOTLE trial, we demonstrated that polypharmacy is seen in three
38
39 288 quarters of AF patients and that the number of concomitant medications is associated with increased
40
41 289 comorbidity. Prescription patterns differed across regions, with approximately twice the number of
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43 290 concomitant medications in the U.S. vs non-U.S. populations. Adverse clinical outcome occurred more
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45 291 frequently in patients treated with a higher number of concomitant medications. The benefits of
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47 292 apixaban in reducing stroke were preserved, regardless of the number of medications taken. In terms
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49 293 of safety, while the rates of major bleeding were consistently lower with apixaban, the magnitude of
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51 294 benefit with apixaban decreased with the number of concomitant medications.
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55 56 296 **Polypharmacy and adverse outcomes**

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3 297 AF is a disease of the elderly, who have a varying extent of comorbidity, and associated concomitant
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5 298 medication.²⁹ Previous studies reported rates of polypharmacy in about 60% of AF patients.^{9,10}
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7 299 Various reports have demonstrated, for different clinical conditions, that polypharmacy is associated
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9 300 with increased comorbidity.⁵⁻¹⁰ In addition, studies focusing on elderly populations have linked
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11 301 polypharmacy to adverse drug reactions, falls, disability, and frailty.⁶⁻⁸ In this context, patients with
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13 302 polypharmacy may constitute a population with a differential response to oral anticoagulation.
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15 303 Although differences in prescription thresholds may affect the classification of patients in individual
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17 304 cases, several reports have repeatedly demonstrated on a group level that polypharmacy is associated
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19 305 with comorbidity and adverse outcome, also in AF populations.⁶⁻¹⁷ Our findings of higher risks of
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21 306 bleeding, stroke and all-cause mortality with increasing numbers of drugs are in line with these
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23 307 previous observations.

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25 308 Notably, this higher risk of adverse outcomes should be placed in the context of the
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27 309 association between the number of medications and comorbidities present at baseline, indicating a
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29 310 more frail status of patients with polypharmacy. If we were to adjust for these baseline differences, it
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31 311 is likely that the risk of adverse outcomes related to the number of medications would diminish.
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33 312 However, it is not our objective to study the association between polypharmacy and adverse outcomes
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35 313 independent of the baseline difference. On the contrary, we studied the number of concomitant
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37 314 medications as a marker of comorbidity/frailty and adverse outcome.

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39 315 As such, we performed adjustments limited to age, sex, and country of randomization. The
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41 316 latter is of special importance given the differences in prescription patterns between countries,
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43 317 independent of differences in comorbidity. It is striking that in the U.S., there is more use of
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45 318 polypharmacy, not explained by more comorbidity.

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48 49 320 **Polypharmacy and treatment effect**

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51 321 Considering that patients with polypharmacy have a higher risk of adverse outcomes and multiple
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53 322 coexisting impairments, it is of special interest to study whether the main trial results of the
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55 323 ARISTOTLE study are consistent among patients using numerous concomitant medications. As far as
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57 324 the primary endpoint of stroke and systemic embolism is concerned, the 21% risk reduction of
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3 325 apixaban when compared with warfarin in the complete population was consistent irrespective of the
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5 326 number of medications used.¹⁹

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7 327 Overall, the use of apixaban was associated with a 31% risk reduction in major bleeding.¹⁹
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9 328 However, we observed a statistically significant treatment interaction with relative risk reductions of
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11 329 apixaban varying from 50% (0–5 medications) to 28% (6–8 medications) and 16% (≥ 9 medications),
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13 330 respectively. Importantly, the risk reduction of intracranial bleeding did not diminish with an
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15 331 increasing number of concomitant medications. Therefore, the fact that the relative benefit of apixaban
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17 332 over warfarin appears to diminish across tertiles is due to other types of major bleeds. For example,
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19 333 with increasing numbers of medications, the numeric difference in gastrointestinal bleedings shifts
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21 334 from a benefit for apixaban (0–5 medications) to no apparent difference (≥ 9 medications) between
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23 335 both oral anticoagulants.

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25 336 In the ROCKET AF trial, with overall similar rates of major bleeding for rivaroxaban and
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27 337 warfarin, there was also a treatment interaction for major bleeding, in that the hazard ratio for major
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29 338 bleeding in patients using fewer medications (0–4) was lower (adjusted HR 0.69, 95% CI 0.51 to 0.94)
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31 339 than observed in the entire study population (HR 1.04, 95% CI 0.90 to 1.20).¹⁰ As for mortality, there
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33 340 was no difference in treatment effect of rivaroxaban in patients with polypharmacy. In ARISTOTLE,
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35 341 apixaban reduced the risk of mortality by 11% when compared with warfarin in the main study, a risk
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37 342 reduction that was consistent regardless of the number of concomitant medications.¹⁹

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39 343 In ARISTOTLE as well as in ROCKET AF, patients with polypharmacy were older.¹⁰
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41 344 Nonetheless, the relative reduction of both apixaban and rivaroxaban on major bleeding proved to be
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43 345 consistent across the different age groups in previously reported post-hoc analyses.^{30,31} Importantly,
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45 346 this implies that our findings cannot be inferred to the ‘elderly patient’ in general. In fact, our findings
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47 347 are irrespective of age and sex, and refer to the group of patients, both younger and older, with
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49 348 multiple comorbidities and medications.

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51 349 Possible explanations for the attenuation of the observed safety benefit of apixaban with
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53 350 increasing concomitant drugs include effects of comorbidity and drug-drug interactions, or the play of
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55 351 chance. We demonstrated that various co-existing diseases (COPD, gastrointestinal disease, renal
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57 352 impairment) were more frequent with increasing numbers of concomitant drugs. Of interest, given the
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3 353 consistent risk reduction of apixaban for intracranial bleeding, the treatment interaction for major
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5 354 bleeding is related to other major bleeding. Risk factors for gastrointestinal bleeding complications
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7 355 (e.g., previous gastric ulcer, gastrointestinal surgery, dyspepsia, aspirin/prednisone/NSAID use) were
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9 356 more prevalent among patients with polypharmacy. In addition, other non-gastrointestinal risk factors
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11 357 for bleeding were also more often common in patients with more concomitant medications (e.g., older
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13 358 age, renal impairment, anemia, diabetes, and previous bleeding).³²

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15 359 Other aspects that may account for the decrease in benefit of apixaban in patients in the
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17 360 highest tertile are the higher rates of permanent discontinuation and higher proportion of patients who
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19 361 were VKA-naïve.³³ These differences may blunt the observed risk reduction of apixaban in this tertile.
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21 362 Finally, the better INR control in patients with ≥ 9 medications may have diminished bleeding rates on
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23 363 warfarin in this subgroup.^{34,35}

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25 364 As for drug-drug interactions, we specifically studied the impact of warfarin potentiating drugs
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27 365 and the combination of CYP3A4 and P-gp inhibitors, given the possibility of higher apixaban plasma
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29 366 concentrations with these agents. However, there was no evidence of differential treatment effect
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31 367 between apixaban and warfarin across tertiles of the number of concomitant drugs when accounting
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33 368 for warfarin potentiating or for apixaban potentiating drugs.

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35 369 The abovementioned effects of non-vitamin K antagonist oral anticoagulants in patients with
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37 370 polypharmacy have also been studied in a pooled analysis of data in the setting of secondary
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39 371 prevention after a venous thromboembolism.¹⁵ For major bleeding, there was no treatment interaction,
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41 372 when the safety of dabigatran versus warfarin was compared in patients with ≤ 3 or >3 concomitant
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43 373 medications. However, these patients are much younger and less fragile when compared with a
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45 374 patients with AF.

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47 375 Interestingly, also in the field of symptomatic venous thromboembolism the issue of a
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49 376 potential different response to oral anticoagulation therapy in 'fragile' patients has been studied into
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51 377 more detail.³⁶ Of note, in this study, patients were considered to be 'fragile' if they were >75 years,
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53 378 had a low body weight (<50 kg), or had impaired renal function (creatinine clearance <50 mL/min).
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55 379 Although this certainly identifies patients at risk, incorporation of multiple comorbidities would allow
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57 380 for a more refined identification of frail patients within these specific subsets of patients.³⁷

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3 381 In summary, polypharmacy may be a marker of multi-morbidity and a predictor of adverse
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5 382 outcomes, and it may provide a first, general impression of a patients' frailty status. Future research on
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7 383 a differential response with oral anticoagulation therapy in patients with multi-morbidity may focus on
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9 384 incorporation of the key frailty criteria, for example the Fried criteria, which may help to identify a
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11 385 group of higher-risk patients that is often underrepresented in clinical trials.³⁸ This may be a group that
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13 386 deserves additional attention, as far as the generalizability of trial data is concerned, not only in the
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15 387 field of anticoagulation therapy, but also for other therapies.³⁹
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18 19 389 **Limitations**

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21 390 There are several limitations of this study. First, this is a post-hoc analysis, though there was a
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23 391 prospective detailed analysis plan. Second, the analyses are based on baseline medication burden,
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25 392 without information on drug changes, reason and/or appropriateness of drug prescription. However,
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27 393 with polypharmacy that is often driven by chronic medical conditions, dramatic reductions in the
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29 394 number of drugs are not very likely. Third, although the number of drugs may not only be driven by
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31 395 the extent of comorbidity, but also by prescription patterns, we acknowledge that this may have
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33 396 affected classification on an individual level. However, on a group level the use of polypharmacy has
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35 397 repeatedly demonstrated to be a marker of the extent of comorbidity and associated with adverse
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37 398 outcome. The cut-off value of 5 or more drugs may be somewhat arbitrary, but has been used in many
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39 399 previous reports. Appreciating that three quarters of patients would qualify for polypharmacy
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41 400 according to this definition, our statistical approach was not arbitrary, but based on a common
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43 401 approach of dividing our data into tertiles to allow exploration of polypharmacy across categories that
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45 402 are sufficiently large to avoid the hazard of small subgroups.
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48 49 404 **Conclusions**

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51 405 In this population with atrial fibrillation on oral anticoagulation therapy, polypharmacy (≥ 5 drugs) is
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53 406 observed in three quarters of patients. The extent of comorbidity increased with greater numbers of
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55 407 concomitant drugs, which was irrespective of regional prescription patterns. Mortality, stroke and
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57 408 major bleeding were also more frequent with increasing numbers of drugs. As for a potential
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3 409 differential response to anticoagulation therapy in this context, we observed that apixaban was superior
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5 410 to warfarin in terms of efficacy, regardless of the number of medications taken, whereas its magnitude
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7 411 of benefit on major bleeding decreased with higher numbers of concomitant medications. There were
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9 412 important differences in the comorbidity profile that could account for this, and it did not appear that
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11 413 warfarin or apixaban potentiating drugs (CYP3A4, P-gp inhibitors) explained this observed treatment
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13 414 interaction. In summary, apixaban is more effective than and at least as safe as warfarin in patients
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15 415 with AF, regardless of polypharmacy.
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3 416 **What is already known on this topic:**

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5 417 - Polypharmacy is associated with increased co-morbidity, frailty and drug-drug interactions,
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7 418 and has repeatedly been shown to be a marker of adverse clinical outcome. In this context,
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9 419 patients with polypharmacy could have a differential response to anticoagulation therapy.
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11 420 - In a general atrial fibrillation population, apixaban has been shown to be a more effective and
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13 421 safer alternative than warfarin, but it has not been determined if this also holds true for
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15 422 patients using numerous concomitant medications.
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19 424 **What this study adds:**

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21 425 - In patients with AF, apixaban was more effective than warfarin regardless of the number of
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23 426 concomitant medications and, while the rates of major bleeding were consistently lower with
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25 427 apixaban, the magnitude of benefit with apixaban appeared to decrease with increasing
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27 428 number of concomitant medications.
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29 429 - It does not appear that the specific use of warfarin or apixaban potentiating drugs accounts for
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31 430 this differential response to anticoagulation therapy with regard to major bleeding.
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3 431 **Author statements**
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5 432 **Contributors:** All authors (JJF, MAB, DMW, LT, RDL, JBW, FL, DX, SH, LW, JHA, CBG, and
6
7 433 FWAV) made substantial contributions to the conception and design of the work; the acquisition, and
8
9 434 interpretation of data for the work. DMW and LT conducted the data analysis. JJF, MAB, and FWAV
10
11 435 drafted the work and all authors revised it critically for important intellectual content and approved of
12
13 436 the final version for submission. All authors agree to be accountable for all aspects of the work in
14
15 437 ensuring that questions related to the accuracy or integrity of any part of the work are appropriately
16
17 438 investigated and resolved.
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21 440 **Authorship**

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23 441 All authors had full access to the data in the study and can take responsibility for the integrity of the
24
25 442 data and the accuracy of the data analysis. All authors meet the ICMJE's criteria for authorship and
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27 443 reviewed and approved the manuscript for submission.
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42 450 **Competing Interests**

43
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36 474 **Ethical Approval:** The ARISTOTLE study was approved by the appropriate ethics committees at all
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38 475 sites; all patients provided written informed consent.

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42 **Transparency Declaration**

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44 478 Jeroen Jaspers Focks affirms that the manuscript is an honest, accurate, and transparent account of the
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46 479 study being reported; that no important aspects of the study have been omitted; and that any
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48 480 discrepancies from the study as planned (and, if relevant, registered) have been explained.

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488 **Data Sharing**

489 No additional data available.

490

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Figure Legends

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603 Figure 1. Association between randomized treatment and the main outcomes by number of baseline
604 medications.
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606 Figure 2. Treatment comparisons for efficacy, safety and net benefit outcomes between apixaban and
607 warfarin according to the number of baseline medications.

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Characteristic	Number of Medications			p-value
	0-5 (N=6943)	6-8 (N=6502)	9 or more (N=4756)	
Age, mean (SD), yrs	68 (10)	69 (10)	71 (9)	<.0001
Male, no. (%)	4687 (67.5%)	4107 (63.2%)	2991 (62.9%)	<.0001
Weight, mean (SD), kg	81 (19)	84 (21)	89 (23)	<.0001
Body mass index, mean (SD), kg/m ²	28.2 (5.4)	29.5 (6.0)	30.7 (6.5)	<.0001
Prior use of Vitamin K antagonists for >30 days, no (%)	3555 (51.2%)	3656 (56.2%)	3190 (67.1%)	<.0001
Creatinine, mean (SD)	1.02 (0.24)	1.06 (0.28)	1.12 (0.32)	<.0001
Region of enrollment, no. (%)				<.0001
North America	736 (10.6%)	1353 (20.8%)	2385 (50.1%)	
Latin America	1809 (26.1%)	1306 (20.1%)	353 (7.4%)	
Europe	3128 (45.1%)	2811 (43.2%)	1404 (29.5%)	
Asia	1270 (18.3%)	1032 (15.9%)	614 (12.9%)	
HAS-BLED score, mean (SD)	1.45 (0.96)	1.77 (1.02)	2.25 (1.05)	<.0001
CHADS ₂ score, mean (SD)	1.87 (1.02)	2.15 (1.08)	2.44 (1.17)	<.0001
CHADS ₂ score, no (%)				
≤1	3093 (44.5%)	2057 (31.6%)	1033 (21.7%)	<.0001
2	2309 (33.3%)	2400 (36.9%)	1807 (38.0%)	
≥3	1541 (22.2%)	2045 (31.5%)	1916 (40.3%)	
Randomized group, no. (%)				0.1
Apixaban	3424 (49.3%)	3320 (51.1%)	2376 (50.0%)	
Warfarin	3519 (50.7%)	3182 (48.9%)	2380 (50.0%)	
Low dose apixaban/placebo (2.5 mg bid) received	253 (3.6%)	288 (4.4%)	290 (6.1%)	<.0001
Comorbidities organized by organ system, no. (%)				
Cardiovascular				
CAD	1795 (25.9%)	2184 (33.6%)	2063 (43.4%)	<.0001
Prior MI	564 (8.1%)	985 (15.2%)	1036 (21.8%)	<.0001
History of PCI/CABG	369 (5.3%)	815 (12.5%)	1292 (27.2%)	<.0001
Congestive Heart Failure within 3 Months	1931 (27.8%)	2194 (33.7%)	1416 (29.8%)	<.0001
At Least Moderate Valvular Heart Disease	926 (13.4%)	1192 (18.3%)	1116 (23.5%)	<.0001
Syncope in Last 5 years	258 (3.7%)	279 (4.3%)	322 (6.8%)	<.0001
Hypertension with Pharmacological Treatment	5844 (84.2%)	5762 (88.6%)	4310 (90.6%)	<.0001
PAD	193 (2.8%)	290 (4.5%)	401 (8.5%)	<.0001
Aortic Aneurysm	46 (0.7%)	84 (1.3%)	139 (3.0%)	<.0001
Neurological/Cerebrovascular				
Carotid Stenosis	54 (0.8%)	93 (1.4%)	190 (4.0%)	<.0001
TIA	302 (4.4%)	315 (4.8%)	337 (7.1%)	<.0001
Stroke	808 (11.6%)	750 (11.5%)	569 (12.0%)	0.8
Dementia	22 (0.4%)	29 (0.5%)	45 (1.0%)	<.0001
Epilepsy	22 (0.4%)	49 (0.8%)	41 (0.9%)	0.0006
Pulmonary				

Table 1. Baseline Characteristics by Number of Medications Used

Characteristic	Number of Medications			p-value
	0-5 (N=6943)	6-8 (N=6502)	9 or more (N=4756)	
COPD	435 (6.3%)	626 (9.7%)	889 (18.7%)	<.0001
Asthma	157 (2.3%)	250 (3.9%)	462 (9.7%)	<.0001
Sleep Apnea	145 (2.1%)	262 (4.0%)	606 (12.8%)	<.0001
Gastrointestinal				
Dyspepsia	374 (5.4%)	445 (6.9%)	556 (11.7%)	<.0001
GE Reflux Disease	315 (4.5%)	527 (8.1%)	1074 (22.6%)	<.0001
Peptic Ulcer Disease	383 (5.5%)	417 (6.4%)	406 (8.5%)	<.0001
GI Surgery	509 (7.3%)	606 (9.3%)	575 (12.1%)	<.0001
Chronic Liver Disease	190 (2.7%)	193 (3.0%)	121 (2.5%)	0.4
Endocrine				
Hypo/Hyperthyroidism	429 (6.2%)	733 (11.3%)	878 (18.5%)	<.0001
Diabetes	806 (11.6%)	1603 (24.7%)	2138 (45.0%)	<.0001
End organ Damage due to DM	75 (1.1%)	219 (3.4%)	459 (9.7%)	<.0001
Musculoskeletal				
Falls within 1 year	140 (2.3%)	215 (3.6%)	398 (8.8%)	<.0001
Previous Non-Traumatic Fracture	299 (4.3%)	339 (5.2%)	436 (9.2%)	<.0001
Osteoporosis	151 (2.2%)	298 (4.6%)	521 (11.0%)	<.0001
Renal				
Chronic Kidney Disease	434 (6.3%)	520 (8.0%)	553 (11.6%)	<.0001
Creatine Clearance < 50 mL/min	927 (13.4%)	1112 (17.2%)	970 (20.5%)	<.0001
Hematological				
History of Anemia	210 (3.0%)	359 (5.5%)	676 (14.2%)	<.0001
Thrombocytopenia (platelet at baseline < 150)	510 (7.6%)	467 (7.4%)	332 (7.2%)	0.8
Bleeding History	779 (11.2%)	1029 (15.8%)	1232 (25.9%)	<.0001
Number of organ systems affected (median, 25th-75th)	2, 1-3	2, 2-3	3, 2-4	<.0001

Subcategorization of all baseline characteristics per treatment allocation is presented in Supplementary Table 1.

Abbreviations: n = number of patients, sd = standard deviation, yrs = years, no = number, kg = kilogram, m = meter, CAD = coronary artery disease, MI = myocardial infarction, PCI = percutaneous coronary intervention, CABG = coronary artery bypass grafting, PAD = peripheral artery disease, TIA = transient ischemic attack, COPD = chronic obstructive pulmonary disease, GE = gastroesophageal reflux disease, GI = gastrointestinal, DM = diabetes mellitus, mL = milliliter, min = minute.

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Drug Class	Number of Medications			p-value
	0-5 (N=6943)	6-8 (N=6502)	9 or more (N=4756)	
A. Alimentary tract and metabolism	962 (13.9%)	3045 (46.8%)	4094 (86.1%)	<.0001
B. Blood and blood forming organs [excluding apixaban/warfarin]	2282 (32.9%)	4322 (66.5%)	4116 (86.5%)	<.0001
C. Cardiovascular system	6460 (93.0%)	6468 (99.5%)	4737 (99.6%)	<.0001
D. Dermatologicals	34 (0.5%)	96 (1.5%)	346 (7.3%)	<.0001
G. Genito-urinary system and sex hormones	173 (2.5%)	510 (7.8%)	936 (19.7%)	<.0001
H. Systemic hormonal preparations, excluding sex hormones and insulins	181 (2.6%)	508 (7.8%)	852 (17.9%)	<.0001
J. Antiinfectives for systemic use	44 (0.6%)	161 (2.5%)	347 (7.3%)	<.0001
L. Antineoplastic and immunomodulating agents	14 (0.2%)	60 (0.9%)	152 (3.2%)	<.0001
M. Musculo-skeletal system	202 (2.9%)	688 (10.6%)	1350 (28.4%)	<.0001
N. Nervous system	523 (7.5%)	1448 (22.3%)	2376 (50.0%)	<.0001
P. Antiparasitic products, insecticides and repellents	0 (0.0%)	13 (0.2%)	46 (1.0%)	<.0001
R. Respiratory system	164 (2.4%)	600 (9.2%)	1336 (28.1%)	<.0001
S. Sensory organs	41 (0.6%)	115 (1.8%)	300 (6.3%)	<.0001
V. Various	126 (1.8%)	247 (3.8%)	630 (13.2%)	<.0001
Interacting drugs				
≥1 combined P-gp and weak-moderate-strong CYP3A4 inhibitor	1128 (16.2%)	1431 (22.0%)	1301 (27.4%)	<.0001
≥1 combined P-gpGP and weak-moderate-strong CYP3A4 inducer	12 (0.2%)	34 (0.5%)	47 (1.0%)	<.0001
≥1 highly probable VKA inhibiting drug	8 (0.1%)	19 (0.3%)	33 (0.7%)	<.0001
≥1 highly probable VKA potentiating drug	973 (14.0%)	1406 (21.6%)	1387 (29.2%)	<.0001
Use of ASA,NSAIDs and/or Prednisone	956 (13.8%)	2064 (31.7%)	2362 (49.7%)	<.0001
Abbreviations: n = number of patients, P-gp = P-glycoprotein, CYP = Cytochrome P450, VKA = vitamin K antagonist, ASA = acetylsalicylic acid, NSAID = non-steroidal anti-inflammatory drug.				

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Table 3. Efficacy and Safety Outcomes by Number of Medications Used

	0-5 Meds	6-8 Meds		9 or more Meds		
Event	Rate (n)	Rate (n)	Adjusted Hazard Ratio* (95% CI)	Rate (n)	Adjusted Hazard Ratio* (95% CI)	p-value
Efficacy Outcomes						
Stroke/SE	1.29 (166)	1.48 (176)	1.270 (1.022 to 1.577)	1.57 (135)	1.539 (1.190 to 1.991)	0.0038
Ischemic or uncertain type of stroke	0.82 (106)	1.11 (132)	1.475 (1.136 to 1.915)	1.15 (99)	1.738 (1.275 to 2.369)	0.0010
All cause death	3.01 (396)	3.80 (462)	1.409 (1.229 to 1.616)	4.70 (414)	2.031 (1.735 to 2.377)	<.0001
Safety Outcomes						
Major bleeding	1.91 (224)	2.46 (267)	1.243 (1.036 to 1.491)	3.88 (298)	1.721 (1.414 to 2.095)	<.0001
Intracranial	0.54 (64)	0.55 (61)	1.025 (0.722 to 1.456)	0.62 (49)	1.153 (0.795 to 1.673)	0.7
Gastrointestinal	0.47 (56)	0.71 (78)	1.498 (1.062 to 2.111)	1.15 (90)	2.429 (1.740 to 3.391)	<.0001
Clinically relevant non-major bleeding	2.09 (243)	2.47 (267)	1.183 (0.994 to 1.408)	3.30 (252)	1.574 (1.319 to 1.877)	<.0001
Any bleeding	17.41 (1742)	21.40 (1908)	1.167 (1.092 to 1.247)	29.63 (1766)	1.452 (1.348 to 1.565)	<.0001
Net Benefit Outcomes						
Stroke/SE/major bleeding/all cause death	5.24 (665)	6.59 (769)	1.320 (1.187 to 1.468)	8.92 (743)	1.838 (1.631 to 2.071)	<.0001
Other Outcomes						
Permanent study drug discontinuation	14.32 (1699)	14.99 (1655)	1.053 (0.982 to 1.129)	17.44 (1372)	1.218 (1.123 to 1.322)	<.0001
Time in Therapeutic Range <66%#	53.2 (1823)	50.2 (1564)	0.887 (0.805 to 0.977)	44.9 (1044)	0.716 (0.644 to 0.795)	< .0001

Hazard ratios and p-value adjusted by country (strata), gender and age (spline)
 * Hazard ratio vs. 0-5 meds
 # Values reported are percentage (number of patients) and unadjusted odd ratios for patients randomized to warfarin.
 Abbreviations: n = number of patients, CI = confidence interval, meds = medications, SE = systemic embolism.

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Table 4: Major bleeding with apixaban or warfarin according to the use of interacting drugs

Interacting drugs	Use of potentiating drug		No use of potentiating drug		P interaction
	Apixaban Rate (n)	Warfarin Rate (n)	Apixaban Rate (n)	Warfarin Rate (n)	
≥ 1 combined P-gp and weak/moderate/strong CYP3A inhibitor	2.27 (72)	2.91 (93)	2.10 (255)	3.14 (369)	0.4
≥ 1 Highly probable VKA potentiating drug	2.03 (62)	3.16 (96)	2.16 (265)	3.07 (366)	0.6

Abbreviations: n = number of patients, PGP = P-glycoprotein, CYP = Cytochrome P450, VKA = vitamin K antagonist.

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Figure 1.

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Figure 2.

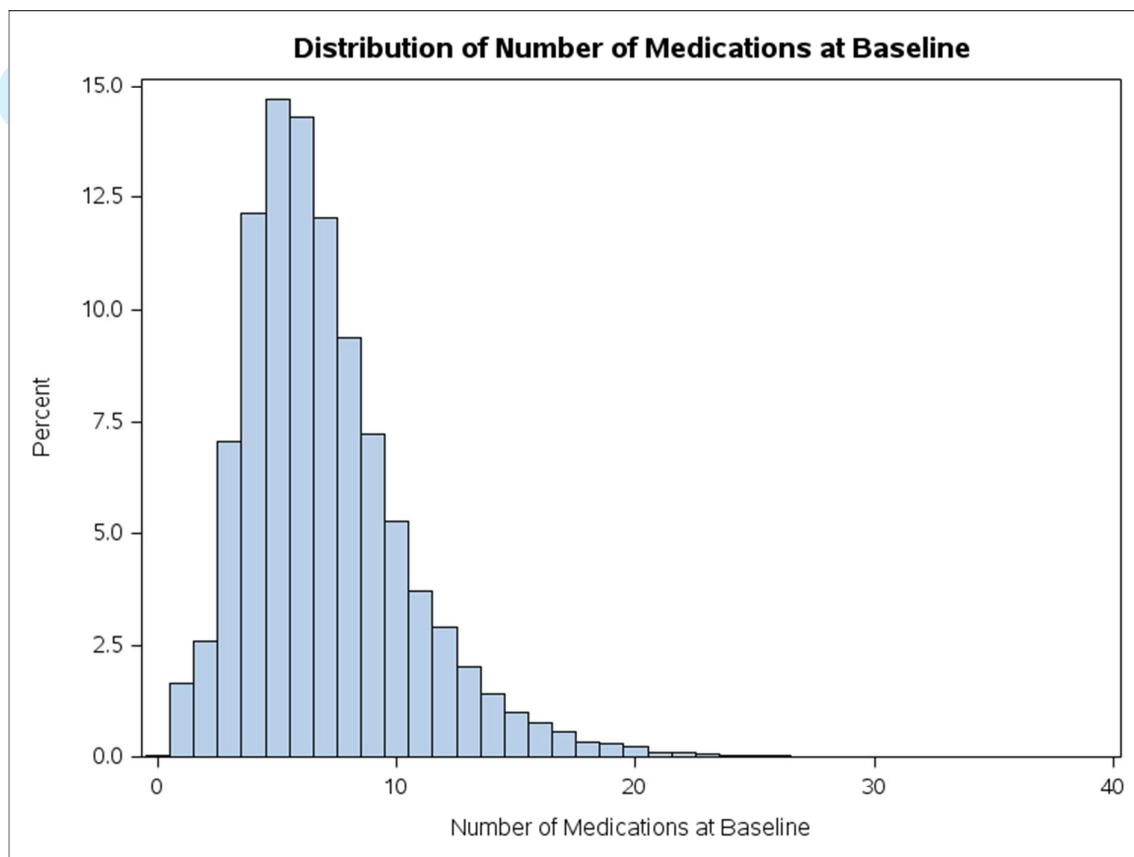
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Supplementary Figure 1



Supplementary figure 1. Distribution of number of medications at baseline.

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Supplementary Table 1. Baseline characteristics by number of baseline concomitant medications subcategorized by treatment allocation						
	0-5 Meds		6-8 Meds		9 or More Meds	
Characteristic	Apixaban (N=3424)	Warfarin (N=3519)	Apixaban (N=3320)	Warfarin (N=3182)	Apixaban (N=2376)	Warfarin (N=2380)
Age, mean (SD), yrs	68, (10)	68, (10)	69, (9)	69, (10)	71, (9)	71, (9)
Male, no, (%)	2320 (67.8%)	2367 (67.3%)	2076 (62.5%)	2031 (63.8%)	1490 (62.7%)	1501 (63.1%)
Weight, mean (SD), kg	81, (19)	81, (18)	84, (21)	84, (21)	89, (23)	89, (23)
Body mass index, mean (SD), kg/m ²	28.2, (5.3)	28.3, (5.4)	29.4, (6.0)	29.6, (6.1)	30.7, (6.5)	30.8, (6.6)
Prior use of Vitamin K antagonists for >30 days, no (%)	1759 (51.4%)	1796 (51.0%)	1852 (55.8%)	1804 (56.7%)	1597 (67.2%)	1593 (66.9%)
Creatinine, mean (SD)	1.01, (0.24)	1.02, (0.25)	1.06, (0.28)	1.06, (0.28)	1.12, (0.32)	1.12, (0.32)
Region of enrollment, no. (%)						
North America	362 (10.6%)	374 (10.6%)	702 (21.1%)	651 (20.5%)	1185 (49.9%)	1200 (50.4%)
Latin America	905 (26.4%)	904 (25.7%)	670 (20.2%)	636 (20.0%)	168 (7.1%)	185 (7.8%)
Europe	1549 (45.2%)	1579 (44.9%)	1409 (42.4%)	1402 (44.1%)	714 (30.1%)	690 (29.0%)
Asia	608 (17.8%)	662 (18.8%)	539 (16.2%)	493 (15.5%)	309 (13.0%)	305 (12.8%)
HAS-BLED score, mean (SD)	1.46, (0.96)	1.45, (0.96)	1.78, (1.01)	1.76, (1.03)	2.23, (1.06)	2.27, (1.04)
CHADS ₂ score, mean (SD)	1.87, (1.02)	1.88, (1.02)	2.15, (1.08)	2.14, (1.09)	2.41, (1.15)	2.46, (1.19)
CHADS ₂ score, no (%)						
≤1	1533 (44.8%)	1560 (44.3%)	1037 (31.2%)	1020 (32.1%)	530 (22.3%)	503 (21.1%)
2	1139 (33.3%)	1170 (33.2%)	1217 (36.7%)	1183 (37.2%)	906 (38.1%)	901 (37.9%)
≥3	752 (22.0%)	789 (22.4%)	1066 (32.1%)	979 (30.8%)	940 (39.6%)	976 (41.0%)
Low dose apixaban/placebo (2.5 mg bid) received	122 (3.6%)	131 (3.7%)	166 (5.0%)*	122 (3.8%)*	140 (5.9%)	150 (6.3%)
Comorbidities organized by organ system, no. (%)						
Cardiovascular						
CAD	897 (26.2%)	898 (25.6%)	1118 (33.7%)	1066 (33.6%)	1032 (43.5%)	1031 (43.3%)
Prior MI	295 (8.6%)	269 (7.7%)	501 (15.1%)	484 (15.2%)	523 (22.0%)	513 (21.6%)
History of PCI/CABG	192 (5.6%)	177 (5.0%)	430 (13.0%)	385 (12.1%)	653 (27.5%)	639 (26.8%)
Congestive Heart Failure within 3 Months	958 (28.0%)	973 (27.6%)	1127 (33.9%)	1067 (33.5%)	699 (29.4%)	717 (30.1%)
At Least Moderate Valvular Heart Disease	458 (13.4%)	468 (13.3%)	611 (18.4%)	581 (18.3%)	560 (23.6%)	556 (23.4%)
Syncope in Last 5 years	136 (4.0%)	122 (3.5%)	147 (4.4%)	132 (4.2%)	153 (6.4%)	169 (7.1%)
Hypertension with Pharmacological Treatment	2893 (84.5%)	2951 (83.9%)	2941 (88.6%)	2821 (88.7%)	2128 (89.6%)*	2182 (91.7%)*
PAD	88 (2.6%)	105 (3.0%)	150 (4.6%)	140 (4.4%)	204 (8.7%)	197 (8.4%)
Aortic Aneurysm	26 (0.8%)	20 (0.6%)	50 (1.5%)	34 (1.1%)	65 (2.8%)	74 (3.1%)
Neurological/Cerebrovascular						
Carotid Stenosis	29 (0.8%)	25 (0.7%)	48 (1.4%)	45 (1.4%)	103 (4.3%)	87 (3.7%)
TIA	138 (4.0%)	164 (4.7%)	164 (4.9%)	151 (4.7%)	158 (6.7%)	179 (7.5%)
Stroke	383 (11.2%)	425 (12.1%)	385 (11.6%)	365 (11.5%)	277 (11.7%)	292 (12.3%)
Dementia	10 (0.3%)	12 (0.4%)	18 (0.6%)	11 (0.4%)	21 (0.9%)	24 (1.1%)
Epilepsy	10 (0.3%)	12 (0.4%)	22 (0.7%)	27 (0.9%)	21 (0.9%)	20 (0.9%)

Supplementary Table 1. Baseline characteristics by number of baseline concomitant medications subcategorized by treatment allocation

Characteristic	0-5 Meds		6-8 Meds		9 or More Meds	
	Apixaban (N=3424)	Warfarin (N=3519)	Apixaban (N=3320)	Warfarin (N=3182)	Apixaban (N=2376)	Warfarin (N=2380)
Pulmonary						
COPD	211 (6.2%)	224 (6.4%)	317 (9.6%)	309 (9.8%)	442 (18.6%)	447 (18.8%)
Asthma	77 (2.3%)	80 (2.3%)	122 (3.7%)	128 (4.0%)	211 (8.9%)	251 (10.6%)
Sleep Apnea	72 (2.1%)	73 (2.1%)	138 (4.2%)	124 (3.9%)	310 (13.1%)	296 (12.5%)
Gastrointestinal						
Dyspepsia	193 (5.6%)	181 (5.2%)	229 (6.9%)	216 (6.8%)	272 (11.5%)	284 (11.9%)
GE Reflux Disease	164 (4.8%)	151 (4.3%)	253 (7.7%)	274 (8.6%)	540 (22.8%)	534 (22.5%)
Peptic Ulcer Disease	193 (5.6%)	190 (5.4%)	211 (6.4%)	206 (6.5%)	210 (8.8%)	196 (8.2%)
GI Surgery	246 (7.2%)	263 (7.5%)	316 (9.5%)	290 (9.1%)	298 (12.5%)	277 (11.7%)
Chronic Liver Disease	94 (2.7%)	96 (2.7%)	106 (3.2%)	87 (2.7%)	65 (2.7%)	56 (2.4%)
Endocrine						
Hypo/Hyperthyroidism	210 (6.2%)	219 (6.2%)	389 (11.7%)	344 (10.8%)	450 (19.0%)	428 (18.0%)
Diabetes	403 (11.8%)	403 (11.5%)	827 (24.9%)	776 (24.4%)	1054 (44.4%)	1084 (45.5%)
End organ Damage due to DM	32 (0.9%)	43 (1.2%)	108 (3.3%)	111 (3.5%)	229 (9.7%)	230 (9.7%)
Musculoskeletal						
Falls within 1 year	81 (2.7%)*	59 (1.9%)*	96 (3.2%)	119 (4.1%)	209 (9.3%)	189 (8.4%)
Previous Non-Traumatic Fracture	147 (4.3%)	152 (4.3%)	171 (5.2%)	168 (5.3%)	229 (9.7%)	207 (8.7%)
Osteoporosis	75 (2.2%)	76 (2.2%)	146 (4.4%)	152 (4.8%)	266 (11.2%)	255 (10.7%)
Renal						
Chronic Kidney Disease	218 (6.4%)	216 (6.1%)	260 (7.8%)	260 (8.2%)	270 (11.4%)	283 (11.9%)
Creatine Clearance < 50 mL/min	444 (13.0%)	483 (13.8%)	573 (17.3%)	539 (17.0%)	479 (20.2%)	491 (20.7%)
Hematological						
History of Anemia	97 (2.8%)	113 (3.2%)	199 (6.0%)	160 (5.0%)	354 (14.9%)	322 (13.5%)
Thrombocytopenia (platelet at baseline < 150)	261 (7.8%)	249 (7.3%)	245 (7.6%)	222 (7.1%)	177 (7.7%)	155 (6.7%)
Bleeding History	392 (11.4%)	387 (11.0%)	543 (16.4%)	486 (15.3%)	590 (24.8%)	642 (27.0%)
Number of organ systems affected (median, 25th-75th)	2, 1-3	2, 1-3	2, 2-3	2, 2-3	3, 2-4	3, 3-4

*P-value<0.05 between apixaban and warfarin allocation;

Abbreviations: n = number of patients, sd = standard deviation, yrs = years, no = number, kg = kilogram, m = meter, CAD = coronary artery disease, MI = myocardial infarction, PCI = percutaneous coronary intervention, CABG = coronary artery bypass grafting, PAD = peripheral artery disease, TIA = transient ischemic attack, COPD = chronic obstructive pulmonary disease, GE = gastroesophageal reflux disease, GI = gastrointestinal, DM = diabetes mellitus, mL = milliliter, min = minute.

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Drug Class	All (N=3417)	Number of Medications			p-value
		0-5 (N=467)	6-8 (N=970)	9 or more (N=1980)	
A. Alimentary tract and metabolism	2508 (73%)	100 (21.4%)	603 (62.2%)	1805 (91.2%)	<.0001
B. Blood and blood forming organs [excluding apixaban/warfarin]	2722 (80%)	209 (44.8%)	720 (74.2%)	1793 (90.6%)	<.0001
C. Cardiovascular system	3323 (97%)	400 (85.7%)	956 (98.6%)	1967 (99.3%)	<.0001
D. Dermatologicals	270 (8%)	8 (1.7%)	44 (4.5%)	218 (11.0%)	<.0001
G. Genito-urinary system and sex hormones	717 (21%)	29 (6.2%)	146 (15.1%)	542 (27.4%)	<.0001
H. Systemic hormonal preparations, excluding sex hormones and insulins	528 (15%)	19 (4.1%)	87 (9.0%)	422 (21.3%)	<.0001
J. Antiinfectives for systemic use	214 (6%)	3 (0.6%)	36 (3.7%)	175 (8.8%)	<.0001
L. Antineoplastic and immunomodulating agents	95 (3%)	4 (0.9%)	18 (1.9%)	73 (3.7%)	0.0004
M. Musculo-skeletal system	824 (24%)	18 (3.9%)	154 (15.9%)	652 (32.9%)	<.0001
N. Nervous system	1529 (45%)	63 (13.5%)	340 (35.1%)	1126 (56.9%)	<.0001
P. Antiparasitic products, insecticides and repellents	20 (0.6%)	0 (0.0%)	1 (0.1%)	19 (1.0%)	0.0034
R. Respiratory system	850 (25%)	19 (4.1%)	145 (14.9%)	686 (34.6%)	<.0001
S. Sensory organs	207 (6%)	4 (0.9%)	34 (3.5%)	169 (8.5%)	<.0001
V. Various	400 (12%)	10 (2.1%)	52 (5.4%)	338 (17.1%)	<.0001
Interacting drugs					
≥1 combined PGP and weak-moderate-strong CYP3A4 inhibitor	913 (26.7%)	87 (18.6%)	244 (25.2%)	582 (29.4%)	<.0001
≥1 combined PGP and weak-moderate-strong CYP3A4 inducer	12 (0.4%)	1 (0.2%)	4 (0.4%)	7 (0.4%)	0.9
≥1 highly probable VKA inhibiting drug	24 (0.7%)	1 (0.2%)	6 (0.6%)	17 (0.9%)	0.3
≥1 highly probable VKA potentiating drug	772 (22.6%)	45 (9.6%)	167 (17.2%)	560 (28.3%)	<.0001
Use of ASA,NSAIDs and/or Prednisone	1555 (45.5%)	91 (19.5%)	371 (38.2%)	1093 (55.2%)	<.0001
Abbreviations: n = number of patients, PGP = P-Glycoprotein, CYP = Cytochrome P450, VKA = vitamin K antagonist, ASA = acetylsalicylic acid, NSAID = non-steroidal anti-inflammatory drug.					

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Drug Class	Number of Medications				p-value
	All (N=14784)	0-5 (N=6476)	6-8 (N=5532)	9 or more (N=2776)	
A. Alimentary tract and metabolism	5593 (38%)	862 (13.3%)	2442 (44.1%)	2289 (82.5%)	<.0001
B. Blood and blood forming organs [excluding apixaban/warfarin]	7998 (54%)	2073 (32.0%)	3602 (65.1%)	2323 (83.7%)	<.0001
C. Cardiovascular system	14342 (97%)	6060 (93.6%)	5512 (99.6%)	2770 (99.8%)	<.0001
D. Dermatologicals	206 (1.4%)	26 (0.4%)	52 (0.9%)	128 (4.6%)	<.0001
G. Genito-urinary system and sex hormones	902 (6%)	144 (2.2%)	364 (6.6%)	394 (14.2%)	<.0001
H. Systemic hormonal preparations, excluding sex hormones and insulins	1013 (7%)	162 (2.5%)	421 (7.6%)	430 (15.5%)	<.0001
J. Antiinfectives for systemic use	338 (2%)	41 (0.6%)	125 (2.3%)	172 (6.2%)	<.0001
L. Antineoplastic and immunomodulating agents	131 (0.9%)	10 (0.2%)	42 (0.8%)	79 (2.8%)	<.0001
M. Musculo-skeletal system	1416 (10%)	184 (2.8%)	534 (9.7%)	698 (25.1%)	<.0001
N. Nervous system	2818 (19%)	460 (7.1%)	1108 (20.0%)	1250 (45.0%)	<.0001
P. Antiparasitic products, insecticides and repellents	39 (0.3%)	0 (0.0%)	12 (0.2%)	27 (1.0%)	<.0001
R. Respiratory system	1250 (8%)	145 (2.2%)	455 (8.2%)	650 (23.4%)	<.0001
S. Sensory organs	249 (1.7%)	37 (0.6%)	81 (1.5%)	131 (4.7%)	<.0001
V. Various	603 (4%)	116 (1.8%)	195 (3.5%)	292 (10.5%)	<.0001
Interacting drugs					
≥1 combined PGP and weak-moderate-strong CYP3A4 inhibitor	2947 (19.9%)	1041 (16.1%)	1187 (21.5%)	719 (25.9%)	<.0001
≥1 combined PGP and weak-moderate-strong CYP3A4 inducer	81 (0.5%)	11 (0.2%)	30 (0.5%)	40 (1.4%)	<.0001
≥1 highly probable VKA inhibiting drug	36 (0.2%)	7 (0.1%)	13 (0.2%)	16 (0.6%)	0.0002
≥1 highly probable VKA potentiating drug	2994 (20.3%)	928 (14.3%)	1239 (22.4%)	827 (29.8%)	<.0001
Use of ASA,NSAIDs and/or Prednisone	3827 (25.9%)	865 (13.4%)	1693 (30.6%)	1269 (45.7%)	<.0001
Abbreviations: n = number of patients, PGP = P-Glycoprotein, CYP = Cytochrome P450, VKA = vitamin K antagonist, ASA = acetylsalicylic acid, NSAID = non-steroidal anti-inflammatory drug.					

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