Non-specific immunological effects of selected routine childhood immunisations: systematic review

Rama Kandasamy,^{1,2} Merryn Voysey,^{1,2,3} Fiona McQuaid,^{1,2} Karlijn de Nie,^{1,2} Rebecca Ryan,^{1,2} Olivia Orr,^{1,2} Ulrike Uhlig,⁴ Charles Sande,^{1,2} Daniel O'Connor,^{1,2} Andrew J Pollard^{1,2}

¹Oxford Vaccine Group, Department of Paediatrics, University of Oxford, Churchill Hospital, Oxford OX3 7LE, UK

²NIHR Oxford Biomedical Research Centre, Oxford, OX3 7LE, UK

³Nuffield Department of Primary Care Health Sciences, University of Oxford, Oxford, OX2 6GG, UK

⁴Department of Paediatrics, Children's Hospital Oxford, Oxford University Hospitals NHS Trust, Oxford, OX3 9DU, UK

Correspondence to: R Kandasamy rama.kandasamy@paediatrics.ox.ac.uk

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ABSTRACT

OBJECTIVE

To identify and characterise non-specific immunological effects after routine childhood vaccines against BCG, measles, diphtheria, pertussis, and tetanus.

DESIGN

Systematic review of randomised controlled trials, cohort studies, and case-control studies.

DATA SOURCES

Embase, PubMed, Cochrane library, and Trip searched between 1947 and January 2014. Publications submitted by a panel of experts in the specialty were also included.

ELIGIBILITY CRITERIA FOR SELECTING STUDIES

All human studies reporting non-specific immunological effects after vaccination with standard childhood immunisations. Studies using recombinant vaccines, no vaccine at all, or reporting only vaccine specific outcomes were excluded. The primary aim was to systematically identify, assemble, and review all available studies and data on the possible non-specific or heterologous immunological effects of BCG; measles; mumps, measles, and rubella (MMR); diphtheria; tetanus; and pertussis vaccines.

RESULTS

The initial search yielded 11 168 references; 77 manuscripts met the inclusion criteria for data analysis. In most included studies (48%) BCG was the vaccine intervention. The final time point of outcome measurement was primarily performed (70%) between one and 12 months after vaccination. There was a high risk of bias in the included studies, with no single study rated low risk across all assessment criteria. A total of 143 different immunological variables were reported, which, in conjunction with differences in

measurement units and summary statistics, created a high number of combinations thus precluding any meta-analysis. Studies that compared BCG vaccinated with unvaccinated groups showed a trend towards increased IFN- γ production in vitro in the vaccinated groups. Increases were also observed for IFN- γ measured after BCG vaccination in response to in vitro stimulation with microbial antigens from *Candida albicans*, tetanus toxoid, *Staphylococcus aureus*, lipopolysaccharide, and hepatitis B. Cohort studies of measles vaccination showed an increase in lymphoproliferation to microbial antigens from tetanus toxoid and *C albicans*. Increases in immunogenicity to heterologous antigens were noted after diphtheria-tetanus (herpes simplex virus and polio antibody titres) and diphtheria-tetanus-pertussis (pneumococcus serotype 14 and polio neutralising responses) vaccination.

CONCLUSIONS

The papers reporting non-specific immunological effects had heterogeneous study designs and could not be conventionally meta-analysed, providing a low level of evidence quality. Some studies, such as BCG vaccine studies examining in vitro IFN- γ responses and measles vaccine studies examining lymphoproliferation to microbial antigen stimulation, showed a consistent direction of effect suggestive of non-specific immunological effects. The quality of the evidence, however, does not provide confidence in the nature, magnitude, or timing of non-specific immunological effects after vaccination with BCG, diphtheria, pertussis, tetanus, or measles containing vaccines nor the clinical importance of the findings.

Introduction

Many published reports and commentaries have suggested that several vaccines routinely administered to infants could have heterologous or non-specific effects on mortality, unrelated to the prevention of illness and deaths caused by the specific diseases against which the vaccines were developed.¹⁻³ For example, studies have suggested that receipt of both the BCG and measles vaccine are associated with a reduced risk of death (that is, all cause mortality) beyond that expected by a reduction in deaths from measles and tuberculosis, while receipt of diphtheria-tetanus-pertussis (DTP) vaccine might be associated with an increased risk of death, at least among female infants.⁴⁻⁶ Nearly all studies that showed these effects were observational or derived from secondary analyses. Consequently, poorly controlled or uncontrolled confounding and various types of selection and information bias have been suggested as alternative justifications for these findings.^{7,8}

WHAT IS ALREADY KNOWN ON THIS TOPIC

Observational studies across a limited geographical distribution have suggested the presence of non-specific effects on all cause mortality for BCG, measles, diphtheria, pertussis, and tetanus vaccines

No causal immunological mechanism has yet been elucidated

WHAT THIS STUDY ADDS

In some BCG and measles vaccine studies, there are consistent trends or patterns of immunological response after vaccination that are suggestive of non-specific immunological effects

There is no conclusive immunological evidence from previously conducted human studies to support the presence of clinically relevant, geographically generalisable, non-specific immunological effects after vaccination with BCG, diphtheria, pertussis, tetanus, or measles containing vaccines

The biological plausibility of one or more vaccines having heterologous effects, either detrimental or beneficial, is supported by several studies in animals and observations in humans.⁹⁻¹² Indeed it is these heterologous properties that are exploited in specific circumstances in adults in whom BCG has been used for the treatment of bladder cancer and melanoma, while MMR has been used as a treatment for warts.¹³⁻¹⁵ Nevertheless, the biological mechanisms and immune pathways that could underlie and rationalise such effects remain largely unspecified. The WHO Strategic Advisory Group of Experts (SAGE) requested the WHO Secretariat to review the evidence surrounding the possible non-specific/heterologous effects of vaccines included in the routine infant immunisation schedule in 2013.¹⁶ The WHO Secretariat working group commissioned this review to determine whether the current evidence is sufficient to warrant further scientific investigation and, if so, to define the path towards obtaining unequivocal evidence on these issues that would support future robust, evidence based adjustments to immunisation policies, if warranted.

The possible implications of any such heterologous effects of vaccines for the formulation or re-formulation of the infant immunisation schedule remain unclear, but it has been suggested that if such effects can be established beyond a reasonable doubt, the infant immunisation schedule might need to be reconfigured.¹⁶ Previous reviews, including periodic assessments by the WHO Global Advisory Committee on Vaccine Safety, have indicated that any such effects remain inconclusive and are therefore not a justification for altering the current schedule recommendations.¹⁷ At the meeting of SAGE during which the data from this review were presented, no changes to the current policy were recommended.¹⁸

We systematically identified, assemble, reviewed, and critically appraised all available human studies with immunological endpoints describing the possible non-specific or heterologous effects of BCG, diphtheria, pertussis, tetanus, and measles containing vaccines.

Methods

Definitions

We defined specific immunological effects as an effect of immunisation on an immunological variable in response to an antigen contained within the initial immunisation. The terms heterologous and non-targeted effects have also been used in the literature to describe non-specific immunological effects. For the purpose of this review we defined non-specific immunological effects as an effect on the immune system as a result of immunisation that modifies the way it subsequently responds to antigens that were not present in the initial immunisation.

Study design and selection criteria

Using a comprehensive search strategy, we identified and critically appraised available evidence (published and unpublished) that addressed possible non-specific effects of vaccines. We included in the review

randomised controlled trials, quasi-randomised control trials, clinical trials, cohort studies, case-control studies, case series, and case reports. The vaccines examined included live attenuated vaccines (BCG and measles containing vaccines), inactivated vaccines, and toxoids (all diphtheria and tetanus toxoids, and *Bordetella pertussis* containing vaccines). Though the target population was infants aged under 5, inclusion of studies was not limited to this age group to ensure all relevant studies were identified. Sex, age at vaccination, and co-administration of vitamin A were examined as possible effect measure modifiers.

Were excluded ecological, animal, and in vitro studies; studies using recombinant vaccines or no vaccine at all; and those studies reporting/generating only immunological endpoints specific to the study vaccine.

Search strategy

Embase.com, which includes all records from Medline, was searched from 1947 to December 2012 (appendix 1). Complementary, less extensive searches of the PubMed library, the Cochrane library, and trip database, were performed to detect any articles missed by the search on Embase.com. In addition, we manually searched the reference lists of all included articles found and all relevant review articles to identify studies not included in the previously described search. Experts in the specialty were asked if they were aware of any unpublished reports of studies possibly meeting the inclusion criteria. Full text of all articles identified were sought, using internet downloads, interlibrary loans, and contacting of authors. Articles in any language were sought. We carried out a further limited search from December 2012 to January 2014 in the PubMed library using the same search terms to provide an update. Experts in the specialty were also asked to review the initial search results and identify any further studies that could be included.

Data extraction

We used specifically created data extraction forms and DistillerSR software to acquire consistent data from studies, such as participants, methods, potential confounders and background data. All relevant data were extracted from articles meeting inclusion criteria and entered on the database. There was no pre-specified effect measure that was of interest but rather all summary estimates of effect were extracted whenever possible.

Selection of eligible Studies

Two independent reviewers examined each full text article, and a database of studies considered eligible for inclusion was created (tables A-F in appendix 2). We included in the review studies identified by both reviewers as being eligible for inclusion and having adequate data for extraction. Authors of studies in which non-specific immunological data were generated but not reported were requested to provide data. When there were discrepancies, the reasons for these were discussed, and a decision about inclusion was reached

by consensus. If there was no agreement, a further independent reviewer adjudicated to make a final decision regarding eligibility.

Assessment of risk of bias

At least two independent reviewers assessed each included study according to the Cochrane Library risk of bias tool (tables G-L, appendix 2). When there was a conflict in the assessment, a third independent reviewer adjudicated to make a final decision. The overall risk of bias was calculated by assigning each criteria a score of 0 for high, 1 for unclear, and 2 for low and then averaging the total score across all of the criteria.

Data analysis

We generated descriptive tables summarising information about study design, study quality, and results of all included studies. Data on non-specific immunological effects were extracted from papers, which reported summary statistics in tabular form. When results were presented in figures, we extracted data whenever possible with GetData Graph Digitizer version 2.26.0.20.

We summarised the overall immunological outcomes of the included studies graphically for all available variables to provide a perspective on the effect of vaccination on these variables. We calculated the direction of effect for each variable by creating a ratio of the response in those vaccinated compared with the response in the unvaccinated participants, or alternatively the ratio of the estimated response after vaccination compared with the response before vaccination. The response could be presented as a median, mean, geometric mean, fold-rise, or proportion of seroconversion, depending on the statistics reported in the paper. Participants were not all measured at comparable time points across studies nor were of similar age. We could not formally combine these ratios as they are statistically non-comparable, the timings differ, and many studies did not present estimates of variability. Not all studies reported results of significance tests and for those that did the statistical test reported was not always the appropriate one. Furthermore, because of the multitude of variables tested and small study sizes, there were related multiple testing issues that would have increased the rate of type 1 errors. For these reasons we designed the plots to be descriptive of the overall diversity of responses and point to any general trends that could be occurring in the data without the calculation of any summary statistics or testing specific hypotheses that would have required more consistent data. For papers that reported comparisons at multiple time points for the same children we plotted only the first comparison so that each cohort of children is reported only once per study per variable per type of stimulant.

Patient involvement

No patients were involved in setting the research question or the outcome measures, nor were they involved in the design and implementation of the study. There are no plans to involve patients in dissemination.

Results

The search yielded 11 168 references, and 77 studies met our eligibility criteria (fig 1). Fourteen of these studies were from submissions made by experts in the specialty. Of the two studies published on the randomised controlled trial by Burl and colleagues, we included additional data from the associated PhD thesis.¹⁹ We identified relatively equal proportions of randomised controlled trials, cohort studies, and case-control studies (table 1). There was a wide range (3-2345; mean 206) of total study participants involved across the studies. Most studies (37/77, 48%) used BCG as the study vaccine intervention, while 47/77 (61%) were exclusively conducted in children. In 54/77 (70%) studies, the final time point of outcome measurement was performed between one and 12 months after vaccination. At least one non-specific immunological variable was reported as significant in 29/77 (38%) of the studies (tables 2-5). Because of the heterogeneity, we could not conduct a meta-analysis and for that reason none of the trends, where evident, are statistically sound.

BCG vaccine studies

Overall 37 studies were identified that measured non-specific immunological effects of BCG vaccination (appendix 2). In 11 of these papers, the results of assays conducted were not reported as they were not the main focus of the paper. Of the included studies, 24 enrolled children aged under 5 years. Twenty papers reported

Fig 1 | Identification of studies in review of non-specific immunological effects of selected routine childhood immunisations

Table 1 | Overview of studies included in systematic review of non-specific immunological effects of selected routine childhood immunisations

Study vaccine	No of participants
BCG	37
Measles	14
MMR	3
DTP	7
Pertussis	1
DT	4
TT	11
Other vaccine/s used in study:	
Yes	24
No	31
Not described/not applicable	22
Age group:	
Neonate	15
Infant	18
Children	14
Adults	19
Elderly	0
Combination	11
Sex of study population:	
Male and female	39
Male	2
Female	1
NR	35
Geographical location:	
Africa	19
Europe	22
Asia	8
Americas	20
Oceania	4
Combination	4
Co-administration with vitamin A?	
Yes	3
No/NR	74
Presence of attribute that could affect response?	
Yes	22
No	55
Interval between vaccine administration and final outcome measure (months):	
<1	11
1-6	29
6-12	25
>12	10
NR	2
No of participants:	
Mean	206
Median	77
Range	3-2345
Study design:	
Randomised controlled trial	25
Prospective cohort	23
Prospective case-control	23
Other	6

NR=not reported.

non-specific immunological effects with data reported in tables or graphs, which could be extracted with a digitizer program, and one study supplied raw data. These papers reported 89 different immunological variables. There were 20 types of stimulants used in these assays, resulting in 167 unique combinations.

Immunological responses from unstimulated and phytohaemagglutinin (PHA) stimulated cultures were

most commonly reported (fig 2; appendix 4 provides detailed tabulated data for the figure). No general patterns according to pro-inflammatory or anti-inflammatory classifications were observable for unstimulated responses when comparisons were between vaccinated and unvaccinated groups, though a distinct increase in IFN- γ was noted within one cohort study reporting cytokine responses in unstimulated cultures after BCG vaccination.²⁰

Cytokine responses in PHA stimulated cultures showed a trend towards increases in vaccinated compared with unvaccinated groups for all cytokines, although there were studies assessing the pro-inflammatory cytokines IFN- γ and TNF- α that also fell below the null value. In comparisons of cytokine responses in PHA stimulated cultures before and after vaccination within a cohort study, there was a trend towards an increase in pro-inflammatory cytokines and a decrease in anti-inflammatory cytokines.

IFN- γ was the most commonly reported immunological variable. Data for IFN- γ were extracted from 11 papers and one PhD thesis and one study author supplied unpublished raw data from unstimulated assays on request (fig 2). Those studies that made comparisons between vaccinated and unvaccinated groups showed a trend towards an increase in IFN- γ after stimulation. Increases after vaccination were observed in a small cohort study of IFN- γ measured in response to in vitro stimulation with *Candida albicans* and *Staphylococcus aureus*.²²

T cells and T cell subsets were the most commonly reported leukocyte variable (fig 2). Ex vivo total leukocyte counts had larger cohort sizes and trended around the null value. Counts of subsets for vaccinated compared with unvaccinated groups showed a decrease in neutrophils, CD8, and $\gamma\delta^+$ T cells; increase in CD4 T cells and monocytes; and no consistent direction for eosinophils. Cohort studies that compared values before and after vaccination showed an increase in total lymphocytes and CD14 $^+$ cells, decrease in $\alpha\beta^+$ T cells, and inconsistent effect for $\gamma\delta^+$ T cells.^{22,26} In vitro proliferation assays to tetanus toxoid and hepatitis B surface antigen (HBsAg) all showed increases in the vaccinated compared with unvaccinated group.^{25,48}

Measles vaccine studies

Various different measles vaccine strains and titres were used, with the Edmonston-Zagreb and Schwarz strains most commonly applied (appendix 2, table B). Data available from measles studies reported 23 different immunological variables including B cells, β_2 microglobulin, CD4, CD4:CD8 ratio, CD8, IFN- γ , IL-10, IL-2, sIL-2Ra, IL-4, IL-6, lymphocytes, lymphoproliferation, malaria parasites, MIP-1 β , Neopterin, sCD4, sCD8, T-cell proliferation, TNF- α , and total white blood cell count (WBC). There were six types of stimulants used in these assays (including *C albicans*, PHA, and tetanus toxoid), resulting in 31 unique combinations. All the papers contained children aged under 5.

In studies of PHA stimulated assays IFN- γ was the most commonly reported variable followed by IL-10 (fig 3;

Table 2 | Summary characteristics of all included BCG studies with significant findings reported

Author	Vaccine	Design	Participants	Interventions	Outcomes (time of measurement)	NSIE outcomes	Difference in NSIE outcome	Risk of bias
Burl, 2009 ¹⁹	BCG	RCT	Neonates (n=103)	BCG at birth or 4.5 months	Cytokine (IL-10, IL-13, and IFN- γ) responses from whole blood cultures stimulated with PPD, SEB, BCG, and ESAT-6/CFP-10. Blood phenotyping (birth, 4.5, and 9 months)	Cytokine responses from whole blood cultures stimulated with SEB. Blood phenotyping	Increased IL-10 production at 4.5 months in SEB stimulated cultures of vaccinated v unvaccinated (P<0.042)	Low
Djuardi, 2010 ²⁰	BCG	Cohort	Indonesian newborns (n=147)	BCG	Cytokine responses (baseline, 5, 12, and 24 months)	Cytokine responses from whole blood media only	Increased IFN- γ production to PHA at 24 months v baseline (P<0.001). Decreased IL-5 to PHA at 5 months v baseline (P<0.05). Decreased TNF- α and IL-10 to LPS at 24 months v baseline (P<0.001)	High
Faustman, 2012 ²¹	BCG	RCT	Type 1 diabetic adults and healthy controls (n=12)	BCG v placebo	T cells, autoantibodies and C peptide (up to weekly sampling from baseline to week 20 after vaccination)	Autoreactive T cells, insulin autoantibodies (GAD, IA-2A, ZnT8A), C peptide	GAD: two BCG participants had significant (one increase and one decrease) changes from baseline. ZnT8A: decrease in one BCG subject only. (All values after vaccine compared with baseline values with regression model)	Unclear
Kleimijenhuis, 2012 ²²	BCG	Cohort	Adults (n=20)	BCG	Cytokines in response to TB, <i>S aureus</i> and <i>C albicans</i> and phenotype of circulating monocytes. (baseline, 2 weeks, and 3 months after vaccination)	IFN- γ , TNF- α , and IL-1 β production from PBMC cultures stimulated with <i>S aureus</i> and <i>C albicans</i> . No of CD14 cells with TLR4 and CD11b surface expression. IL-1 β and TNF- α mRNA expression	IFN- γ , TNF- α , and IL-1 β increase at 2 weeks and 3 months (except <i>S aureus</i> and IL-1 β at 2 weeks). CD14 cells increase at 2 weeks (P<0.05). CD14 TLR4 expression decreases at 2 weeks (P<0.05) and increase at 3 months (P<0.005). CD14 CD11b expression increases at 2 weeks (P<0.05) and 3 months (P<0.01)	High
Libraty, 2014 ²³	BCG	Case-control	Infants (n=51)	BCG in first 2 weeks or after first DTP	IFN- γ ELISpot. Flow cytometry (age 8-12 weeks)	IFN- γ ELISpot to TT, polio, HBSAg, and PHA. T cell intracellular cytokine staining for: IFN- γ /TNF- α /CD4+/CD4RO+/- FoxP3+/TNF- α /CD4+/CD4RO+/-	Between age 8-12 age infants vaccinated with BCG as neonates had increased IFN- γ ELISpot to TT (P=0.046) and IFN- γ /TNF- α /CD4+/CD4RO+ T cells (P=0.018) compared with infants who did not receive BCG as neonate	Unclear
Marks, 2003 ²⁴	BCG	Case-control	Children (n=751)	BCG v unvaccinated	Cytokine responses, Clinical components of allergy (age 7-14 years)	IL-4, IL-5, IL-10 and IFN- γ secreted in response to house dust mite stimulation of PBMCs. Total serum IgE	IL-10 lower for BCG recipients (P<0.001) v unvaccinated at age 7-14 years	High
Ota, 2002 ²⁵	BCG	RCT	Newborns (n=151)	BCG at birth, 2, or 4.5 months	Proliferation, cytokine, and vaccine specific antibody responses (birth, 2, and 4.5 months)	PBMC proliferation to HBSAg, TT, and PHA. IL-5, IL-13 and IFN- γ PBMC responses to HBSAg, TT and PHA. Antibody responses to HBSAg, TT, polio, and diphtheria toxin	At age 2 and 4.5 months proliferation, IL-5, IL-13, and IFN- γ responses to TT and HBSAg higher in groups where BCG was given earlier. At age 2 and 4.5 antibody responses to HBSAg higher in group given BCG at birth compared with controls (P=0.03 and P=0.004). At 4.5 months antibody titres to PV1 higher in group receiving BCG at 2 months compared with controls (P=0.002)	Unclear
Tastan, 2005 ²⁶	BCG	RCT	Newborns in Turkey (n=40)	BCG at birth v 2 months	Total lymphocytes and TCR phenotyping (birth and 2 months)	Total lymphocytes, $\alpha\beta$ + T cells, and $\gamma\delta$ + T cells	Increased total and TCR- lymphocytes (P=0.001) and decreased $\alpha\beta$ + cells (0.01) at 2 months for group vaccinated at birth compared with 2 months	Unclear
Vargas, 2004 ²⁷	BCG	RCT	Asthmatic children in Mexico (n=82)	BCG v placebo	Symptoms questionnaire, leukocyte count, eosinophil count, IgE, eosinophils in nasal mucus, parasites in stools. IL-4 and IFN- γ from PMA and ionomycin stimulated PBMCs (baseline and 12 months after vaccination)	Symptoms questionnaire. Leukocyte count, eosinophil count, IgE, eosinophils in nasal mucus, parasites in stools. IL-4 and IFN- γ from phorbol myristate acetate and ionomycin stimulated PBMCs	Significant change over time in placebo group for IgE (P<0.002), IL-4 (P<0.05), and IFN- γ (P<0.05). Decrease in monocyte (P<0.001) and eosinophil percentage (P<0.05) for BCG and decrease in monocyte (P<0.05), eosinophil (P<0.05) and eosinophil percentage (P<0.001) for placebo from nasal cytology	Unclear
Vijaya Lakshmi, 2005 ²⁸	BCG	Case-control	Children (n=107)	BCG v unvaccinated and active TB patients	Lymphoproliferation and cytokine responses (age 5-7 years)	IL-2 dependent lymphocyte transformation supplemented with supernatant from lymphocyte culture stimulated with control antigens. Supernatant IFN- γ of lymphocyte cultures stimulated with control antigens	Higher stimulation index of lymphocyte transformation for vaccinated v unvaccinated healthy children (P<0.05). Increased IFN- γ in vaccinated children (P<0.01)	High
Weir, 2004 ²⁹	BCG	RCT	Malawi adults (n=633) and UK children (n=424)	BCG v placebo	Cytokine responses to mycobacterial antigens. (baseline and 12 months after vaccination)	Cytokines (TNF- α , IL-10, IL-1 β) from whole blood culture supernatants	IL-10 decrease from baseline in Malawi subjects for <i>M scrofulaceum</i> and <i>M vaccae</i> only (P=0.015 and 0.02)	Unclear

NSIE=non-specific immunological effects, TCR=T cell receptor, PPD=purified protein derivative, SEB=staphylococcal enterotoxin B, GAD=glutamic acid decarboxylase, TT=tetanus toxoid, TB=Mycobacterium tuberculosis, PBMC=peripheral blood mononuclear cells, PHA=phytohaemagglutinin, HBSAg=hepatitis B surface antigen.

Table 3 | Summary characteristics of all included measles and MMR vaccine studies with significant findings reported

Author	Vaccine	Design	Participants	Interventions	Outcomes (time of measurement)	NSIE outcomes	Difference in NSIE outcome	Risk of bias
Hennino, 2007 ³⁰	Measles	RCT	Infants in the US with atopic dermatitis (n=12)	Schwarz strain v placebo	Severity of atopic dermatitis (baseline, 1, 3 and 6 months after vaccination), seroconversion, serum levels of CCL18 and E-selectin (baseline and 1 month after vaccination)	Severity of atopic dermatitis, seroconversion, serum levels of CCL18 and E-selectin	CCL18 decrease in 2 individual measles treated participants compared to baseline (P=0.018 and 0.001)	High
Hussey, 1996 ³¹	Measles	Cohort	Infants in South Africa (n=88)	EZ strain at 6 months or Schwarz strain at 6 and 9 months or Schwarz strain at 9 months	Measles antibody responses, Proliferation, IL-2 receptor, CD4, CD8, β 2 microglobulin and neopterin in response to PHA. Lymphocyte subsets (Comparison between males and females (baseline, 2 weeks, and 3 months after vaccination))	Proliferation, IL-2 receptor, CD4, CD8, β 2 microglobulin and neopterin in response to PHA. Lymphocyte subsets	Decrease in PHA proliferation in Schwarz strain groups at 3 months after immunisation (P=0.013, 0.002). Decrease in PHA proliferation at 2 months after immunisation in Schwarz strain at 9 months group (P<0.001). Increase in soluble CD8 (P=0.02) and β 2 microglobulin (P=0.04) from baseline in Schwarz strain at 9 months group. Difference between all groups for soluble IL-2 receptor (P<0.001), soluble CD4 (P=0.015) and absolute CD8 count (P=0.008)	High
Lisse, 1994 ³²	Measles	Case-control	Guinea-Bissau infants (n=78)	Medium or high titre EZ strain at 4 months, or standard titre Schwarz strain at 9 months or control	Total white cells and lymphocyte subsets (presented for each trial and by sex) (age 3-5 years)	Total white cells and lymphocyte subsets (presented for each trial and by sex)	Lower percentage of lymphocytes and CD4:CD8 ratio and higher percentage and total CD8 cells in females receiving high titre EZ strain (P<0.05)	High
Ovsyannikova, 2003 ³³	Measles	Cohort	Infants/children in the USA (n=57)	Edmonston strain, first dose in infants vs second dose in children	PHA stimulated cytokine production (IL-2, IL-4, IL-6, IFN- γ and TNF- α), plasma cytokine concentrations, and measles antibody titres (baseline, 2, 5, 10, 15, 20, 30, and 40 days after vaccination)	PHA stimulated cytokine production (IL-2, IL-4, IL-6, IFN- γ and TNF- α), plasma cytokine concentrations	In children decrease in IFN- γ to PHA at day 20. Higher overall median IFN- γ to PHA in children compared with infants. Decrease in median plasma IFN- γ (P=0.0027), TNF- α (P=0.0001), and sIL-2-R (P=0.0001) in children compared with infants	High
Samb, 1995 ³⁴	Measles	Case-control	Rural Senegalese children (n=136)	Rabies vaccine after high titre EZ at 5 months v placebo at 5 months and Schwarz at 10 months (n=143)	Immunogenicity to measles, yellow fever, and rabies vaccines. Skin tests. Haematological variables (baseline and 3 months after rabies vaccination; baseline age 36-44 months)	Rabies and yellow fever immune responses. CD3, CD4, and CD8 lymphocyte counts	Females who previously received EZ had higher rabies neutralisation (P=0.012) and ELISA (P=0.03) antibody at 3 months after initial rabies vaccine dose	Unclear
Schnorr, 2001 ³⁵	Measles	RCT	Bangladeshi infants (n=78)	Standard titre EZ or had Schwarz at 6 and 9 months v 9 months only v unvaccinated (n=78)	Measles antibody titres. DTH skin test. Cell phenotype. Cytokine responses in response to stimulation with PHA (1, 6, and 24 weeks after vaccination)	DTH skin test. Cell phenotype. Cytokine responses in response to stimulation with PHA	Increased anergy to candida in DTH assay (P=0.015 for 6 month group and P=0.04 for 9 month group) Difference in CD71 (P=0.04), and CD30 (P=0.004) expression between groups at baseline. Difference in expression of CD25 (P=0.02), CD69 (P=0.04), CD71 (P=0.04), and CD30 (P=0.006) between groups from week 1 to week 6. Difference in expression of NK (P=0.009) and CD69 (P=0.03) between groups at week 24. Increased IL-2 (P=0.007) at 6 weeks and IL-10 (P=0.04) at 24 weeks between groups	High
Pabst, 1997 ³⁶	MMR	Cohort	Infants (n=124)	MMR	PBMC blast transformation to measles antigen, Vero cell control antigen, TT, and candida antigen. Production of sIL-2r, IFN- γ , IL-4 and IL-10 stimulated by PHA. CD4, CD8 and NK cells (baseline, 14, 22, 30 and 38 days after vaccination)	PBMC blast transformation to Vero cell control antigen, TT and candida antigen. Production of sIL-2r, IFN- γ , IL-4 and IL-10 stimulated by PHA. CD4, CD8, and NK cells	Decrease in PBMC blast transformation to candida antigen (P<0.01) at day 22 v day 0. CD4 decrease at day 22 and 38; CD8 increase at days 14, 30, and 38; NK cell increase at day 14 and 30 (P<0.05). Increased IFN- γ to PHA at day 14 and 38 (P<0.01)	Unclear
Rager-Zisman, 2003 ³⁷	MMR	Cohort	Children (n=38)	MMR	Measles, mumps, and rubella IgG, total IgM, IgG and IgE. White cell count, CD8, CD4 and CD4:CD8. Lymphoproliferative responses to PHA and TT. NK cells and NK specific activity (baseline and 30 days after vaccination)	White cell count, CD8, CD4 and CD4:CD8. Lymphoproliferative responses to PHA and TT. NK cells and NK specific activity	Decrease in total leukocytes (P<0.001), CD4% (P=0.028), and CD8% (P=0.041) from before to after immunisation. Increased proliferative response to TT (P=0.006) from before to after immunisation. Increase in CD56+ cells (P=0.01) from before to after immunisation	Unclear

NSIE=non-specific immunological effects, PPD=purified protein derivative, TT=tetanus toxoid, PBMC=peripheral blood mononuclear cells, PHA=phytohaemagglutinin, EZ=Edmonston-Zagreb, DTH=delayed type hypersensitivity, NK=natural killer.

Table 4 | Summary characteristics of all included tetanus toxoid vaccine studies with significant findings reported

Author	Vaccine	Design	Participants	Interventions	Outcomes (time of measurement)	NSIE outcomes	Difference in NSIE outcome	Risk of bias
Armitage, 1993 ³⁶	TT	Case-control	Elderly and young adults (n=46)	TT based on vaccination history	Immunogenicity, proliferation and blastogenesis (2 weeks post vaccination)	Lymphoproliferation to ConA and PHA	Decrease in ConA and PHA blastogenesis in elderly v young adults (P=0.01 and P=0.001)	High
Borut, 1980 ³⁹	TT	Case-control	Agammaglobulinaemic and healthy children, and adults (n=80)	TT v unvaccinated	Skin testing, lymphocyte proliferation, monocyte chemotaxis, and immunogenicity (single measurement at recruitment)	Monocyte chemotaxis	Higher monocyte chemotaxis in those with positive tetanus toxoid skin test (P<0.01)	High
Chollet, 1979 ⁴⁰	TT	Case-control	Adults (n=75)	Boosting with TT v no boosting	Lymphocyte proliferation, phenotyping (by electrophoresis), cytotoxicity, and rosettes (baseline, 1, 2, 3, 4, and 8 days after vaccination)	B, T1, and T2 cell phenotypes by electrophoresis. Lymphoproliferation to PHA, PWM, and ConA	Increase in T2 cells at days 2 (P<0.01), 3 (P<0.01), and 8 (P<0.05) and T1 cells at day 2 (P<0.05) and 3 (P<0.01) in vaccinated group	High
Gentile, 2006 ⁴¹	TT	Case-control	Adults with and without allergic rhinitis (n=30)	TT	PBMC cytokine responses, skin testing to allergens, and TT immunogenicity (baseline, 3, 7, 14, and 28 days after vaccination)	IFN- γ and IL-13 responses to PHA	PHA and TT induced IFN- γ increased on days 7 and 14 for non-allergic rhinitis v allergic rhinitis group (P<0.05). PHA induced IL-13 was increased on day 7 for non-allergic rhinitis v allergic rhinitis group (P<0.05)	High
Mahalingham, 2010 ⁴²	TT	RCT	Adult females (n=108)	Palm oil v placebo. All participants received TT	Lymphoproliferation, cytokines in culture supernatants, TT immunogenicity, and plasma vitamin E concentration (baseline, 28, and 56 days after recruitment). NB TT given on day 28	IFN- γ and IL-4 production in response to LPS	Increase in ConA induced IFN- γ and IL-4 at day 56 for both groups (P<0.001). Increase in IL-6 to LPS in the intervention group at day 56 (P<0.001)	Unclear

NSIE=non-specific immunological effects, ConA=Concanavalin A, PWM=pokeweed mitogen, LPS=lipopolysaccharide, TT=tetanus toxoid, PBMC=peripheral blood mononuclear cells, PHA=phytohaemagglutinin.

Table 5 | Summary characteristics of all included DTP and DT vaccine studies with significant findings reported

Author	Vaccine	Design	Participants	Interventions	Outcomes (time of measurement)	NSIE outcomes	Difference in NSIE outcome	Risk of bias
Fernande, 2010 ⁴³	DT	Cohort	Male adults in Canada (n=20)	Single dose of tetanus/diphtheria vaccine	Frequency of B cell subsets. IgG, IgA and tetanus/diphtheria specific antibody secretion. IgA and IgG antibody to polio virus and HSV (baseline, 7, and 14 days after vaccination)	Frequency of B cell subsets. IgA and IgG antibody to polio virus and HSV	Increase in HSV IgG (P<0.01), IgA (P<0.01), and polio virus IgA (P<0.005) at day 7 v baseline	Unclear
Halasa, 2008 ⁴⁴	DTP	RCT	Infants (n=50)	DTaP and HBV at birth v HBV only at birth	Adverse reactions. IgG to PT, FHA PRN and Fimbriae 2/3. PRP Hib capsule antigen. Pneumococcal capsule antigens, diphtheria and tetanus toxoids. Neutralisation assays for polio and HBSAg antibodies (baseline, age 6, 7, 17, and 18 months)	Polio neutralisation assays, pneumococcal serotypes 6, 14, 23 and HBSAg antibodies	Higher GMC for pneumococcal serotype 14 in controls at 7 months (P=0.035) and higher microneutralisation titres in controls for poliovirus 1 and 3 at 18 months (P=0.39 and 0.041)	Unclear
Heine, 2011 ⁴⁵	DT	RCT	Adults (n=32)	Oral vitamin D3 oil/day v equal volume of neutral oil (participants received tetanus/diphtheria toxoid vaccine at 9 weeks)	25-hydroxyvitamin D. TT specific IgG and IgA. Peripheral B and T lymphocytes. T cell activation to stimulation by SEB. TT specific cytokine profile (IL-2, IL-4, IL-5, IL-10, TNF- α and IFN γ). Adverse events. Leukocyte counts and IgG, IgA and IgM titres (baseline and 7 days after vaccination)	T cell activation to stimulation by Staphylococcus enterotoxin and no antigen. Pre vaccination data not reported. Leukocyte counts and IgG, IgA and IgM titres	Monocyte count decreased in placebo group (P=0.04).	High
Rowe, 2000 ⁴⁶	DTP	Cohort	Infants in Australia (n=55)	DTaP at 2, 4, and 6 months. Infants also received oral polio and Hib titre vaccines	IL-4, IL-5, IL-6, IL-9, IL-10, IL-13, and IFN γ stimulated by TT and PHA. IL-4 and IL-9 mRNA (2, 4, 6, and 12 months of age)	IL-4, IL-5, IL-6, IL-9, IL-10, IL-13, and IFN γ stimulated by PHA	Increase in IL-5 (P=0.01) and IL-13 (P=0.01) at 12 v 6 months. Increase in IL-5 (P=0.05) at 6 v 4 months	High
El Yousfi, 2005 ⁴⁷	DT	Case-control	Elderly v young adults (n=15)	Single dose DT-polio and Typhim Vi vaccine	Acute phase proteins (CRP, AGP, Fibrinogen, α 1-Antitrypsin, Haptoglobin, Albumin, Transferrin, Transferrin). White cells counts. Plasma cytokine levels (TNF α , IL-6, IL-10). IL-6 and IL-10 production by LPS stimulated whole blood. IFN- γ production by PHA stimulated whole blood (baseline and 2 days after vaccination)	Acute phase proteins (CRP, AGP, fibrinogen, α 1-antitrypsin, haptoglobin, albumin, transferrin, transferrin). White cells counts. Plasma cytokine concentrations (TNF α , IL-6, IL-10). IL-6 and IL-10 production by LPS stimulated whole blood. IFN- γ production by PHA stimulated whole blood	Increase from before to after (day 2) vaccine for CRP (P=0.003), AGP (P<0.001), fibrinogen (P=0.004), haptoglobin (P=0.002) and transferrin (P=0.01). Increase from before to after vaccine in monocytes (P=0.007), lymphocytes (P=0.002), and neutrophils (P=0.04). Elderly group plasma IL-6 and IL-10 increases from baseline (P<0.05). Both groups had increased IFN- γ production to PHA from baseline (P<0.05). Young adults had increased IL-6 production to LPS from baseline (P<0.05)	Unclear

NSIE=non-specific immunological effects, DT=diphtheria-tetanus, TT=tetanus toxoid, PT=pertussis toxin, FHA=filamentous hemagglutinin, PRN=pertactin, HSV=herpes simplex virus, HBSAg=hepatitis B virus surface antigen, SEB=staphylococcal enterotoxin B, CRP=C reactive protein, AGP=alpha-1-acid glycoprotein, LPS=lipopolysaccharide, PHA=phytohaemagglutinin.

Fig 2 | Non-specific immunological responses to BCG vaccination for unstimulated cultures, PHA stimulated cultures, IFN- γ responses, and lymphoproliferation and leukocyte counts from included BCG studies. Each circle represents responses from one study, calculated as either ratio of responses in those vaccinated compared with those unvaccinated (no black outer circle) or responses before vaccination compared with after vaccination (black outer circles). No estimate of variability is given and pooling of results was not justified because of inconsistency in summary statistics reported and poor reporting of results. Size of circles is proportionate to number of samples analysed. Ratios of geometric means (red circles with left hatching), ratios of medians (blue circles with right hatching), ratios of means (green circles with vertical hatching), and mean fold rise within individual (yellow circles)

appendix 4 provides detailed tabulated data for the fig). One study that compared responses between vaccinated groups showed an increase in IFN- γ , IL-2, and IL-10 in the vaccinated compared with the control group.³⁵ The remaining responses to PHA were all from cohort studies and did not show a consistent direction of effect for any of

the variables except for sIL-2Ra and β 2 microglobulin, which showed small relative increases after vaccination in relatively smaller cohort sizes. Cohort studies in which unstimulated assays were conducted showed decreases in IL-4, MIP-1 β , and sIL-2Ra and no consistent direction of response for IFN- γ (fig G in appendix 3).

Fig 3 | Non-specific immunological responses to measles and MMR vaccination. PHA stimulated cultures, lymphoproliferation and leukocyte counts, and T cell proliferation to PHA stimulation and total counts of CD4 and CD8 T cells in included MMR vaccine studies. Each circle represents responses from one study, calculated as either ratio of responses in those vaccinated compared with those unvaccinated (no black outer circle) or responses before vaccination compared with after vaccination (black outer circles). No estimate of variability is given and pooling of results was not justified due to inconsistency in summary statistics reported and poor reporting of results. Size of circles is proportionate to number of samples analysed. Ratios of geometric means (red circles with left hatching), ratios of medians (blue circles with right hatching), and ratios of means (green circles with vertical hatching)

Lymphoproliferation was reported in response to tetanus toxoid, *Candida* species, and PHA stimulation as well as unstimulated assays (fig 3). In cohort studies reporting responses to *C albicans* and tetanus toxoid, lymphoproliferation was consistently raised after vaccination. Total T cell proliferation was consistently

reduced and soluble CD8 responses were consistently increased after PHA stimulation. One study that compared vaccinated with unvaccinated children showed overall values for ex vivo white blood cell counts and in vitro proliferative responses by unstimulated lymphocyte and CD4 T cells that lay close to the null.³²

MMR vaccine studies

Overall data were extracted from three papers reporting responses to non-specific stimuli in MMR studies (table C, appendix 2). Two papers conducted studies in children aged under 5, while the third followed up vaccinated infants at mean age of 6.14 years. These papers reported 10 different immunological variables using five types of stimulants, resulting in 13 unique combinations.

CD4, and CD8 responses were the most commonly reported variables in cohort studies of T cell proliferation in response to PHA stimulation (fig 3). T cell proliferation in response to PHA stimulation was consistently reduced. Unstimulated CD4 responses also trended towards a reduction while unstimulated CD8 responses had no consistent direction of effect.

Tetanus vaccine studies

Ten studies reported responses to non-specific stimuli after tetanus vaccination (table D in appendix 2). They reported 21 different immunological variables (primarily lymphocyte proliferation and cytokines) and used 14 types of stimulants, resulting in 36 unique combinations. Only one study (by Borut and colleagues³⁹) involved children aged under 5, who made up only a fraction of the total study cohort.

Two studies reported cytokine production to mitogens after tetanus toxoid vaccination. After PHA stimulation, increases in IFN- γ and IL-13 were noted in participants with non-allergic rhinitis compared with allergic rhinitis.⁴¹ One study included women randomised to palm oil or placebo and compared lymphoproliferative responses before and 56 days after vaccine receipt; stimulation with concanavalin A (ConA) resulted in higher IFN- γ and IL-4 titres in both groups, while lipopolysaccharide generated higher IL-6 titres only in women who received palm oil.⁴⁵ Age seemed to play a role in blastogenesis to ConA and PHA after tetanus toxoid vaccination, with reduced responses in elderly adults compared with young adults.³⁸ One study that examined skin test responses to tetanus toxoid noted that there was increased monocyte chemotaxis in those participants with positive skin test responses.³⁹

DTP, DT, and pertussis vaccine studies

One study explored the effect of vitamin A on cytokine (IFN- γ , TNF- α , IL-10, IL-5, and IL-13) responses in relation to receipt of DTP vaccination and noted no significant differences (fig H in appendix 3).⁴⁹ This study, however, did report a significant decrease in monocyte count in the group not receiving vitamin A in conjunction with DTP. Interestingly, one DT (diphtheria-tetanus) and one DTP study showed vaccine interference. The DT study showed increased herpes simplex virus and polio antibody titres, while the DTP study showed an increase in antibody to pneumococcus serotype 14 and polio neutralising responses.

We identified 10 studies that contained assays of non-specific immunological responses after immunisation with DTP or DT (table E in appendix 2). Notably, five studies reported to have or were likely to have co-administered a polio vaccine.

One study reported the comparison of a monovalent to trivalent pertussis vaccine in adults (table F in appendix 2).⁵⁰ In this study culture stimulation indices to tetanus toxoid stimulation were measured but no statistical testing was performed.

Discussion

Principal findings

This is the first systematic review of non-specific immunological effects after human vaccination. Study designs were heterogeneous, and we could not carry out a meta-analysis. Included studies also had a low level of evidence quality. We could not conclude from the current available data that there are any consistent findings to confirm or discard the occurrence of non-specific immunological effects after vaccination with BCG, diphtheria, pertussis, tetanus, or measles containing vaccines. In addition, data from the included papers were not presented in a form that allowed us to assess the effect of sex on non-specific immunological effects. More meaningful conclusions might be drawn if raw data analyses could be conducted with unpublished and published data. If the same summary statistics could be computed for each study then meta-analysis might be possible, though there would still remain large diversity in study design, timing of assessments, and age at vaccination.

Strengths and limitations

Our review showed that a multitude of variables have been used to assess non-specific immunological effects of vaccines over the past six decades. Many of these are reported only once, and it is in these situations that single significant P values need to be interpreted with caution. Stronger evidence for any effect can be found when more than one study has assessed the same variable and when confirmatory results can be found from different studies.

The improvement in technology for testing immunological variables (such as multiplex assays) allows multiple tests to be assessed at one time with one blood sample and greatly increases the chances of false positive results occurring because of chance alone. The standard arbitrary cut point used for significance testing in these situations ($P < 0.05$) means that there is a 5% chance of a false positive result with every P value computed. If a study reports the results of a multiplex assay testing multiple separate variables and each one is tested at the $P < 0.05$ level, then the chances that one of those variables will show a significant difference where none exists is high.

Comparison with other studies

Conceptually, we would expect heterogeneity of responses because the reported variables are singular measures of a complex biological system where a matrix of positive and negative responses by immunological variables can be seen. It is also important to consider potential confounders that could play a role—for example, contamination might explain some changes in unstimulated culture systems. Notably, this review

shows some consistent patterns of effect that could be relevant in an immunological context. For example, in most studies that reported IFN- γ responses in people vaccinated with BCG, there was an increase in production of the cytokine in unstimulated and stimulated *in vitro* conditions, both over time within a cohort and within groups that had received BCG compared with controls. The cytokine profile of PHA stimulated assays from BCG studies also showed a trend towards a pro-inflammatory response in cohort studies. Interestingly, the studies comparing vaccinated versus control groups indicate a more general increase in both pro-inflammatory and anti-inflammatory profiles. This suggests that the effect seen in the cohort studies could be ontogenetic in nature, consistent with *in vitro* studies of human blood stimulated with toll-like receptor agonists that show an increase in pro-inflammatory and decrease in anti-inflammatory cytokines during infancy.⁵¹

Three study cohorts assessed proliferative and IFN- γ responses to HBsAg stimulation of peripheral blood mononuclear cells after BCG vaccination.^{23,25} In two, IFN- γ showed an increase in the respective variable for the vaccinated compared with the control group.²⁵ Interestingly, responses to bacterial and fungal antigens in both BCG and measles vaccine studies showed increases in the respective variables measured. These findings are consistent with the results of animal studies that have shown acquired cellular resistance as a result of altered responsiveness of monocytes and macrophages.⁵² This is supported by the trend towards an increase in monocyte responses in BCG vaccinated compared with control groups in this review. Furthermore, both animal and human studies support a role for activation of innate pattern recognition receptors triggering metabolic pathways and epigenetic changes that result in this response.^{53,22}

Conclusions and policy implications

The lack of clear high quality evidence does not confirm or exclude the possibility of non-specific immunological effects after vaccination, which are well described in animal studies and accepted by many immunologists as occurring in humans.^{54,55} The human data, however, do not provide the necessary evidence to provide any confidence in the nature, quality, quantity, kinetics, or impact of non-specific immunological effects in young children after vaccination nor its translation into explaining morbidity or mortality outcomes.

Measurement of conventional immunological variables (such as antibody titres and cellular responses) provides little mechanistic insight into the relatedness of vaccination to an epidemiological outcome. Technological advances, however, mean that it might now be possible to design studies that can examine this issue systematically. For example, by using systems biology approaches, studies on yellow fever and influenza vaccination have uncovered some key processes that drive vaccine immune responses.^{56,57} In addition, studies examining disease conditions (including infectious causes) have described the roles specific molecular

pathways play in susceptibility.⁵⁸ Thus systems biology provides an avenue for describing the biological networks that are perturbed by immunisation and how these can mechanistically relate to well defined epidemiological outcomes such as susceptibility to infectious disease. In addition, it has advantages in being able to incorporate complex considerations such as multiple vaccine antigens administered simultaneously. By examining how the molecular expression profiles generated after vaccination relate to those found at the time of a measured epidemiological outcome it would be possible to identify whether heterologous effects exist and, if so, how they exert their effects. Further validation would then be required perhaps in animal models or using *in vitro* systems.

Design of studies that would aid understanding of heterologous effects of vaccines should take account of four key considerations: identification of the main endpoints (morbidity or mortality) that will be used to assess the importance of any observed immunological effects; strategies to take account of the complexity of multiple antigens given at one time; the order in which vaccines are given in the immunisation schedule; and which biological measurements to use in the assessment. To appropriately examine these considerations it is necessary to undertake pilot mechanistic studies that would use new technological approaches to identify suitable laboratory variables to study in a larger epidemiological study with relevant endpoints. These studies would most readily be carried out in small cohorts of adults or animal based studies in which multiple sampling time points can be acquired, analysed with new high throughput technology such as standardised cellular phenotyping⁵⁹ and multiplex serological assays coupled with the high dimensional molecular systems biology approaches to define these mechanisms.

Future detailed studies using a systems biology approach to capture the transcriptional, epigenetic, and immunological effects of vaccines could provide data on the timing, duration, quality, and magnitude of such effects. This would entail a rigorous statistical approach to correct for multiple testing. It is particularly important to gain an understanding of whether any such measurable effects are able to influence future inflammatory or innate/acquired immunological responses to exposure with vaccines or infectious agents. If reproducible signals are identified, these could be used in large scale studies with relevant epidemiological endpoints in children to characterise the clinical importance of such vaccine effects.

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Contributors: RK performed screening, selected articles for inclusions, assessed risk of bias, analysed data, and wrote the report. MV extracted and analysed the data. FMcQ performed screening, selected articles for inclusion, and assessed risk of bias. CS, DO'C, KdN, RR, OO, and UU performed screening and extracted data. AJP revised the protocol, performed screening, and adjudicated on conflicts on article inclusion and risk of bias assessment. All authors approved this version for publication. All authors, external and internal, had full access to all of the data (including statistical reports and tables) in the

study and can take responsibility for the integrity of the data and the accuracy of the data analysis. RK and AJP are guarantors.

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Transparency: The lead author affirms that the manuscript is an honest, accurate, and transparent account of the study being reported; that no important aspects of the study have been omitted; and that any discrepancies from the study as planned (and, if relevant, registered) have been explained.

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Appendix 1: Search terms

Appendix 2: Supplementary tables A-L and references

Appendix 3: Supplementary figures with tabulated data

Appendix 4: Detailed tabulated data for figs 2 and 3