



# Restrictive versus liberal transfusion strategy for red blood cell transfusion: systematic review of randomised trials with meta-analysis and trial sequential analysis

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**Cite this as:** *BMJ* **2015;350:h1354** doi: 10.1136/bmj.h1354

Accepted: 10 February 2015

## **OBJECTIVE** To compare the benefit and harm of restrictive versus liberal transfusion strategies to guide red blood cell

# DESIGN

transfusions.

ABSTRACT

Systematic review with meta-analyses and trial sequential analyses of randomised clinical trials.

## DATA SOURCES

Cochrane central register of controlled trials, SilverPlatter Medline (1950 to date), SilverPlatter Embase (1980 to date), and Science Citation Index Expanded (1900 to present). Reference lists of identified trials and other systematic reviews were assessed, and authors and experts in transfusion were contacted to identify additional trials.

### TRIAL SELECTION

Published and unpublished randomised clinical trials that evaluated a restrictive compared with a liberal transfusion strategy in adults or children, irrespective of language, blinding procedure, publication status, or sample size.

## DATA EXTRACTION

Two authors independently screened titles and abstracts of trials identified, and relevant trials were evaluated in full text for eligibility. Two reviewers then independently extracted data on methods, interventions, outcomes, and risk of bias from included trials. random effects models were used to estimate risk ratios and mean differences with 95% confidence intervals.

# WHAT IS ALREADY KNOWN ON THIS TOPIC

Red blood cells are commonly used in the treatment of haemorrhage and anaemia, but recent trials have shown potential harm with this intervention

Recent meta-analysis indicates no harm with the use of a restrictive transfusion strategy

# WHAT THIS STUDY ADDS

This review includes new data from five recently published randomised trials of restrictive versus liberal transfusion strategies and includes data from more than 9000 patients

Pooled analyses did not show harm with restrictive transfusion strategies (no increased risk of mortality, overall morbidity, or acute myocardial infarction) but the number of units and number of patients transfused were reduced compared with liberal strategies

Liberal strategies have possible associations with harm (risk of infectious complications)

Further large trials with lower risk of bias are needed to establish firm evidence to guide transfusion in subgroups of patients

# RESULTS

31 trials totalling 9813 randomised patients were included. The proportion of patients receiving red blood cells (relative risk 0.54, 95% confidence interval 0.47 to 0.63, 8923 patients, 24 trials) and the number of red blood cell units transfused (mean difference -1.43. 95% confidence interval -2.01 to -0.86) were lower with the restrictive compared with liberal transfusion strategies. Restrictive compared with liberal transfusion strategies were not associated with risk of death (0.86, 0.74 to 1.01, 5707 patients, nine lower risk of bias trials), overall morbidity (0.98, 0.85 to 1.12, 4517 patients, six lower risk of bias trials), or fatal or non-fatal myocardial infarction (1.28, 0.66 to 2.49, 4730 patients, seven lower risk of bias trials). Results were not affected by the inclusion of trials with unclear or high risk of bias. Using trial sequential analyses on mortality and myocardial infarction, the required information size was not reached, but a 15% relative risk reduction or increase in overall morbidity with restrictive transfusion strategies could be excluded.

# CONCLUSIONS

Compared with liberal strategies, restrictive transfusion strategies were associated with a reduction in the number of red blood cell units transfused and number of patients being transfused, but mortality, overall morbidity, and myocardial infarction seemed to be unaltered. Restrictive transfusion strategies are safe in most clinical settings. Liberal transfusion strategies have not been shown to convey any benefit to patients.

## TRIAL REGISTRATION

PROSPERO CRD42013004272.

## Introduction

Transfusion of red blood cells are often used to treat anaemia or bleeding in a variety of patient groups.<sup>1-3</sup> Recent results of randomised clinical trials4-8 have favoured restrictive transfusion strategies and elucidated potential harm with liberal transfusion strategies. Data from several newly published randomised controlled trials9-13 warrant an up to date review of the available evidence comparing the effects of different transfusion thresholds to inform on the benefits and harms of transfusion strategies guiding red blood cell transfusion. A Cochrane review identified 19 randomised controlled trials including 6264 patients.<sup>14</sup> Most of the data on mortality were from the Transfusion Requirements in Critical Care (TRICC) trial<sup>4</sup> (52%) and Transfusion Trigger Trial for Functional Outcomes in Cardiovascular Patients Undergoing Surgical Hip

Fracture Repair (FOCUS) trial (23%),<sup>15</sup> underlining the somewhat limited evidence base for guiding the use of red blood cells.<sup>16</sup>

We carried out a systematic review including data from the latest published randomised controlled trials and used conventional meta-analysis to compare the effects of different transfusion strategies on important outcomes in various patient groups. We were particularly interested to examine whether the evidence supported a restrictive strategy without harm to patients.

#### Methods

Our systematic review was conducted according to the protocol previously published in the PROSPERO register (www.crd.york.ac.uk/PROSPERO). The methodology and reporting were based on recommendations from the Cochrane Collaboration<sup>17</sup> and the preferred reporting items for systematic reviews and meta-analyses statement,<sup>18</sup> and evaluated according to the GRADE (grading of recommendations assessment, development, and evaluation) guidelines.<sup>19</sup>

#### **Eligibility criteria**

We considered prospective randomised controlled trials to be eligible for inclusion if red blood cell transfusions were administered on the basis of a clear transfusion "trigger" or "threshold," defined as a specific haemoglobin or haematocrit level. Comparator group patients were required to be either transfused at higher haemoglobin or haematocrit levels than the intervention group or transfused in accordance with current transfusion practices. We considered for inclusion trials that included surgical or medical patients and adults or children, but excluded trials conducted on neonates and children with low birth weight.

All randomised controlled trials were eligible irrespective of language, blinding, publication status or date, or sample size. We excluded quasirandomised trials for assessment of benefit but considered them for inclusion for assessment of harm.

#### Search strategy

We identified relevant randomised controlled trials through an up to date systematic search strategy used in a published Cochrane review;<sup>14</sup> in the Cochrane central register of controlled trials, SilverPlatter Medline (1950 to October 2014), SilverPlatter Embase (1980 to October 2014), and Science Citation Index Expanded (1900 to October 2014). To identify any planned, unreported, or ongoing trials we contacted the main authors of included trials and experts in this discipline. We reviewed the references of included trials to identify additional trials. Moreover, we identified ongoing clinical trials and unpublished trials through Current Controlled Trials, ClinicalTrials.gov, and www.centerwatch. com (see supplementary appendix 1 for detailed information on the search strategy).

## **Trial selection**

Authors (LB, MWP, and NH) independently reviewed all titles and abstracts identified through the systematic

search. They excluded trials that did not fulfil the eligibility criteria and evaluated the remaining trials in full text. Disagreements were resolved with JW.

#### Data extraction

The researchers were not masked to the author, institution, and publication source of trials at any time. Using preprepared extraction forms the researchers (LBH, NH, or MWP) independently extracted the characteristics of the trials (single or multicentre, country), baseline characteristics of the patients (age, sex, disease severity), inclusion and exclusion criteria, the description of intervention (thresholds, duration), and outcomes. When information was unclear or missing we contacted the corresponding authors of the relevant trials.

Predefined primary outcomes were mortality and overall morbidity, defined by authors as one or more complications, overall complications, or any adverse event (if not reported, we included the most common complication). Secondary outcomes were adverse events (transfusion reactions, cardiac events-for example, myocardial infarction, cardiac arrest, acute arrhythmia, angina), renal failure, thromboembolic events, infections, haemorrhagic events, stroke, or transitory cerebral ischaemia. We also registered the proportion of patients transfused with allogeneic or autologous red blood cells, and the number of allogeneic and autologous blood units transfused. Haemoglobin or haematocrit levels during intervention and length of hospital stay were regarded as process variables and thus reported as trial characteristics.

## Risk of bias assessment

According to recommendations from the Cochrane Collaboration<sup>17</sup> we reviewed the major domains of bias (random sequence generation, allocation concealment, blinding of participants and staff, blinding of outcome assessors, incomplete outcome data, selective outcome reporting, baseline imbalance, sponsor bias (bias related to funding source), and academic (whether authors had published other trials in the same field of research) in all trials. We categorised trials with low risk of bias as those with a lower risk of bias in all domains except blinding because blinding of trigger guided transfusion is generally not feasible. All other trials were categorised as unclear or at high risk of bias.

#### Grading quality of evidence

We assessed the quality of evidence for mortality, overall morbidity, and fatal and non-fatal myocardial infarction according to GRADE methodology<sup>19</sup> for risk of bias, inconsistency, indirectness, imprecision, and publication bias; classified as very low, low, moderate, or high.

#### Statistical analysis

All statistical analyses were performed using Review Manager (RevMan) version 5.3.3 (Nordic Cochrane Centre, Cochrane Collaboration) and trial sequential analysis program version 0.9 beta (www.ctu.dk/tsa).<sup>20</sup> For all included trials we report relative risks (95% confidence

intervals) for dichotomous outcomes and mean differences (95% confidence intervals) for continuous outcomes. We pooled these measures in meta-analyses.

If data from two or more trials were included in analysis of an outcome, we used random effects<sup>20</sup> and fixed effect models<sup>21</sup> for meta-analyses. We report the results from both models if there was discrepancy between the two; otherwise we report results from the random effects model. Heterogeneity among trials was quantified with inconsistency factor (I<sup>2</sup>) or (D<sup>2</sup>) statistics<sup>22</sup> and by x<sup>2</sup> test, with significance set at a P value of 0.10. We did sensitivity analyses by applying continuity adjustment in trials with zero events.<sup>17</sup>

For risk of bias we performed predefined subgroup analyses (lower versus high or unclear risk) and we emphasise the results from the trials with lower risk of bias,<sup>17</sup> patient populations (adults versus children; surgical versus medical), length of follow-up ( $\leq 90$  days versus >90 days), and transfusion product (leucocyte reduced versus non-leucocyte reduced red blood cell suspensions). Only subgroup analyses showing a statistically significant test of interaction (P<0.05) were considered to provide evidence of an intervention effect. We preplanned exploration of moderate to high heterogeneity using metaregression, including mean age and fraction of men as covariates if possible. However this was not feasible owing to missing values of the covariates in the included trials, but we performed a post hoc subgroup analysis, stratifying trials according to clinical setting. There were no data to support the predefined subgroup analysis of randomised trials of patients with sepsis compared with patients without sensis.

Meta-analyses may result in type I errors owing to an increased risk of random error when sparse data are analysed<sup>23</sup> and due to repeated significance testing when a cumulative meta-analysis is updated with new trials.<sup>20 24</sup> To assess the risk of type I errors we applied trial sequential analysis to cumulative meta-analysis. Trial sequential analysis combines an estimation of information size (cumulated sample size of included trials) with an adjusted threshold for statistical significance<sup>20 25</sup> in the cumulative meta-analyses.<sup>26</sup> The latter, termed trial sequential monitoring boundaries, adjusts the confidence intervals and reduces type I errors. When the cumulative z curve crosses the trial sequential monitoring boundary, a sufficient level of evidence for the anticipated intervention effect may have been reached and no further trials are needed. If the z curve does not cross any of the boundaries and the required information size has not been reached, evidence to reach a conclusion is insufficient. We calculated information size as a diversity adjusted required information size,<sup>27</sup> suggested by the diversity of the intervention effect estimates among the included trials.

The required information size was calculated based on a relative risk reduction of 15% in mortality and overall morbidity and a relative risk reduction of 50% in myocardial infarction. We appropriately adjusted all trial sequential analyses for heterogeneity (diversity adjustment) according to an overall type I error of 5% and a power of 80%, considering early and repetitive testing.

#### Results

#### **Trial selection**

In the updated systematic search strategy we identified an additional 1930 records, of which 38 were assessed in full text for eligibility to supplement the former 19 published randomised controlled trials. In total we found 33 eligible records published, all in English, between October 1986 and October 2014, describing 31 trials of 9813 patients.<sup>4-13 15 28-45 72 73</sup> Three identified records provided data from the same trial.45-47 We excluded a total of 26 records,46-71 the primary reasons being a lack of well defined haemoglobin or haematocrit levels guiding the intervention (six records),48-53 the inclusion of preterm or very low birth weight neonates (seven records),54-60 71 and secondary publications or subgroup analyses (nine records).46 47 60-66 Three records related to ongoing trials.<sup>67-69</sup> Figure 1 summarises the results of the search strategy.

#### **Characteristics of trials**

We included both single (17 trials)<sup>6 7 11 12 28-31 33-35 38 39 41-43 72 and multicentre (14 trials)<sup>4 5 8-10 13 15 32 36 37 40 44 45 73 randomised controlled trials. Population sizes ranged from 25<sup>34</sup> to 2016,<sup>15</sup> and eight trials included more than 500 patients.<sup>4-7 9 13 15 45</sup> The clinical settings of most of the randomised controlled trials were perioperative and acute blood loss (20 trials),<sup>6 7 11-13 15 30-36 38 39 41 42 45 72</sup> critical care (eight trials),<sup>4 5 8-10 37 40 73</sup> and trauma (two trials),<sup>2 9 43</sup> and one trial included patients with leukaemia undergoing stem cell transplantation.<sup>44</sup> Table 1 summarises the characteristics of the included trials.</sup></sup>

#### Intervention

In 24 trials,<sup>45</sup> [8–7]<sup>9 10 12 13 28 30–37 40 41 43–45 73</sup> patients received allogeneic red blood cells, and among these

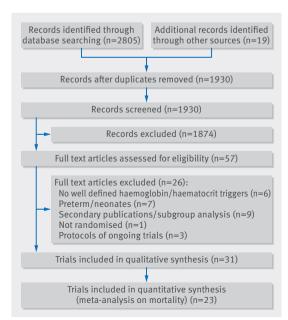


Fig 1 | Flow of trials through study

Table 1 Cha	Table 1   Characteristics of included trials	Ided trials							
Reference	Source	Country	No of patients/No of trial sites	Inclusion period	Clinical setting	RBCs (type/suspension/ leucocyte reduced)	Storage age*	Protocol violations†	Intervention trigger value‡
Almeida 2013 <sup>11</sup>	Critical Care	Brazil	198/1	Jan 2012-Dec 2012	Patients with cancer undergoing major abdominal surgery requiring postoperative ICU care	NA/NA/NA	AA	NA	R: 7, L: 9
Blair 1986 <sup>29</sup>	Brirish Journal of Surgery	UK	50/1	NA	Surgical patients with gastrointestinal bleeding	Allogen/citrate/NA	NA	NA	R: 8 or persistent shock, L: 2 units
Bracey 1999 <sup>31</sup>	Transfusion	USA	428/1	Feb 1997-Nov 1997	First time elective CABG surgery	Allogen/NA/NA	NA	NA	R: 8 or predefined clinical condition, L: 9
Bush 1997 <sup>30</sup>	American Journal of Surgery	USA	1/66	Aug 1995-Nov 1996	Elective aortic or infrainguinal arterial reconstruction	Allogen/NA/NA	NA	R: 3, L: 2	R: 9, L: 10
Carson 1998 <sup>32</sup>	Transfusion	USA/Scotland	84/4	Mar 1996-Mar 1997	Patients with primary hip fracture	Allogen/NA/NA	NA	R: 4/42, L: 1/42	R: 8 or symptoms of anaemia, L: 10
Carson 2011 <sup>15</sup>	New England Journal of Medicine	USA/Canada	2016/47	May 2003-Oct 2009	Primary with hip fracture and CVD or risk of CVD	Allogen/NA/leucocyte reduced (R: 88.6%, L: 90.2%)	R: 22.1 (9.9), L: 22.0 (9.5)	R: 56/1007, L: 91/1006	R: 8 or symptoms of anaemia, L: 10
Carson 201 <sup>38</sup>	American Heart Journal	USA	110/8	Mar 2010-May 2012	Patients with coronary syndrome or stable coronary artery disease undergoing catheterisation	Allogen/NA/leucocyte reduced (R: 92%, L: 95%)	R: 24.6 (9.1), L: 23.4 (10.9)	R: 1/55, L: 5/55	R: 8 or symptoms of anaemia, L: 10
Cholette 2011 <sup>33</sup>	Pediatric Critical Care	USA	60/1	Aug 2006-Sep 2009	Infants and children with single ventricle physiology undergoing cavapulmonary bypass	Allogen/NA/leucocyte reduced	AN	R: 0/30, L: 0/30	R: 9 with symptoms, L: 13
Cooper 2011 <sup>71</sup>	American Journal of Cardiology	USA	45/2	May 2003-Oct 2009	Acute myocardial infarction	Allogen/NA/leucocyte reduced	NA	NA	R: haematocrit <24 (24–27)%, L: 30 (30–33)%
De Gast-Bakker 2013 <sup>35</sup>	Intensive Care Medicine	Netherlands	107/1	Apr 2009-Jan 2012	Infants and children undergoing elective heart surgery for congenital heart defect	Allogen/NA/leucocyte reduced	R: 9.8 (6.8), L: 9.8 (7.2)	R: 3/53, L: 4/54	R: 8.0, L: 10.8
Gregersen 2013 <sup>72</sup>	European Geriatric Medicine	Denmark	160/1	NA	Patients with hip fracture	NA/NA/NA	NA	NA	R: 9.7, L: 11.3
Fortune 1987 <sup>34</sup>	Journal of Trauma	USA	25/1	NA	Traumatic pts with haemorrhagic shock (class 3–4)	Allogen/NA/NA	NA	NA	R: haematocrit <30%, L: <40%
Foss 2009 <sup>28</sup>	Transfusion	Denmark	120/1	Feb 2004-Jul 2006	Patients with primary hip fracture	Allogen/NA/leucocyte reduced	NA	NA	R: 8.0, L: 10.0
Grover 2006 <sup>36</sup>	Vox Sanguinis	UK	260/3	NA	Elective total knee or hip arthroplasty	Allogen/NA/leucocyte reduced	NA	NA	R: 8 and maintained between 8.0 and 9.5, L: <10 and maintained between 10.0 and 12.0
Hajjar 2010 <sup>7</sup>	Journal of the American Medical Association	Brazil	5 02/1	Feb 2009-Feb 2010	Elective CABG and/or valve replacement	Allogen/citrate/non- leucocyte reduced	NA	R: 0/255, L: 1/257	R: haemoatocrit <24%, L: <30%
Hébert 1995 <sup>37</sup>	Journal of the American Medical Association	Canada	69/25	Mar 1993-Jan 1994	Euvolaemic, critically ill patients	Allogen/NA/non-leucocyte reduced	AN	R: 2/33, L: 2/36	R: 7, L: 9
Hebert 1999 <sup>4</sup>	New England Journal of Medicine	Canada	838/25	Nov 1994-Nov 1997	Euvolaemic, critically ill patients	Allogen/citrate/non- leucocyte reduced	NA	R: 6/418 (crossover: 4/418), L: 18/420, (crossover: 11/420)	R: 7.0 (7.0–9.0), L: 10.0 (10.0–12.0)
Holst 2014 <sup>9</sup>	New England Journal of Medicine	Scandinavia	1005/32	Dec 2011-Dec 2013	Septic shock	Allogen/SAGM/leucocyte reduced	NA	R: 45/463, L: 16/470	R: 7, L: 9
Johnson 1992 <sup>38</sup>	Journal of Thoracic and Cardiovascular Surgery	NSA	39/1	NA	Elective revascularisation	Allogen and autologous/NA/ non-leucocyte reduced	AN	R: 1/21, L: 0/18	R: haematocrit <25%, L: <32%
Lacroix 2007 <sup>5</sup>	New England Journal of Medicine	Canada/USA/ UK/Belgium	648/19	Nov 2001-Aug 2005	Stable, critically ill infants and children	Allogen/NA/leucocyte reduced	R: 16.0 (10.5), L: 15.7 (10.3)	R: 1/320, L: 10/317	R: 7 (8.5–9.5), L: 9.5 (11.0–12.0)
Lotke 1999 <sup>39</sup>	Journal of Arthroplasty	USA	152/1	NA	Total knee arthroplasty	Allogen and autologous/NA/ non-leucocyte reduced	NA	NA	R: 9.0, L: 2 units
Parker 2013 <sup>12</sup>	Injury	England	200/1	NA	Patients with primary hip fracture	NA/NA/NA	NA	NA	R: symptoms of anaemia,§ L: 10

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Prick 2013 <sup>13</sup>	British Journal of Obstetrics and Gynaecology	Netherlands	521/37	May 2004-Feb 2011	Patients with sustained postpartum haemorrhage	Allogen/NA/NA	NA	R: 33, L: 7	R: symptoms of anaemia¶, L: 8.9
Robertson 2014 <sup>40</sup>	Journal of the American Medical Association	USA	200/2	May 2006-Aug 2012	ug 2012 Patients with closed head injury	NA/NA/leucocyte reduced	NA	R: 4/99, L: 0/101	R: 7, L: 10
Shehata 2012 <sup>41</sup>	Transfusion	Canada	50/1	Jan 2007-Jun 2010	Patients with elective cardiac surgery	Allogen/NA/NA	AN	R: 16%, L: 59%	R: 70 g/L perioperatively and 75 g/L postoperatively, L: 95 g/L perioperatively and 100 g/L postoperatively
So-Osman 2010 <sup>45</sup>	Vox Sanguinis	Netherlands	619/3	2001–2003	Primary elective hip or knee replacement	Allogen/NA/leucocyte reduced	AN	NA	R: new restrictive policy related to cardiopulmonary comorbidity, time since surgery, and including centre L: standard of care
Villanueva 2013 <sup>6</sup>	New England Journal of Medicine	Spain	921/1	NA	Patients with upper gastrointestinal bleeding	Allogen/NA/leucocyte reduced	NA	R: 39/444, L: 15/445	R: 7, L: 9
Walsh 2013 <sup>10</sup>	Critical Care Medicine	England	100/6	Aug 2009-Dec 2010	Mechanically ventilated patients in ICU	Allogen/SAGM/leucocyte reduced	NA	R: 2/51, L: 3/49	R: 7, L: 9
Webert 2008 <sup>44</sup>	Transfusion	Canada	60/4	Mar 2003-Oct 2004	Patients with acute leukaemia undergoing stem cell transplantation	Allogen/AS-3/leucocyte reduced	NA	R: 24/29, L: 28/31	R: 80 g/L, L: 120 g/L
Wu 2011 <sup>42</sup>	ESICM 24th annual China congress 2011	China	226/1	NA	Orthotopic liver transplantation	NA/NA/NA	NA	NA	R: 7 (7–9), L: 10 (10–12)
Zygun 2009 <sup>43</sup>	Zygun 2009 <sup>43</sup> Neurological Critical Care	England	30/1	Jan 2003-Jul 2005	Severe traumatic brain injury	Allogen/NA/NA	AN	NA	R: 8.0 (2 units transfused), L1: 9.0 (2 units transfused), L2: 10.0 (2 units transfused)
RBC=red blood cells; ICU Intensive Care Medicine.	cells; ICU=intensive car Aedicine.	e unit; NA=not av	ailable; R=restr	ictive; L=liberal; CABG=cor	RBC=red blood cells; ICU=intensive care unit; NA=not available; R=restrictive; L=liberal; CABG=coronary artery bypass graft; CVD=cardiovascular disease; SAGM=erythrocyte storage in hypertonic conservation medium; ESCIM=European Society of Intensive Care Medicine.	/ascular disease; SAGM=erythrocy	yte storage in h	iypertonic conservation m	edium; ESCIM=European Society of
"values are me †Values are pro	rvatues are mean (standard deviation) days unless otherwise specified. †Values are proportions of patients unless otherwise specified.	days unless other ess otherwise spe	wise specinea. Icified.						

hypotension or dyspnoea due to anaemia, decreased urine output unresponsive to fluid cardiac origin, congestive cardiac failure, unexplained tachycardia, looking after the patient. chest pain of appropriate by the medical staff | ion, rent vasovagal episodes on mobilisat levels are reported in g/dL unless stated otherwise or syncope. eved mptoms of anaemia defined as dyspnoea beli included recuri symptoms anaemia #Haemoglobin §Symptoms of replacement IISV RESEARCH

two trials also allowed the use of autologous transfusion.<sup>38 39</sup> For the remaining five trials there was no information on the type of red blood cells used.<sup>11 12 40 42 72</sup> Leucocyte reduced red blood cells were transfused in 12 trials,<sup>5 6 9 10 28 33 35 36 40 45 46 73</sup> and partially leucocyte reduced red blood cells were administered in two trials.<sup>8 15</sup> Non-leucocyte reduced red blood cells were used in five trials,<sup>4 7 37 38 39</sup> and information was not provided for the remaining 12 trials.<sup>11-13 29-32 34 42 43 72</sup>

The intervention trigger value varied between trials. The triggers for restrictive transfusion ranged from haemoglobin 7.0 to 9.7 g/dL, haematocrit 24% to 30%, or symptoms of anaemia as defined by the authors. The triggers for liberal transfusion ranged from haemoglobin 9 to 13 g/dL and haematocrit 30% to 40%.

# **Risk of bias assessment**

Table 2 provides detailed information on blinding. Overall, 12 randomised controlled trials were categorised as at lower risk of bias,<sup>4 5 6 9 10 13–15 28 32 35 73</sup> 14 as unc lear,<sup>8 11 12 29 33 34 37–43 72</sup> and five as at high risk of bias.<sup>7 30 31 36 45</sup> Figures 2 and 3 summarise the risks of bias.

# Clinical outcomes

# Mortality

Data on mortality were provided in 23 trials (8321 patie nts),  $^{4-12}$   $^{15}$   $^{28-30}$   $^{32}$   $^{33}$   $^{36}$   $^{37}$   $^{40-43}$   $^{73}$  but few trials followed the patients for 90 days or more.  $^{8-10}$   $^{12}$   $^{37}$   $^{40}$ 

A total of nine trials with 5707 randomised patients were included in the analysis of mortality in trials with lower risk of bias (fig 4),<sup>4–6 9 10 15 28 3273</sup> showing a relative risk of 0.86 (95% confidence interval 0.74 to 1.01; P=0.07; I<sup>2</sup>=27%) for restrictive versus liberal transfusion; the GRADE quality was judged to be low (table 3). The trial sequential analysis adjusted 95% confidence interval was 0.67 to 1.12 (fig 5).

Restrictive versus liberal transfusion strategies did not affect the relative risk of death (0.95, 95% confidence interval 0.81 to 1.11; P=0.52; I<sup>2</sup>=27%), including all trials despite risk of bias (fig 6); the GRADE quality was judged to be low (Table 4). The trial sequential analysis adjusted 95% confidence interval was 0.74 to 1.21 (fig 7). Figure 8 shows the results of meta-analysis on mortality in trials stratified by clinical setting.

# Overall morbidity

A total of six trials with lower risk of bias including 4517 patients were included in the meta-analysis of overall morbidity (fig 9).<sup>4–6 8 15 73</sup> Overall morbidity did not differ between the restrictive and liberal transfusion strategies (relative risk 0.98, 95% confidence interval 0.85 to 1.12; P=0.75; I<sup>2</sup>=60%) and the trial sequential analysis adjusted 95% confidence interval was 0.81 to 1.19. Future trials are unlikely to show a 15% relative risk reduction in favour of restrictive or liberal strategies as the boundary for futility was crossed (fig 10). The GRADE quality of evidence was judged to be very low (table 3).

A total of 12 trials with 5975 randomised patients were included in the meta-analysis of overall morbidity

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objective outcome (60 day survival status) were blinded for intervention during follow-up by telephone           Carson 2013*         Not available         Composite outcome of death and myocardial infarction; study nurses obtaining subjective (functional status, place of residence) and objective outcomes (myocardial infarction, instable angina, 60 day survival status) were blinded for intervention during follow-up by telephone           Cholette 2011 <sup>33</sup> Not blinded         Operation staff blinded perioperatively.         Outcome assessor not available: data and safety monitoring committee blinded for intervention during follow-up by telephone           Cooper 2011 <sup>71</sup> Not blinded         Not available         Not available         Not available           Cooper 2017 <sup>71</sup> Not blinded         Not available         Not available         Not available           Cooper 2017 <sup>71</sup> Not blinded         Not available         Not available         Not available           Cooper 2017 <sup>72</sup> Not available         Not available         Not available         Not available           Grower 2005 <sup>78</sup> Blinded         Not available         Not available         Not available           Hajjar 2010 <sup>7</sup> Not blinded         Not available         Outcome assessor blinded, data and safety monitoring committee blinded           Habert 1995 <sup>77</sup> Not blinded         Not available         Outcome assessor not available; data and safety monitoring committee	Reference	Patient	Clinical/trial staff	Outcome assessor
Bracey 1999 <sup>11</sup> Not blinded         Not blinded         Not blinded           Bush 1997 <sup>20</sup> Not available         Surgeons/anaesthesiologists not blinded, clinical staff not available         Not available         Not available           Carson 1998 <sup>12</sup> Not available         Not available         Study nurses obtaining subjective (functional status, place of residence) and objective outcomes (60 day survival status) were blinded for intervention during follow-up by telephone           Carson 2011 <sup>3</sup> Not blinded         Not available         Study nurses obtaining subjective (functional status, place of residence) and objective outcomes (60 day survival status) were blinded for intervention during follow-up by telephone           Carson 2013 <sup>3</sup> Not available         Not available         Composite outcome of desidence) and objective outcomes (myocardial infarction, ustable and myocardial infarction, stady nurses obtaining subjective (functional status, place of residence) and objective outcomes (myocardial infarction, ustable)         Outcome assessor not available; data and safety monitoring committee blinded for intervention during follow-up by telephone           Cooper 2011 <sup>71</sup> Not blinded         Not available         Not available           Cooper 2011 <sup>71</sup> Not available         Not available         Not available           Corgersen 2013 <sup>78</sup> Not available         Not available         Not available           Gregersen 2014 <sup>78</sup> Not available         Not available	Almeida 201311	Not available	Not available	Not available
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Wu 2011 <sup>42</sup> Not available     Not available	Walsh 201310	Blinded	Not blinded	Not available
	Webert 200844	Not blinded	Not blinded	Study staff assessing bleeding and adjudication committee blinded
Zygun 2009 <sup>43</sup> Not available Not available Not available Not available	Wu 2011 <sup>42</sup>	Not available	Not available	Not available
	Zygun 200943	Not available	Not available	Not available

Table 2 | Summary of reported blinding procedure in included trials to supplement ROB table (figure 2) on blinding procedure, not assessed in overall evaluation of trial bias domains owing to feasibility issues

regardless of risk of bias (relative risk 1.06, 95% confidence interval 0.93 to 1.21; P=0.36; I<sup>2</sup>=58%).<sup>4-8 15 35 37 39 41 46 73</sup>

## Fatal or non-fatal myocardial infarction

Seven trials assessing fatal or non-fatal myocardial infarction including 4730 patients were defined as trials with lower risk of bias.<sup>4 5 9 10 15 28 73</sup> Restrictive transfusion strategies were not associated with a relative risk reduction or relative risk increase in fatal or non-fatal myocardial infarction (relative risk 1.28, 95% confidence interval 0.66 to 2.49; P=0.46; I<sup>2</sup>=34%) (fig 11) and the trial sequential analysis adjusted 95% confidence interval was 0.40 to 4.31 (fig 12). The GRADE quality of evidence was judged to be very low (table 3). A total of 16 trials with 6501 randomised patients were included in the meta-analysis of fatal or non-fatal myocardial infarction regardless of risk of bias (1.05, 0.82 to 1.36;

P=0.70;  $I^2$ =6%); the GRADE quality of evidence was judged to be low (table 4).<sup>4 5 7-10 15 28 30 31 36 38-41 73</sup>

### Other adverse events

A total of eight trials defined as lower risk of bias with 5107 patients were included in the meta-analysis on infectious complications. Our analysis showed an association in favour of using a restrictive transfusion strategy (relative risk 0.73, 95% confidence interval 0.55 to 0.98, P=0.03, I<sup>2</sup>=53%) (see supplementary appendix 2).<sup>4-6</sup> <sup>13</sup> <sup>15</sup> <sup>28</sup> <sup>32</sup> <sup>35</sup> The inclusion of all 15 trials (7217 patients) regardless of risk of bias did not alter the result (0.79, 0.64 to 0.97, P=0.03, I<sup>2</sup>=40%).<sup>4-8</sup> <sup>13</sup> <sup>15</sup> <sup>28</sup> <sup>31</sup> <sup>32</sup> <sup>35</sup> <sup>36</sup> <sup>40</sup> <sup>41</sup> <sup>46</sup> <sup>0</sup> <sup>ur</sup> analysis showed no association of restrictive versus liberal transfusion with other adverse events (cardiac complications, renal failure, thromboembolic stroke or

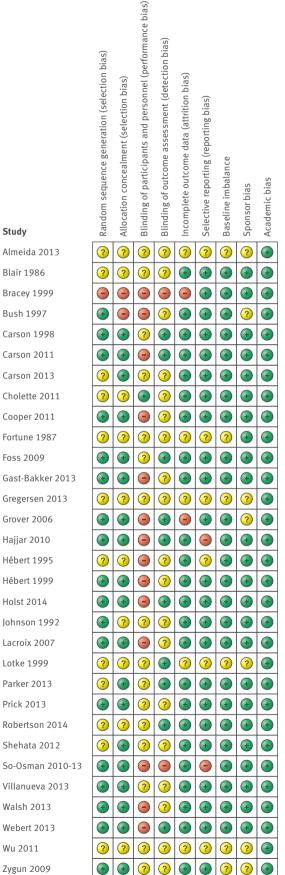


Fig 2 | Risk of bias summary for all included records

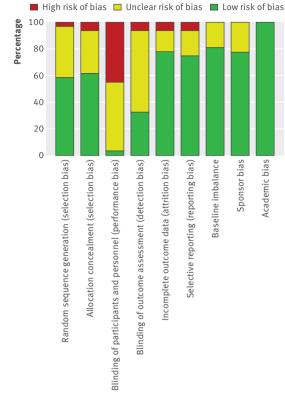


Fig 3 | Risk of bias graph

transitory ischaemic insult, or haemorrhage) (see supplementary appendices 3 and 4).

# Number of patients and units transfused

A total of 24 trials with 8923 patients were included in the meta-analysis of the proportion of patients receiving red blood cells (relative risk 0.54, 95% confidence interval 0.47 to 0.63; P<0.001; I<sup>2</sup>=95%), and a total of 12 trials with 4022 patients were included in the meta-analvsis of the number of units transfused (mean difference -1.43, 95% confidence interval -2.01 to -0.86; P<0.001; I<sup>2</sup>=96%) both showing lower numbers associated with restrictive versus liberal transfusion strategies (see supplementary appendices 5 and 6).

# Discussion

We did not find any association with mortality, overall morbidity, or myocardial infarction when comparing restrictive transfusion strategies with liberal transfusion strategies; however, the overall quality of evidence was low. We performed trial sequential analysis to account for sparse data and repetitive testing on accumulating data and found that the 95% confidence intervals of the point estimates widened and the results supported those obtained in the conventional meta-analyses. In our analysis of all cause mortality, the cumulative z curve did not cross any boundaries, with only 40% of the required information size being reached (5707 of 14217 patients), indicating that further trials are needed to establish firm evidence. In our analysis of all trials, the trial sequential analysis indicated that it is unlikely

Study

	No of eve	nts/total				
Study or subgroup	Restrictive	Liberal transfusion	Risk ratio M-H to random (95% Cl)	Weight (%)	Risk ratio M-H to random (95% Cl)	Risk of bias
1.2.1 Low risk of bias	transfusion	transfusion		(70)		
Carson 1998 <sup>32</sup>	5/42	2/42		1.0	2.50 (0.51 to 12.17)	
Carson 2011 <sup>25</sup>	66/1001	76/998		16.5	0.87 (0.63 to 1.19)	
Cooper 2011 <sup>71</sup>	2/24	1/21		0.5	1.75 (0.17 to 17.95)	
Foss 2009 <sup>28</sup>	5/60	0/60		0.3	11.00 (0.62 to 194.63)	
Hébert 1999 <sup>4</sup>	95/416	111/419		23.2	0.86 (0.68 to 1.09)	
Holst 2014 <sup>9</sup>	216/502	223/496	÷	35.2	0.96 (0.83 to 1.10)	
Lacroix 2007 <sup>5</sup>	14/320	14/317		4.4	0.99 (0.48 to 2.04)	
Villanueva 2013 <sup>6</sup>	23/444	41/445		8.5	0.56 (0.34 to 0.92)	
Walsh 2013 <sup>10</sup>	19/51	27/49		10.4	0.68 (0.44 to 1.05)	
Subtotal	445/2860	495/2847	÷	100.0	0.86 (0.74 to 1.01)	<ul> <li>(S)</li> <li>(S)</li></ul>
Test for heterogeneity: $\tau^2$ =0.01, $\chi^2$ =1	0.96, df=8, P=	0.20, l <sup>2</sup> =27%				generation (selection bias) nncealment (selection bias) personnel (performance bias) ssessment (detection bias) utcome data (attrition bias) e reporting (reporting bias) Baseline imbalance Sponsor bias Academic bias
Test for overall effect: z=1.79, P=0.07	7					tion tion ance tion ting mba nso nso
Total (95% CI)	445/2860	495/2847	÷	100.0	0.86 (0.74 to 1.01)	elec elec orm sttri por spor Spo cad
Test for heterogeneity: $\tau^2$ =0.01, $\chi^2$ =1	0.96, df=8, P=	0.20, l <sup>2</sup> =27%				A A
Test for overall effect: z=1.79, P=0.07	7					ttior nen lent e da Ba: Ba:
Test for subgroup differences: not ap	olicable		0.01 0.1 1 10 1	00		nera sonr ssm ome
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Fig 4 | Forest plot of mortality in lower risk of bias trials. Size of squares for risk ratio reflects weight of trial in pooled analysis. Horizontal bars represent 95% confidence intervals

#### Table 3 | Summary of findings including GRADE quality assessment of evidence trials with lower risk of bias

		No with event/N	o in group (%)				
Variables	No of participants (No of studies)	Restrictive transfusion group	Liberal transfusion group	Relative risk (95% CI)	Absolute effect	Quality of the evidence (GRADE)	Quality assessment domains
All cause mortality, longest follow-up, low risk of bias trials	5707 (9)	445/2860 (15.6)	495/2847 (17.4)	Random effects 0.86 (0.74 to 1.01); $I^2=27\%$ ; trial sequential analysis adjusted 95% CI 0.67 to 1.12	24 fewer per 1000 (from 45 fewer to 2 more)	Low; critical importance	Inconsistency: not serious*; indirectness: not serious; imprecision: serious†; reporting bias: reporting bias‡
Overall morbidity, lower risk of bias trials	4517 (6)	858/2261 (37.9)	897/2256 (39.8)	Random effects 0.98 (0.85 to 1.12); I <sup>2</sup> =60%; trial sequential analysis adjusted 95% CI 0.81 to 1.19	8 fewer per 1000 (from 60 fewer to 48 more)	Very low; critical importance	Inconsistency: serious§; indirectness: not serious; imprecision: serious¶; reporting bias: reporting bias‡
Fatal and non-fatal myocardial infarction in lower risk of bias trials	4730 (7)	59/2369 (2.5)	43/2361 (1.8)	Random effects 1.28 (0.66 to 2.49); I <sup>2</sup> =34%; trial sequential analysis adjusted 95% Cl 0.40 to 4.13	6 more per 1000 (from 6 fewer to 27 more)	Very low; critical importance	Inconsistency: serious**; indirectness: not serious; imprecision: very serious††; reporting bias: reporting bias‡

GRADE Working Group grades of evidence: low quality=further research is likely to have an important impact on confidence in estimate of effect and is likely to change the estimate; very low quality=very uncertain about the estimate.

Quality assessment domains: inconsistency=unexplained heterogeneity of results; indirectness=differences in population, intervention, comparator, and outcome measures;

imprecision=relatively few patients and few events resulting in wide confidence intervals; reporting bias=publication bias is a systematic under-estimation or over-estimation of underlying beneficial or harmful effect owing to selective publication of trial results.

\*I2=27%, P=0.20for heterogeneity, overlap of confidence intervals.

tAnticipation of 15% relative risk reduction results in trial sequential analysis adjusted confidence intervals, including >25% relative risk reduction or >25% relative risk increase. However, <15% relative risk reduction or relative risk increase may also be considered clinically relevant and these are apparently not excluded in any analyses

\*Possibility for publication bias according to funnel plot owing to smaller trials showing benefit for restrictive transfusion strategy.

§I2=60%, P=0.03 for heterogeneity, overlap of confidence intervals.

¶Two trials showed no effect and appreciable harm with restrictive transfusion strategy

\*\*I<sup>2</sup>=34%, P=0.11 for heterogeneity, variance in point estimates, from 0.25 to 2.97.

tt6 of 7 trials showed no effect and appreciable harm with restrictive transfusion strategy.

that future trials will show overall harm with restrictive transfusion strategies. Regarding overall morbidity, we found no association with benefit or harm between groups, but the trial sequential analysis indicated it would be futile to obtain more trial data on this outcome. We found that the trial sequential analysis of pooled risk

of fatal or non-fatal myocardial infarction was inconclusive, because only 26% of the required information size was obtained. Regarding infectious complications, our analysis indicated a possible association between a restrictive transfusion strategy and reduced rates of infection across the different clinical settings.

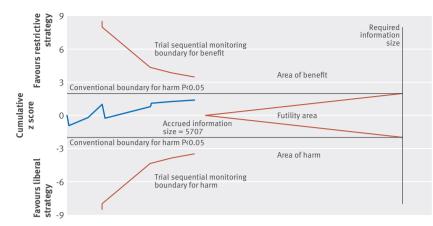


Fig 5 | Trial sequential analysis of nine trials with lower risk of bias reporting all cause mortality, control event proportion of 17.4%, diversity of 56%, a of 5%, power of 80%, and relative risk reduction of 15%. The required information size of 14217 has not been reached and none of the boundaries for benefit, harm, or futility has been crossed, leaving the meta-analysis inconclusive of a 15% relative risk reduction. The trial sequential analysis adjusted 95% confidence interval for a relative risk of 0.86 is 0.67 to 1.12

No of events/total

## Relation to other reviews

Well conducted systematic reviews with meta-analysis on red blood cell transfusion have been published. A Cochrane review indicated that restrictive transfusion strategies were not associated with the rate of adverse events (that is, mortality, cardiac events, stroke, pneumonia, and thromboembolism) compared with liberal transfusion strategies. Restrictive transfusion strategies were associated with a reduction in hospital mortality (relative risk 0.77, 95% confidence interval 0.62 to 0.95) but not in 30 day mortality (0.85, 0.70 to 1.03).14

A review was published in 2014 including 6936 patients from 19 trials assessing the impact of red blood cell transfusion.74 Pooled data from three trials (2364 patients) using restrictive haemoglobin transfusion triggers of 7 g/dL showed reductions in in-hospital mortality (relative risk 0.74, 95% confidence interval 0.60 to 0.92), total mortality (0.80, 0.65 to 0.98), rebleeding (0.64, 0.45 to 0.90), acute coronary syndrome (0.44, 0.22 to 0.89), pulmonary oedema (0.48, 0.33 to 0.72), and

Blinding of participants and personnel

	No of eve	nts/total								
Study or subgroup	Restrictive transfusion	Liberal transfusion			k ratio N dom (95			Weight (%)	Risk ratio M-H to random (95% CI)	<b>Risk of bias</b>
Low risk of bias										
Almeida 2013 <sup>11</sup>	23/101	8/97						3.7	2.76 (1.30 to 5.87)	? ? ? ? ? ? ? ? ?
Blair 1986 <sup>29</sup>	0/26	2/24						0.3	0.19 (0.01 to 3.67)	??????
Bush 1997 <sup>30</sup>	4/50	4/49		-				1.3	0.98 (0.26 to 3.70)	🖶 😑 😑 😯 🖶 🖶 🧐
Carson 1998 <sup>32</sup>	5/42	2/42			_	•		1.0	2.50 (0.51 to 12.17)	+ + ? + + + + +
Carson 2011 <sup>15</sup>	66/1001	76/998			-			12.3	0.87 (0.63 to 1.19)	
Carson 2013 <sup>8</sup>	7/54	1/55			-			0.6	7.13 (0.91 to 56.02)	? 🖶 ? ? 🖶 🖶 🖶 🖶
Cholette 2011 <sup>33</sup>	0/30	1/30						0.2	0.33 (0.01 to 7.87)	???
Cooper 2011 <sup>71</sup>	2/24	1/21					-	0.5	1.75 (0.17 to 17.95)	🖶 🖶 🤤 🖓 🖶 🖶 🖶 🖶
Foss 2009 <sup>28</sup>	5/60	0/60						0.3	11.00 (0.62 to 194.63)	$\oplus \oplus \bigcirc \oplus \oplus \oplus \oplus \oplus \oplus \oplus$
Grover 2006 <sup>36</sup>	0/109	1/109			-			0.2	0.33 (0.01 to 8.09)	+++++++++++++++++++++++++++++++++++++++
Hajjar 2010 <sup>7</sup>	15/249	12/253				_		3.9	1.27 (0.61 to 2.66)	
Hébert 1995 <sup>37</sup>	13/33	11/36				-		4.8	1.29 (0.67 to 2.47)	? ? • ? • ? • • •
Hébert 1999 <sup>4</sup>	95/416	111/419			-			15.6	0.86 (0.68 to 1.09)	
Holst 2014 <sup>9</sup>	216/502	223/496						20.3	0.96 (0.83 to 1.10)	
Lacroix 2007 <sup>5</sup>	14/320	14/317			-			4.0	0.99 (0.48 to 2.04)	
Parker 2013 <sup>12</sup>	26/100	27/100			- <del>-  </del>			7.9	0.96 (0.61 to 1.53)	$\bigcirc \bigcirc $
Robertson 2014 <sup>40</sup>	14/99	17/101			_			4.8	0.84 (0.44 to 1.61)	$\overline{\mathbf{?}} \overline{\mathbf{?}} \overline{\mathbf{?}} \overline{\mathbf{+}} \overline{\mathbf{+}} \overline{\mathbf{+}} \overline{\mathbf{+}} \overline{\mathbf{+}} \overline{\mathbf{+}} \overline{\mathbf{+}}$
Shehata 2012 <sup>41</sup>	4/25	1/25						0.5	4.00 (0.48 to 33.33)	$\overline{2} \oplus \overline{2} \oplus \mathbf{$
So-Osman 2010-13 <sup>45</sup>	1/299	2/304						0.4	0.51 (0.05 to 5.58)	
Villanueva 2013 <sup>6</sup>	23/444	41/445						7.3	0.56 (0.34 to 0.92)	$\overline{\mathbf{\Phi}} \overline{\mathbf{\Phi}}$ $\overline{\mathbf{O}}$ $\overline{\mathbf{O}}$ $\overline{\mathbf{\Phi}}$ $\overline{\mathbf{\Phi}}$ $\overline{\mathbf{\Phi}}$ $\overline{\mathbf{\Phi}}$
Walsh 2013 <sup>10</sup>	19/51	27/49						8.5	0.68 (0.44 to 1.05)	
Wu 2011 <sup>42</sup>	3/112	4/114						1.1	0.76 (0.17 to 3.33)	$\overline{0}$
Zygun 2009 <sup>43</sup>	3/20	0/10		_		-		0.3	3.67 (0.21 to 64.80)	$\overline{\mathbf{\Theta}} \overline{\mathbf{\Theta}} \overline{\mathbf{O}} \overline{\mathbf{O}} \overline{\mathbf{\Theta}} \overline{\mathbf{\Theta}} \overline{\mathbf{O}} \overline{\mathbf{O}} \overline{\mathbf{O}}$
Total (95% CI)	558/4167	586/4154			4			100.0	0.95 (0.81 to 1.11)	bias) ( bias) ( bias) ( bias) ( bias) ( ance ( ance ( bias ( bias (
Test for heterogeneity: $\tau^2$ =0.03, $\chi^2$ =2	29.98, df=22, P	=0.12, l <sup>2</sup> =27%								i bia bia bia bia bia bia bia bia bia bia
Test for overall effect: z=0.64, P=0.5	2									election bias) election bias) ormance bias) etection bias) tittrition bias) porting bias) ne imbalance Sponsor bias cademic bias
		(	0.01	0.1	1	10	1	00		m sequence generation (selection bias) Allocation concealment (selection bias) ticipants and personnel (performance bias) of outcome assessment (detection bias) Incomplete outcome data (attrition bias) Selective reporting (reporting bias) Baseline imbalance Sponsor bias Academic bias
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										Random sequence generation (selection bias) Allocation concealment (selection bias) ling of participants and personnel (performance bias) Blinding of outcome assessment (detection bias) Incomplete outcome data (attrition bias) Selective reporting (reporting bias) Baseline imbalance Sponsor bias
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Fig 6   Forest plot of mortality de	snite risk of h	ias Size of c	anatec	for rick	ratio re	flects	eight (	of trial in		Random sequence Allocation co ding of participants and Blinding of outcome a Incomplete oi Selectiv
ing of i orest plot of mortality ut	spite fisk of t	103. 5120 01 3	quares	101 1131	iatio ie	necus w	CISILL	n that m		2

Fig 6 | Forest plot of mortality despite risk of bias. Size of squares for risk ratio reflects weight of trial in pooled analysis. Horizontal bars represent 95% confidence intervals

Table 4   Summary	/ of findings inc	luding GRADE qu	ality assessme	ent of evidence, all trials			
		No of events/No	in group (%)				
Variables	No of Participants (studies)	Restrictive transfusion group	Liberal transfusion group	Relative risk (95% CI)	Absolute effect	Quality of the evidence (GRADE)	Quality assessment domains
All cause mortality, longest follow up, all trials	8321 (23)	558/4167 (13.4)	586/4154 (14.1)	Random effects 0.95 (0.81 to 1.11); $l^2=27\%$ ); trial sequential analysis adjusted 95% Cl 0.74 to 1.21	7 fewer per 1000 (from 27 fewer to 16 more)	Very low; critical importance	Risk of bias: very serious*; inconsistency: not serious†; indirectness: not serious; imprecision: serious‡; reporting bias
Overall morbidity, all trials	5975 (12)	1070/2982 (35.9)	1084/2993 (36.2)	1.06 (0.93 to 1.21); l <sup>2</sup> =58%	22 more per 1000 (from 25 fewer to 76 more)	Very low; critical importance	Risk of bias: very serious§; inconsistency: not serious¶; indirectness: not serious; imprecision: serious**; reporting bias: reporting bias††
Fatal and non-fatal myocardial infarction, all trials	6501 (16)	145/3259 (4.4)	137/3248 (4.2)	1.05 (0.82 to 1.36); I <sup>2</sup> =6%	2 more per 1000 (from 8 fewer to 15 more)	Low; critical importance	Risk of bias: very serious‡‡; inconsistency: not serious§§; indirectness: not serious; imprecision: serious¶¶; reporting bias: none

GRADE Working Group grades of evidence: low quality=further research is likely to have an important impact on confidence in estimate of effect and is likely to change the estimate; very low quality=very uncertain about the estimate.

Quality assessment domains: inconsistency=unexplained heterogeneity of results; indirectness=differences in population, intervention, comparator, and outcome measures;

imprecision=relatively few patients and few events resulting in wide confidence intervals; reporting bias=publication bias is a systematic under-estimation or over-estimation of underlying beneficial or harmful effect owing to selective publication of trial results.

downgrade.

†12=27%, P=0.12 for heterogeneity, overlap of confidence intervals.

\*Anticipation of 15% relative risk reduction results in trial sequential analysis adjusted confidence intervals including >25% relative risk reduction or >25% relative risk increase. However, <15% relative risk reduction or relative risk increase may also be considered clinically relevant and these are apparently not excluded in any analyses.

§Overall 6 lower, 4 unclear and 2 high risk of bias trials; limitations for more than one criterion; possible assessment bias as all trials are unblended.

¶I<sup>2</sup>=58% and P=0.006 for heterogeneity.

\*\*Five of 12 trials showing no effect and appreciable harm with restrictive transfusion strategy.

ttPossibility for publication bias according to funnel plot owing to smaller trials showing benefit for restrictive transfusion strategy.

\$\$ 0verall 5 lower, 5 unclear, and 5 high risk of bias trials.

§§ I<sup>2</sup>=11% and P=0.33 for heterogeneity.

¶¶10 trials showing no effect and appreciable benefit or harm with restrictive transfusion strategy.

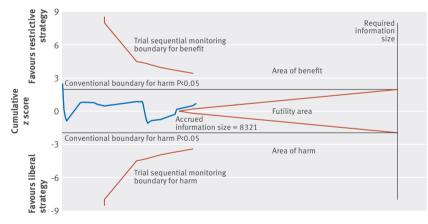


Fig 7 | Trial sequential analysis of 23 trials (despite risk of bias) reporting mortality, with control event proportion of 13.7%, diversity of 62%,  $\alpha$  of 5%, power of 80%, and relative risk reduction of 15%. The required information size of 20799 is far from reached and none of the boundaries for benefit, harm, or futility has been crossed, leaving the meta-analysis inconclusive of a 15% relative risk reduction. The trial sequential analysis adjusted 95% confidence interval for a relative risk of 0.95 is 0.74 to 1.21

bacterial infections (0.86, 0.73 to 1.00) compared with liberal transfusion. In contrast, pooled data including trials with less restrictive transfusion thresholds did not show associations with any of the predefined outcomes.

A recent systematic review with meta-analysis included 18 randomised controlled trials reporting data on in-hospital infections.<sup>75</sup> Restrictive transfusion strategies were associated with a reduced risk of infections among patients admitted to hospital compared with

liberal transfusion strategies (0.88, 0.78 to 0.99). Our analysis showed comparable results, with a possibility of lowering the rate of infections using restrictive transfusion strategies. We also included data on non-healthcare associated infections, but our results may be influenced by multiple testing and sparse data.

We included data from the recent Transfusion Requirements In Septic Shock (TRISS) trial, which randomised 1005 patients with septic shock in the intensive care unit, in which there was no difference in mortality or morbidity with the use of pre-stored leucocyte reduced red blood cells at a transfusion trigger of 7 versus 9 g/dL.<sup>9</sup> In accordance with the Cochrane review we did not find evidence of harm with the use of restrictive transfusion strategies compared with liberal transfusion strategies. However, our trial sequential analyses were inconclusive for the assessment of mortality and myocardial infarction owing to insufficient information sizes.

### Strengths and limitations of this review

Applying Cochrane methodology is a major strength of this systematic review, comprising a prepublished protocol, a non-restricted up to date literature search, independent data extraction by at least two authors, and risk of bias assessment leading to GRADE evaluations of important outcomes. Trial sequential analysis was performed to explore the risk of random error as a result of sparse data and repetitive testing in order to increase the robustness of the meta-analyses and distinguish the current information size from the required information size.

# RESEARCH

	No of ever	nts/total				
Study or subgroup	Restrictive transfusion	Liberal transfusion	Risk ratio M-H random (95%)			Risk of bias
2.14.1 Trauma and acute blood loss				(,,)		
Blair 1986 <sup>29</sup>	0/26	2/24	<	0.3	0.19 (0.01 to 3.67)	?????
Zygun 2009 <sup>43</sup>	3/20	0/10		0.3	3.67 (0.21 to 64.80)	🖶 🛨 ? ? 🖶 🖶 ? ? 🖶
Subtotal	3/46	2/34		0.6	0.85 (0.05 to 15.82)	
Test for heterogeneity: $\tau^2=2.22$ , $\chi^2=1.5$	99, df=1, P=0.	16, l <sup>2</sup> =50%				
Test for overall effect: z=0.11, P=0.91						
2.14.2 Perioperative setting						
Almeida 2013 <sup>11</sup>	23/101	8/97		- 3.7	2.76 (1.30 to 5.87)	<b>?????????</b>
Bush 1997 <sup>30</sup>	4/50	4/49		1.3	0.98 (0.26 to 3.70)	
Carson 1998 <sup>32</sup>	5/42	2/42		1.0	2.50 (0.51 to 12.17)	
Carson 2011 <sup>15</sup>	66/1001	76/998	-	12.3	3 0.87 (0.63 to 1.19)	$\overline{\mathbf{\Theta}} \overline{\mathbf{\Theta}} \overline{\mathbf{\Theta}} \overline{\mathbf{\Theta}} \overline{\mathbf{\Theta}} \overline{\mathbf{\Theta}} \overline{\mathbf{\Theta}} \overline{\mathbf{\Theta}} \overline{\mathbf{\Theta}} \overline{\mathbf{\Theta}}$
Cholette 2011 <sup>33</sup>	0/30	1/30		- 0.2	0.33 (0.01 to 7.87)	$\overline{0} \overline{0} \mathbf{$
Foss 2009 <sup>28</sup>	5/60	0/60		→ 0.3	11.00 (0.62 to 194.63)	
Grover 2006 <sup>36</sup>	0/109	1/109		0.2	0.33 (0.01 to 8.09)	
Hajjar 2010 <sup>7</sup>	15/249	12/253		3.9	1.27 (0.61 to 2.66)	
Parker 2013 <sup>12</sup>	26/100	27/100		7.9	0.96 (0.61 to 1.53)	$\boxed{2} \oplus \boxed{2} \oplus \textcircled{2} \oplus \oplus$
Shehata 2012 <sup>41</sup>	4/25	1/25		0.5		
So-Osman 2010-13 <sup>45</sup>	1/299	2/304		- 0.4	0.51 (0.05 to 5.58)	
Villanueva 2013 <sup>6</sup>	23/444	41/445		7.3		
Wu 2011 <sup>42</sup>	3/112	4/114		1.1	0.76 (0.17 to 3.33)	<b>?</b> ???????
Subtotal	175/2622	179/2626	L	40.3		
Test for heterogeneity: $\tau^2=0.11$ , $\chi^2=20$	.18, df=12, P=	=0.06,   <sup>2</sup> =41%	0			
Test for overall effect: z=0.35, P=0.73						
2.14.3 Critical care						
Carson 2013 <sup>8</sup>	7/54	1/55		0.6	7.13 (0.91 to 56.02)	? + ? ? + + + +
Cooper 2011 <sup>71</sup>	2/24	1/21		0.5		
Hébert 1995 <sup>37</sup>	13/33	11/36		4.8		<b>? ? ? ? ? ? ? ?</b>
Hébert 1999 <sup>4</sup>	95/416	111/419	4	15.0		
Holst 2014 <sup>9</sup>	216/502	223/496	4	20.3		
Lacroix 2007 <sup>5</sup>	14/320	14/317		4.0		
Robertson 2014 <sup>40</sup>	14/99	17/101		4.8		
Walsh 2013 <sup>10</sup>	19/51	27/49		8.5		
Subtotal	380/1499	405/1494		59.3		
Test for heterogeneity: $\tau^2 = 0.00$ , $\chi^2 = 7.7$						eneration (selection bias) cealment (selection bias) rrsonnel (performance bias) esssment (detection bias) come data (attrition bias) come data (attrition bias) reporting (reporting bias) Baseline imbalance Sponsor bias Academic bias
Test for overall effect: $z=1.18$ , $P=0.24$	.,,	,				tion tion tion ting nba nso emic
Total (95% CI)	558/4167	586/4154		100.	0 0.95 (0.81 to 1.11)	lect ilect tect port port spo spo
Test for heterogeneity: $\tau^2 = 0.03$ , $\chi^2 = 29$			6			(se berfa (de (de a (a a (a elir Ac
Test for overall effect: z=0.64, P=0.527		,,,,,,,,,	-			tion lent lent dat dat ting Bas
Test for subgroup differences: $\chi^2=0.59$		4. $ ^2 = 0\%$	0.01 0.1 1	10 100		era ssm ssm por por
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No of events/total

# Fig 8 | Forest plot of mortality in trials stratified by clinical setting. Size of squares for risk ratio reflects weight of trial in pooled analysis. Horizontal bars represent 95% confidence intervals

Our systematic review also has limitations. The randomised controlled trials included in the primary analysis dealt with different indications for transfusion by randomising a variety of patient groups (for example, children and adults) in different clinical settings (for example, elective surgery and critical illness). Thus, the risk of introducing potentially important heterogeneity is imminent. To get a clinical applicable result, we excluded trials of neonates and infants with very low birth weight. None of the included trials was blinded, as this is not feasible. This may introduce both performance and detection bias. However, the primary outcome of all cause mortality is less prone to be influenced by lack of blinding.<sup>76</sup> Transfusion triggers varied between trials, with some using a liberal transfusion threshold equal to the restrictive one in other trials, introducing clinical heterogeneity. Both clinical heterogeneity and inadequate follow-up increase the risk of type II error. Bias in the included trials, losses to follow-up, and incomplete reporting of outcome measures

	No of eve	nts/total				
Study	Restrictive transfusion	Liberal transfusion	Risk ratio M-H to random (95% CI)	Weight (%)	Risk ratio M-H to random (95% CI)	Risk of bias
Carson 2011 <sup>15</sup>	347/1001	351/998	+	27.2	0.99 (0.87 to 1.11)	
Carson 2013 <sup>8</sup>	17/54	9/55		3.3	1.92 (0.94 to 3.93)	$\overline{2} \oplus \overline{2} \oplus \overline{2} \oplus \overline{2} \oplus \overline{2} \oplus \overline{2} \oplus \overline{2}$
Cooper 2011 <sup>71</sup>	13/24	5/21		2.4	2.27 (0.97 to 5.32)	
Hébert 1999 <sup>4</sup>	205//418	228/420		26.1	0.90 (0.79 to 1.03)	
Lacroix 2007 <sup>5</sup>	97/320	90/317	+	16.5	1.07 (0.84 to 1.36)	
Villanueva 2013 <sup>6</sup>	179/444	214/445	-	24.4	0.84 (0.72 to 0.97)	$\overline{0}$
Subtotal	858/2261	897/2256	-	100.0	0.98 (0.85 to 1.12)	bias) ( bias) ( bias) ( bias) ( bias) ( bias) ( lance ( lance ( taiss (
Test for heterogeneity: $\tau^2=0$ .	.01, χ <sup>2</sup> =12.42, df=5, P=	0.03, l <sup>2</sup> =60%				tion (selection bias) ent (selection bias) el (performance bias) ent (detection bias) data (attrition bias) ting (reporting bias) Baseline imbalance Sponsor bias Academic bias
Test for overall effect: z=0.3	1,P=0.75					tior tior tior mba nsc
Total (95% CI)	858/2261	897/2256	4	100.0	0.98 (0.85 to 1.12)	generation (selection oncealment (selection personnel (performance ssessment (detection utcome data (attrition e reporting (reporting Baseline imbal Sponsor Academic
Test for heterogeneity: $\tau^2=0$ .	.01, χ <sup>2</sup> =12.42, df=5, P=	0.03, l <sup>2</sup> =60%				n (s ht (s peria (peria (r ta ( seli seli
Test for overall effect: z=0.3	1, P=0.75					atio mer e da Ba
Test for subgroup difference	s: Not applicable	C	0.01 0.1 1 10	100		ealı son om epo
			avours Fav estrictive strategy liberal stra	ours tegy		Random sequence generation (selection bias) Allocation concealment (selection bias) g of participants and personnel (performance bias) inding of outcome assessment (detection bias) Incomplete outcome data (attrition bias) Selective reporting (reporting bias) Baseline imbalance Sponsor bias

# Fig 9 | Forest plot of overall morbidity in low risk of bias trials. Size of squares for risk ratio reflects weight of trial in pooled analysis. Horizontal bars represent 95% confidence intervals

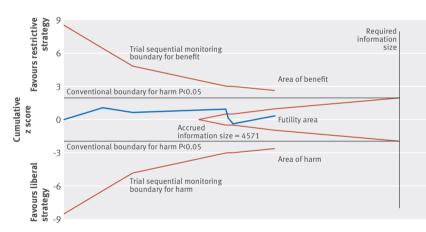


Fig 10 | Trial sequential analysis of six trials reporting overall morbidity, a control event proportion of 40%, diversity of 75%,  $\alpha$  of 5%, power of 80%, and relative risk reduction of 15%. The required information size of 7188 has not been reached, but the boundaries for futility are crossed, leaving out the possibility of a 15% relative risk reduction. The trial sequential analysis adjusted 95% confidence interval for a relative risk of 0.98 is 0.81 to 1.19

are additional limitations in this review. The definitions of overall morbidity and adverse events were heterogeneous and should be taken into account when interpreting these data. Finally, for some of the predefined outcomes, limited trial data could be included in the meta-analyses resulting in wide confidence intervals and less certain point estimates.

#### **Unanswered** questions

Whether the overall use of red blood cells should be guided by a restrictive or a liberal transfusion strategy is still debatable. Patients with coronary artery disease,

and in particular patients with ongoing cardiac ischaemia, might require a higher haemoglobin level to sustain oxygen delivery to the myocardial cells and to reduce the sympathetically mediated compensatory mechanisms of anaemia and reduce myocardial oxygen demand. However, red blood cell transfusion could worsen patient outcome as a result of an increased risk of circulatory overload and increased thrombogenicity with higher haematocrit levels. Results from the FOCUS trial showed no association with the primary composite outcome of morbidity and mortality 60 days postoperatively or the incidence of coronary syndrome when comparing two transfusion strategies (8 g/dL (or symptoms of anaemia) versus 10 g/dL).15 Two small randomised controlled trials evaluating a restrictive transfusion trigger of haemoglobin <8 g/dL in patients with symptomatic coronary artery disease have been published;873 pooled data from these two trials randomising a total of 155 patients did not show an association between a restrictive transfusion strategy and cardiac events or mortality compared with a liberal transfusion strategy. A meta-analysis including observational studies on transfusion in patients with myocardial infarction indicates that the rates of subsequent myocardial infarction and all cause mortality may be associated with blood transfusions compared with standard supportive interventions, after adjustment for possible confounding variables.77 Large randomised controlled trials of restrictive compared with liberal transfusion are warranted in patients with myocardial infarction.

Blinding of p Blindin

Patients with traumatic brain injury may require more liberal transfusion strategies to prevent secondary

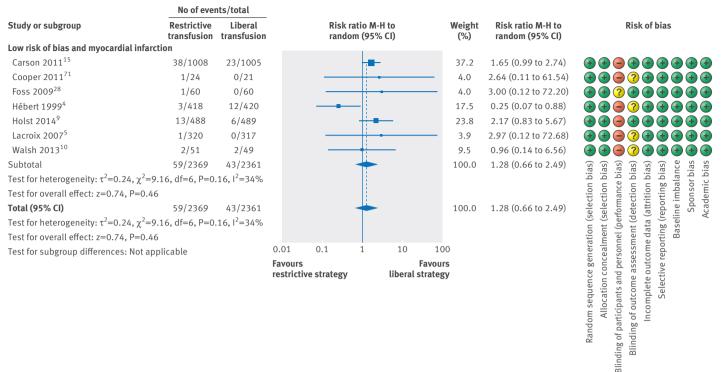


Fig 11 | Forest plot of myocardial infarctions in low risk of bias trials. Size of squares for risk ratio reflects weight of trial in pooled analysis. Horizontal bars represent 95% confidence intervals

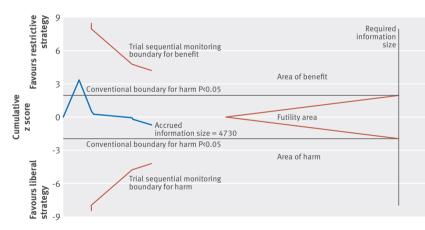


Fig 12 | Trial sequential analysis of seven trials reporting myocardial infarction, with a control event proportion of 1.8%, diversity of 62.3%,  $\alpha$  of 5%, power of 80%, and relative risk reduction of 50%. The diversity adjusted required information size of 13686 is far from reached and none of the boundaries for benefit, harm, or futility has been crossed, leaving the meta-analysis inconclusive of even a 50% relative risk reduction. The trial sequential analysis adjusted 95% confidence interval for a relative risk of 1.28 is 0.40 to 4.13

cerebral ischaemic insults because the injured brain may not compensate for decreased oxygen delivery associated with anaemia.<sup>78</sup> One randomised controlled trial using a factorial design compared the effects of erythropoietin and two different haemoglobin thresholds for red blood cell transfusion (7 versus 10 g/dL) in 200 patients with a closed head injury and showed no difference in neurological outcome at six months.<sup>40</sup> Also in patients with acute brain injury data from high quality randomised controlled trials are needed to guide transfusion practice.

# Conclusions

In conventional meta-analyses restrictive transfusion strategies compared with liberal transfusion strategies were associated with a reduction in the number of red blood cells used and the number of patients being transfused but were not associated with benefit or harm regarding mortality, overall morbidity, and fatal or non-fatal myocardial infarction in various clinical settings. However, the required information sizes were not reached except for overall morbidity, where a 15% relative risk reduction or increase with restrictive transfusion strategies may be refuted. Analyses of all trials, regardless of risk of bias, showed similar findings. We found possible associations between restrictive transfusion strategies and a reduced number of infectious complications.

Restrictive transfusion strategies are safe in most clinical settings. Liberal transfusion strategies have not been shown to confer any benefit to patients but have the potential for harm.

We thank Sarah Klingenberg, search coordinator for the Cochrane Hepato-Biliary Group, for performing the literature search.

Contributors: LBH developed the protocol, was responsible for the searches, selected trials, extracted data, assessed the risk of bias of trials, did the data analysis, and developed the final review. MWP developed the protocol, selected trials, extracted data, assessed the risk of bias of trials, and developed the final review. NH developed the protocol, selected trials, extracted data, assessed the risk of bias of trials, and developed the final review. NH developed the protocol, analysed data, and developed the final review. JW developed the initial idea for the review, developed the protocol, selected trials, advised on statistical methods, analysed data and resolved any disagreements during data extraction and bias assessment, and developed the final review. All authors read and approved the final manuscript. LBH and JW are the guarantors and affirm that the

manuscript is an honest, accurate, and transparent account of the study being reported; that no important aspects of the study have been omitted; and that any discrepancies from the study as planned have been explained.

**Funding**: This review was funded by the Danish Strategic Research Council (09–066938), supported by Copenhagen University Hospital, Rigshospitalet. The funders had no influence on the protocol, data analyses, or reporting.

**Competing interests:** All authors have completed the ICMJE uniform disclosure form at www.icmje.org/coi\_disclosure.pdf (available on request from the corresponding author) and declare: AP was principal investigator for Transfusion Requirements In Septic Shock (TRISS) trial and LBH, NH, and JW were members of the steering committee. AP is head of research in his intensive care unit, which receives research grants from CSL Behring, Fresenius Kabi, and Cosmed.

#### Ethical approval: Not required.

Data sharing: No additional data available.

**Transparency**: The lead authors (LBH and JW) affirm that the manuscript is an honest, accurate, and transparent account of the study being reported; that no important aspects of the study have been omitted; and that any discrepancies from the study as planned (and, if relevant, registered) have been explained.

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