BMJ - Decision on Manuscript ID BMJ.2016.034729

Body: 26-Sep-2016

Dear Dr. Rampinelli

Manuscript ID BMJ.2016.034729 entitled "RADIATION EXPOSURE AND CANCER RISK ASSOCIATED WITH LOW-DOSE COMPUTED TOMOGRAPHY FROM LUNG CANCER SCREENING"

Thank you for sending us your paper. We sent it for external peer review and discussed it at our manuscript committee meeting. We recognise its potential importance and relevance to general medical readers, but I am afraid that we have not yet been able to reach a final decision on it because several important aspects of the work still need clarifying.

We hope very much that you will be willing and able to revise your paper as explained below in the report from the manuscript meeting, so that we will be in a better position to understand your study and decide whether the BMJ is the right journal for it. We are looking forward to reading the revised version and, we hope, reaching a decision.

Jose Merino jmerino@bmj.com

https://mc.manuscriptcentral.com/bmj?URL_MASK=869be03015454911aa6f6b58db5794c7

Report from The BMJ's manuscript committee meeting

These comments are an attempt to summarise the discussions at the manuscript meeting. They are not an exact transcript.

Members of the committee were: Elizabeth Loder (chair), Julie Morris (statistician), John Fletcher, Rubin, Tiago Villanueva. Written comments from José Merino and Georg Roggla.

Decision: Put points

Detailed comments from the meeting:

First, please revise your paper to respond to all of the comments by the reviewers, including the patient reviewers. Their reports are available at the end of this letter, below.

Please also respond to these additional comments by the committee:

- 1. This study does not involve any complex statistical modelling, rather it calculates individual cumulative radiation exposure for a cohort of patients, using data from a lung cancer screening programme and then uses data from tables published by the National Research Council to derive life attributable risk estimates by age, sex and organ. The limitations of these published tables are mentioned in the Discussion ie. that the estimates are, ".....a consensus opinion of a committee and the inferred risk at lower doses probably overestimates the risk of cancer induction" (Page 12.) It is appropriate to highlight the relatively imprecise nature of the estimates in the Results section as well.
- 2. Changing the LARs reported in Table 3 to rates (eg. x per 10,000) would make it easier to compare between age and sex cohorts.
- 3. Modelling is one way to approach this issue but it is also possible to undertake an observational study (see Cancer risk related to low-dose ionizing radiation from cardiac imaging in patients after acute myocardial infarction. CMAJ March 8, 2011 vol. 183 no. 4 First published February 7, 2011, doi: 10.1503/cmaj.100463. This study showed increasing cancer incidence with increased exposure to cardiac imaging in a study of 80,000 patients with a heart attack.) Please comment on choice of study design.

In your response please provide, point by point, your replies to the comments made by the reviewers and the editors, explaining how you have dealt with them in the paper.

Comments from Reviewers

Reviewer: 1

Comments:

In making a decision about acceptance, there are two large issues:

- Does this pass the "so what?" test – i.e. if the methods are solid is there enough interest for BMJ readership about the potential risk-benefit of radiation to justify publication? The medical

community seems to have a bit of a "split personality" approach to this. On the one hand, if we believe in ALARA (as low as reasonably achievable), then we have to believe that there is some risk, even from the low radiation resulting from CT exams (at least on a population basis). If this is the case then there is utility to modeling it. On the other hand, there is legitimate concern that overblown public perception of risk may lead to avoidance of imaging that has benefit. The Hendee article does the best job of speaking about this from the perspective of not doing risk estimates (the pendulum swinging back). What is really interesting about this article is that it provides some evidence (given that it may be highly speculative) that the risk of not getting screened outweighs any radiation risk of getting screened. One of the major arguments of Hendee is that population based risk may be overblown by the media and extrapolated by individual patients. This article may have the opposite effect - providing clinicians who want to do LDCT lung cancer screening with a publication that can alleviate fears that people may have about radiation when deciding to ${\rm engage} \stackrel{\cdot}{\rm in} {\rm CT} \ {\rm lung} \ {\rm screening}. \ {\rm From} \ {\rm this} \ {\rm perspective} \ {\rm it} \ {\rm may} \ {\rm actually} \ {\rm serve} \ {\rm a} \ {\rm public} \ {\rm health} \ {\rm mission} \ {\rm to}$ publish this data assuming the methods are as solid as can be expected. The other side of this, however, is that if we are willing to publish population based data on radiation risk we should be willing to accept it in other analyses.

- Are the methods solid. The major issue here is that the authors are presenting this data as prospective data and emphasizing this methodology in their manuscript. While this data is used, the real methodology is actually the estimation of risk benefit using BIER VII data and estimates of LAR from cancer etc. While the methodology should cite the prospective data, it should focus much more explicitly on the modeling methodology. This requires a major revision. The "intervention" is not the prospective enrollment, it is the analysis, and the paper should be very explicit about this.

Materials and methods

This should begin with the modeling as a separate distinct section. The "model assumptions from prospective data" should be its own section.

The detail about scanner protocols can be much abbreviated or even left out and referred to in the citations

Overall, the manuscript would benefit form close reading by a native English speaker.

Specific comments:

Page 4

- Line 34-36: Says that the "increased risk of ca related to LD radiation doses [repetitive], and its magnitude is still controversial"—it's not just that risk of radiation from LD CT is controversial, the risk of radiation induced malignancy for all CT <50mSv (which is basically ALL CT including standard doses) is still controversial
- Line 49: "95% credibility interval" I think they meant confidence interval

Page 5

- Line 6-9: I take issue with the notion that you can't estimate what will happen to "real cohorts of smokers" with models given all the data we have on the history and progression of lung cancer in smokers combined with screening guidelines

Page 6

- Line 27-57:did they strictly stick to the protocols – if yes, how they evaluated this, if no then what were the variations in dose?

Page 8

- Results about "average effective dose" need greater clarification. Was this normally distributed? If so how was this determined? What is standard deviation? Would it be reasonable to also put the range(s) as well as median and IQRs?
- o Same goes for cumulative effective doses (Line 37)
- o In eTable 1 and 2 what does +/- standard deviation mean? Is that one standard deviation? 1.96 SD? Is that a 95% CI? Here we are seeing some differences... can they comment on why if it was a standard protocol the organ doses are so varied?
- Is it b/c of shielding due to different body habitus while using SAME protocol? Is it b/c there was variation in the protocol? Is it b/c some people only got one scan but others got multiple a year (this still wouldn't account for the variation in baseline imaging though

Page 9

- \vec{L} ine 14: Table 3—It is difficult to look at/make comparisons, please standardize the denominator and report per 1,000 persons (or something)
- Line 16: here is something that is a problem and you want to really state clearly—this is a study that was forward looking and reports number of cancers caught in their screening test, but this study didn't have a control arm and there is no direct comparison being made between subjects scanned over 10 years and people who were not. Rather they are estimating CT induced cancers from a calculation. Someone reading this quickly could think that they OBSERVED 2.8 additional cancers based off how this is reported as 2.8/5203.
- Line 30-42: this is a confusing paragraph—how did they calculate this, where is my table? Also aren't you just reporting what BEIR VII Table 12D-1 tells you when you draw differences btwn

genders?

o In figure 1 please label it better w/ something to the effect of Lung Cancers estimated to be attributable to CT radiation per 1000 people screened

Page 10

- ${\rm Re}\colon {\rm BMI/body\ habitus-please\ explain\ why\ this\ is\ relevant\ and\ talk\ about\ this\ more\ in\ the\ methods\ section}$
- Line 45: "This highlights how the esteem[?] of organ and effective doses" do you mean estimate?
- Line 54: What do you mean dose for 6 and 5 groups of patients? Isn't this something that should be in methods?

Page 11

- Line 43: If the radiation is trivial given the early cancers we are catching why would we consider a higher age for women?

Page 12

- $\dot{\text{Line}}$ 10-17: Glosses over the controversy of the LNT model. doesn't discuss that the BEIR VII report itself states that w/ doses <100mSv "statistical limitations make it difficult to evaluate cancer risk" or that in the Health Physics Society statement doses <50mSv are considered to small to observe health risks

Additional Questions:

Please enter your name: Chris Moore

Job Title: Associate Professor

Institution: Yale University School of Medicine

Reimbursement for attending a symposium?: No

A fee for speaking?: No

A fee for organising education?: No

Funds for research?: Yes

Funds for a member of staff?: Yes

Fees for consulting?: No

Have you in the past five years been employed by an organisation that may in any way gain or lose financially from the publication of this paper?: No

Do you hold any stocks or shares in an organisation that may in any way gain or lose financially from the publication of this paper?: No

If you have any competing interests (please see BMJ policy) please declare them here: Currently funded by AHRQ 5R18HS023778-02 as PI for "MINIMIZING UNNECESSARY IRRADIATION FROM RENAL COLIC CT SCANS IN THE UNITED STATES"

Reviewer: 2

THIS IS A PATIENT REVIEW

Comments:

1. Are the study's aims and the issue and questions that the paper addresses relevant and important to you as a patient? Do you think it would be relevant to other patients like you? What about carers?

Addressing patient concerns about the risks of additional radiation exposure due to lung cancer screening is essential to make screening more attractive to those at high risk for developing lung cancer. I've heard patients express concern about this increased risk; I can't say I've heard a lot of carers express this concern, but then carers rarely go to support forums before a patient has been diagnosed with cancer.

2. Are there any areas that you find relevant as a patient or carer that are missing or should be highlighted?

Some parts of the paper were difficult for me to understand due to choice of wording and use of several different ways of expressing risk (e.g., both ratios and percentages).

The paper did a good job of achieving its first objective of assessing cumulative radiation exposure.

Whether it assessed lifetime attributable risk of cancer incidence is less clear. I need a clearer statement of how baseline risk for cancer incidence is determine for people who have NOT had lung cancer screening--does it take into consideration body types, age, sex, past exposures, lifestyles? Is there some widely-accepted calculation of cancer incidence for patients with a 20-pack-year history of smoking? Without understanding the baseline, I can't see how the estimated radiation exposure from LDCT and PET-CT scans increases the risk of future cancers. This study would have the most impact for me if I get a clearly understood statement of (1) my risk for future cancers WITHOUT screening, AND (2) my risk for future cancers WITH screening.

Found myself wondering how much radiation exposure could be contributed by the radioactive glucose tracer (FDG) used with PET scans. Its contribution to overall radiation exposure should be calculated before it is dismissed as insignificant.

3. From your perspective as a patient, would the treatment, intervention studied, or guidance given actually work in practice? Is it feasible? What challenges might patients face that should be considered?

The conclusions of this study could be used during shared decision making as patient and provider discuss whether lung cancer screening is appropriate. However, the conclusions would have to be presented in a form more understandable to patients.

I am concerned that the paper states (p. 11) "...further studies may consider a higher starting age for screening in high-risk women." This seems to ignore the fact that lung cancer incidence over the past two decades have been INCREASING in women, especially in younger women.

4. Are the outcomes that are being measured in the study or described in the paper the same as the outcomes that are important to you as a patient? Are there others that should have been considered?

Yes, the outcome (whether radiation from lung cancer screening increases my lifetime risk of developing cancer) is important to me as a lung cancer patient/advocate.

5. Do you have any suggestions that might help the author(s) strengthen their paper to make it more useful for doctors to share and discuss with patients?

Need a bit more background in the abstract about how radiation exposure puts patients at increased risk of future cancers.

Find a more concrete way to express how much radiation exposure from low-dose lung cancer screening increases my risk of developing future cancers. Example: Without lung cancer screening, a 50-year-old woman has an AA% chance of developing cancer in the next 20 years. If that 50-year-old woman undergoes lung cancer screening for 10 years, her risk of developing a new cancer increases to BB%.

At several points in the paper, statements are made as apparent fact without providing the reasoning. Example (from page 12): "...BEIR VII Report is the correct way to proceed to perform risk estimates for the individuals [31]" This statement does cite a paper that addresses the topic, but does not explain what conclusion in that paper causes the authors to believe this statement to be true. Rather than just using an endnote citation, please state the authors and their conclusions that support the statement in the text--those of us not intimately familiar with the topic need more background to help us understand why this statement is true.

6. The level of patient involvement in the research described, and if and how it could have been improved. Authors are now required to state if and how they involved patients in setting the research agenda and the design and implementation of the study and include this information in a box within the manuscript. If there was no patient involvement we would welcome your ideas on how this could have been done. We hope this will help authors think of the best ways to include patients in their future research and further progressive patient involvement in the research enterprise.

Patients were not involved in this study. If the researchers had involved patients prior to writing this paper, they might have gained a better concept of specific patient concerns about increased cancer risk due to lung cancer screening radiation exposure, as well as language that could effectively communicate the increased risk to patients.

Additional Questions:

Please enter your name: Janet Freeman-Daily

Job Title: lung cancer patient/activist

Institution: GrayConnections.net

Reimbursement for attending a symposium?: Yes

A fee for speaking?: Yes

A fee for organising education?: No

Funds for research?: No

Funds for a member of staff?: No

Fees for consulting?: Yes

Have you in the past five years been employed by an organisation that may in any way gain or lose financially from the publication of this paper?: No

Do you hold any stocks or shares in an organisation that may in any way gain or lose financially from the publication of this paper?: No

If you have any competing interests (please see BMJ policy) please declare them here: I have advocated for and/or written articles (without compensation) in support of Centers for Medicare and Medicaid coverage of low dose CT lung cancer screening.

The following have provided or will provide me with stipends and/or travel expenses for speaking at their conference or event:

- o Center for Information and Study on Clinical Research Participation (CISCRP)
- o Global Resources for Advancing Cancer Education (GRACE)
- o International Association for the Study of Lung Cancer (IASLC)
- o LUNGevity Foundation
- o Partners Healthcare
- o Patient Power
- o PeerView Press (for Continuing Medical Education event)
- o PersonalGenomes.org
- o Smart Patients
- o Stanford Medicine X (ePatient Scholar Program)
- o University of California at San Diego
- o University of Colorado
- The following have reimbursed or will reimburse me for travel expenses related to attending a conference or event:
- o American Association for Cancer Research (Scientist-Survivor Program)
- o American Lung Association
- o American Medical Association
- o International Association for the Study of Lung Cancer
- o LUNGevity Foundation
- o NOVA Research Company and National Institutes of Health
- o Perry Communications Group, Inc.
- o University of Colorado

The following organizations have paid me fees or provided in-kind compensation for consulting with pharmaceutical companies:

- o AstraZeneca
- o Chandler Chico
- o Ignyta
- o Oncogenex
- o Oncothyreon Inc

I serve or have served on unpaid advisory boards for:

- o American Lung Association
- o Bonnie J. Addario Lung Cancer Foundation
- o FasterCures
- o Lung Cancer Foundation of America
- o Virginia Mason Medical Center

Reviewer: 3

THIS IS A PATIENT REVIEW

As a 58 year old female patient who has undergone regular lung CT scans and CT/PET scans over the past 12 years, due to pulmonary neuroendocrine tumours, I am very interested in the findings of this study. In particular, in reducing the amount of radiation whilst maintaining an effective dose for screening/diagnostic purposes, is one which all clinicians should consider for, and with their patients. This would be relevant to other patients like myself and those caring for patients who

- need to understand the risks of lung CT screening.

 I am a little surprised to see such young asymptomatic women enrolled in the original 10 year screening study. Although clearly this paper does not deal with the protocol or ethics of the original study, I find it difficult to imagine that there was not already evidence of younger women being at higher risk of developing radiation cancers from high dose or repeated CT screening.
- The information in this paper is extremely technical but the discussion does offer a

recommendation to not screen younger asymptomatic women and also concludes that more studies are required to determine optimal doses based on models that take into account an individual patient's gender/weight/body type. In the UK I am not certain if lung CT screening programmes have the support of the NHS or clinicians and in any case, for me, I would have not met the criteria as I was neither a smoker in the high risk category or old enough to be enrolled. My first solid tumour would not have been found from such screening.

- The outcomes that are being measured in the study are important to me as a patient because I need to be informed of the risk to me of developing radiation induced lung and other major cancers. To date this has not happened but the assumption has always been that my clinicians had tools and data to guide them in reducing this risk as much as possible.
- As mentioned earlier I think the recommendation is particularly relevant for younger women and despite it's technical language I do think this paper could be introduced to stimulate discussion between patients and clinicians as it does provide some useful ways of describing and comparing risk
- There was no patient involvement in this study which is understandable as the study aim was to use 10 years of data to evaluate radiation exposure and estimation of LAR of cancer. The overall discussion however, may have benefited from patient's views and input because the information it provides is increasingly important for informed consent.

Additional Questions:

Please enter your name: Anna Allford

Job Title: Patient reviewer

Institution: Patient reviewer

Reimbursement for attending a symposium?: No

A fee for speaking?: No

A fee for organising education?: No

Funds for research?: No

Funds for a member of staff?: No

Fees for consulting?: No

Have you in the past five years been employed by an organisation that may in any way gain or lose financially from the publication of this paper?: No

Do you hold any stocks or shares in an organisation that may in any way gain or lose financially from the publication of this paper?: No

If you have any competing interests (please see BMJ policy) /a>please declare them here:

Reviewer: 4

Comments:

In this study the lifetime attributable risk for cancer induction is described for a lung cancer screening population over 10 years. Based on data for the COSMOS study, the authors approximate based on BEIR VII data that radiation may theoretically induce 3 cancers in their population of 5203 and can be compared to the 259 lung cancers diagnosed over that time.

The strength lies in the real world population and the clear writing. It provides a reference point for discussing the risks and benefits of screening.

It should be noted (and addressed in the discussion) that they did not assess the benefit of the detection of other important findings and that they did not consider the additional risks that may occur due to CT studies of the neck or abdomen to follow up incidental findings that occurred in those regions.

The authors provide the ratio of 3 induced cancers to 259 detected lung cancers for the entire population or 92.5 lung cancers detected for every theoretical cancer induced. I would suggest that the authors provide this ratio as a separate column in table 3 for each age/gender group.

The authors discuss both the NLST and screening in the US as approved by CMS. To the extent possible the authors should draw some distinctions between their population and the US based statistics.

- -The COSMOS population is both younger and has a lower smoking amount for study entry -For lung cancer screening in the US, CMS recommends a CTDIvol of <3 mGy which is higher than the radiation reported in this study.
- -The current US screening nodule management paradigm in the American College of Radiology Lung-Rads quideline, which is somewhat more conservative with follow up than what was done

during COSMOS

The above concepts can be collated into a recommendation that screening programs evaluate and identify their practice based risk/benefit ratio for screening

As they highlight new technologies that may allow for lower CT doses, they should not forget the fact that limiting follow ups between screening exams through more conservative nodule follow up and more judicious use of PET/CT than initially prescribed by COSMOS will also lower population doses

I do not believe the last line of the conclusion is necessarily supported by the evidence in this manuscript as written. I think it is important to consider that the risk benefit profile differs by age and may inform individual assessment, but I do not believe that blanket enrollment criteria can be similarly adopted from this data. The last sentence could be either omitted or rephrased

Minor comments:

p. 10 In45 change "esteem" to "estimate"

p.12 ln25-31 is a bit confusing as written. Stating in two sentences may better delineate the appropriate risk estimate procedure (BEIR VII age and gender) from effective dose which is not a risk estimate and a measure better suited for comparisons when discussing radiation protection/reduction

Additional Questions:

Please enter your name: James Ravenel, MD

Job Title: Professor of Radiology; Chief of Thoracic Imaging

Institution: Medical University of South Carolina Reimbursement for attending a symposium?: No

A fee for speaking?: No

A fee for organising education?: No

Funds for research?: No

Funds for a member of staff?: No

Fees for consulting?: No

Have you in the past five years been employed by an organisation that may in any way gain or lose financially from the publication of this paper?: Yes

Do you hold any stocks or shares in an organisation that may in any way gain or lose financially from the publication of this paper?: No

If you have any competing interests (please see BMJ policy) /a>please declare them here: As I perform lung cancer screening in my institution, the results could conceivably influence that program

Deadline: Your revised manuscript should be returned within one month.

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You will be unable to make your revisions on the originally submitted version of the manuscript. Instead, revise your manuscript using a word processing program and save it on your computer.

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Items to include with your revision (see http://www.bmj.com/about-bmj/resources-authors/article-types/research):

- 1. What this paper adds/what is already known box (as described at http://resources.bmj.com/bmj/authors/types-of-article/research)
- 2. Name of the ethics committee or IRB, ID# of the approval, and a statement that participants gave informed consent before taking part. If ethics committee approval was not required, please state so clearly and explain the reasons why (see http://resources.bmj.com/bmj/authors/editorial-policies/guidelines.)
- 3. Patient confidentiality forms when appropriate (see http://resources.bmj.com/bmj/authors/editorial-policies/copy_of_patient-confidentiality).
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- 8. Data sharing statement (see http://www.bmj.com/about-bmj/resources-authors/article-types/research)
- 9. Funding statement and statement of the independence of researchers from funders (see http://resources.bmj.com/bmj/authors/article-submission/article-requirements).
- 10. Patient involvement statement (see http://www.bmj.com/about-bmj/resources-authors/article-types/research).
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- b. Abstract: Please include a structured abstract with key summary statistics, as explained below (also see http://resources.bmj.com/bmj/authors/types-of-article/research). For every clinical trial and for any other registered study- the last line of the abstract must list the study registration number and the name of the register.
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- d. Methods: For an intervention study the manuscript should include enough information about the intervention(s) and comparator(s) (even if this was usual care) for reviewers and readers to understand fully what happened in the study. To enable readers to replicate your work or implement the interventions in their own practice please also provide (uploaded as one or more supplemental files, including video and audio files where appropriate) any relevant detailed descriptions and materials. Alternatively, please provide in the manuscript urls to openly accessible websites where these materials can be found.
- e. Results: Please report statistical aspects of the study in line with the Statistical Analyses and Methods in the Published Literature (SAMPL) guidelines http://www.equator-network.org/reporting-guidelines/sampl/. Please include in the results section of your structured

abstract (and, of course, in the article's results section) the following terms, as appropriate:

- i. For a clinical trial: Absolute event rates among experimental and control groups; RRR (relative risk reduction); NNT or NNH (number needed to treat or harm) and its 95% confidence interval (or, if the trial is of a public health intervention, number helped per 1000 or 100,000.) ii. For a cohort study: Absolute event rates over time (eg 10 years) among exposed and non-exposed groups; RRR (relative risk reduction.)
- iii. For a case control study:OR (odds ratio) for strength of association between exposure and outcome.
- iv. For a study of a diagnostic test: Sensitivity and specificity; PPV and NPV (positive and negative predictive values.)
- v. For a systematic review and/or meta-analysis: Point estimates and confidence intervals for the main results; one or more references for the statistical package(s) used to analyse the data, eg RevMan for a systematic review. There is no need to provide a formal reference for a very widely used package that will be very familiar to general readers eg STATA, but please say in the text which version you used. For articles that include explicit statements of the quality of evidence and strength of recommendations, we prefer reporting using the GRADE system.
- f. Discussion: To minimise the risk of careful explanation giving way to polemic, please write the discussion section of your paper in a structured way. Please follow this structure: i) statement of principal findings of the study; ii) strengths and weaknesses of the study; iii) strengths and weaknesses in relation to other studies, discussing important differences in results; iv) what your study adds (whenever possible please discuss your study in the light of relevant systematic reviews and meta-analyses); v) meaning of the study, including possible explanations and implications for clinicians and policymakers and other researchers; vi) how your study could promote better decisions; vi) unanswered questions and future research

g. Footnotes and statements

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END

Date Sent: 26-Sep-2016