Validation of risk stratification schemes for predicting stroke and thromboembolism in patients with atrial fibrillation: nationwide cohort study

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ABSTRACT

Objectives To evaluate the individual risk factors composing the CHADS2 (Congestive heart failure, Hypertension, Age≥75 years, Diabetes, previous Stroke) score and the CHA2DS2-VASc (CHA2DS2-Vascular disease, Age 65-74 years, Sex category) score and to calculate the capability of the schemes to predict thromboembolism.

Design Registry based cohort study.

Setting Nationwide data on patients admitted to hospital with atrial fibrillation.

Population All patients with atrial fibrillation not treated with vitamin K antagonists in Denmark in the period 1997-2006.

Main outcome measures Stroke and thromboembolism.

Results Of 121 280 patients with non-valvular atrial fibrillation, 73 538 (60.6%) fulfilled the study inclusion criteria. In patients at “low risk” (score = 0), the rate of thromboembolism per 100 person years was 1.67 (95% confidence interval 1.47 to 1.89) with CHADS2 and 0.78 (0.58 to 1.04) with CHA2DS2-VASc at one year’s follow-up. In patients at “intermediate risk” (score = 1), this rate was 4.75 (4.45 to 5.07) with CHADS2 and 2.01 (1.70 to 2.36) with CHA2DS2-VASc. The rate of thromboembolism depended on the individual risk factors composing the scores, and both schemes underestimated the risk associated with previous thromboembolic events. When patients were categorised into low, intermediate, and high risk groups, C statistics at 10 years’ follow-up were 0.812 (0.796 to 0.827) with CHADS2 and 0.888 (0.875 to 0.900) with CHA2DS2-VASc.

Conclusions The risk associated with a specific risk stratification score depended on the risk factors composing the score. CHA2DS2-VASc performed better than CHADS2 in predicting patients at high risk, and those categorised as low risk by CHA2DS2-VASc were truly at low risk for thromboembolism.

INTRODUCTION

Patients with atrial fibrillation have a substantial risk of stroke, which is modified by the presence or absence of several risk factors.12 These risk factors have been used to develop thromboembolic risk stratification schemes, which have somewhat arbitrarily divided the risk of thromboembolism into low, intermediate, and high risk strata.2 Given the limitations of oral anticoagulation treatment with vitamin K antagonists, such risk stratification allows clinicians to target patients at “high risk” for treatment with vitamin K antagonists. For the intermediate risk category, guidelines recommend treatment with vitamin K antagonists or aspirin, and aspirin is recommended for the low risk category.

Schemes for stratifying the risk of stroke have been largely derived from non-anticoagulated arms of clinical trial cohorts, in which many potential thromboembolic risk factors were not recorded. In these historical trials, less than 10% of patients screened were randomised, and over the past 15-20 years the evolution of risk schemes has not improved their predictive value for patients at high risk.3 More recent data in patients at intermediate risk show that vitamin K antagonists are superior to aspirin in reducing the risk of thromboembolism and adverse events,4 5 7 and aspirin does not reduce the risk of thromboembolism in atrial fibrillation patients at “low risk”.8 Thus, a paradigm shift has been proposed whereby greater efforts are made to identify “truly low risk” patients who may not need any antithrombotic treatment, whereas all others could be considered for oral anticoagulation.4 10

The most commonly used scheme for stratifying the risk of stroke is the CHADS2 (Congestive heart failure, Hypertension, Age≥75 years, Diabetes mellitus, previous Stroke/transient ischaemic attack [doubled risk weight]) score.11 Various limitations of this score have been discussed, including classification of a large proportion of patients as being at “intermediate risk” and its omission of many potential thromboembolic risk factors.10 The 2006 ACC/AHA/ESC guideline listed these potential additional risk factors as being “less validated or weaker risk factors,” including female sex, age 65-74 years, coronary artery disease, and...
thyrotoxicosis. Since 2006, stronger evidence has accumulated that these additional risk factors (with the exception of thyrotoxicosis) should be considered in assessing thromboembolic risk and would be of value in identifying those patients at truly low risk. The additional risk factors have been expressed in the CHADS2 and CHA2DS2-VASc score, which has been proposed to complement the CHADS2 score. In the original validation study from the Euro-Heart survey, CHA2DS2-VASc generally had a similar C statistic to CHADS2 but was better at identifying the patients at truly low risk and categorised only a small proportion into the intermediate risk category. In a further study in a small elderly “real world” cohort with anticoagulated atrial fibrillation, the CHADS2 and CHA2DS2-VASc had similar strength (C statistics) for predicting thromboembolism.

An ideal validation cohort for a thromboembolic risk scheme would be a large real world cohort of patients with atrial fibrillation, without any use of anti-coagulation treatment. In Denmark, the national patient registry allows such an analysis in a large cohort of real world patients, and the first objective of the analysis reported here was to assess the effects of the individual factors of CHADS2 and CHA2DS2-VASc on the risk of thromboembolism. Secondly, we evaluated the predictive capability of CHADS2 and CHA2DS2-VASc for thromboembolism.

**METHODS**

**Registry data sources**

In Denmark, all citizens have a permanent and personal registration number that enables linkage of the nationwide registries at an individual level. Since 1978, all admissions from Danish hospitals have been registered in the Danish national patient registry with one primary discharge diagnosis and, if appropriate, one or more secondary discharge diagnoses according to ICD-8 (International classification of diseases, 8th revision) up to 1993 and the ICD-10 from 1994 onwards. From 1996, invasive therapeutic procedures (such as surgery and percutaneous interventions) have been coded according to the Nordic Medical Statistics Committees Classification of Surgical Procedures. Since 1995, all prescriptions dispensed from Danish pharmacies have been accurately registered in the Danish registry of medicinal product statistics (prescription registry) according to the International Anatomical Therapeutic Chemical classification system. The civil registration system holds information on vital status for all citizens, and the national causes of death registry holds information on primary and contributing causes of death.

**Study population**

From the national patient registry, we identified all patients with non-valvular atrial fibrillation or atrial flutter in the period 1997-2006. We defined non-valvular atrial fibrillation by a discharge diagnosis of atrial fibrillation or atrial flutter (diagnosis code I48), no previous diagnoses of mitral or aortic valve disease (394-396, 4240, 4241, I05, I06, I34, I35), and no mitral or aortic valve surgery (surgical procedure codes KKF, KFM, KFP), as done previously. Because drug treatment may be changed or intensified in relation to hospital admission, we started follow-up seven days after discharge. We excluded patients if they died or had a previous stroke carry doubled risk weight) score, which
CHADS2-VASc:

<table>
<thead>
<tr>
<th>Score/risk category</th>
<th>1 year’s follow-up</th>
<th>5 years’ follow-up</th>
<th>10 years’ follow-up</th>
</tr>
</thead>
<tbody>
<tr>
<td>CHADS2:</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Low risk (0)</td>
<td>0.78 (0.58 to 1.04)</td>
<td>0.69 (0.59 to 0.81)</td>
<td>0.66 (0.57 to 0.76)</td>
</tr>
<tr>
<td>Intermediate risk (1)</td>
<td>2.01 (1.70 to 2.36)</td>
<td>1.51 (1.37 to 1.67)</td>
<td>1.45 (1.32 to 1.58)</td>
</tr>
<tr>
<td>High risk (2-6)</td>
<td>12.27 (11.84 to 12.71)</td>
<td>8.30 (8.08 to 8.51)</td>
<td>7.97 (7.77 to 8.17)</td>
</tr>
<tr>
<td>CHA2DS2-VASc:</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Low risk (0)</td>
<td>0.78 (0.58 to 1.04)</td>
<td>0.69 (0.59 to 0.81)</td>
<td>0.66 (0.57 to 0.76)</td>
</tr>
<tr>
<td>Intermediate risk (1)</td>
<td>2.01 (1.70 to 2.36)</td>
<td>1.51 (1.37 to 1.67)</td>
<td>1.45 (1.32 to 1.58)</td>
</tr>
<tr>
<td>High risk (2-9)</td>
<td>8.82 (8.55 to 9.09)</td>
<td>6.01 (5.88 to 6.14)</td>
<td>5.72 (5.60 to 5.84)</td>
</tr>
</tbody>
</table>

*Includes peripheral artery embolism, ischaemic stroke, and pulmonary embolism.

The CHADS2 score was the sum of points obtained after addition of one point each for heart failure, hypertension, age≥75, and diabetes and two points for previous thromboembolism. This score thus ranged from 0 to 6.13 The CHA2DS2-VASc score was the sum of points after addition of one point each for heart failure, hypertension, diabetes, vascular disease, age 65-74 years, and female sex and two points each for previous thromboembolism and age≥75 years. This score thus ranged from 0 to 9.13 In both risk schemes, we considered a score of 0 to represent low risk, 1 to represent intermediate risk, and ≥2 to represent high risk of thromboembolism.

**Outcomes**

The primary study end point was admission to hospital with or death from thromboembolism—that is, peripheral artery embolism, ischaemic stroke, or pulmonary embolism (I26, I63, I64, I74), as defined by Lip and colleagues.13 We also did a sensitivity analysis confining the primary study end point to peripheral artery embolism in this seven day quarantine period. We identified drug treatment status from prescription claims from 180 days before discharge to seven days after discharge, and we excluded patients if they had received vitamin K antagonists (medicine code B01AA) or heparins (B01AB) (fig 1). We censored patients at time of death or at the end of the follow-up periods—that is, at one, five, and 10 years.

**Covariates of CHADS2 and CHA2DS2-VASc**

We identified patients with congestive heart failure from the combination of a previous diagnosis of heart failure (425, 4270, 4271, I110, I12, I50, J819) in the national patient registry and treatment with loop diuretics (C03C).14 We identified patients with hypertension from combination treatment with at least two of the following classes of antihypertensive drugs: α adrenergic blockers (C02A, C02B, C02C), non-loop diuretics (C02DA, C02L, C03A, C03B, C03D, C03E, C03X, C07C, C07D, C08G, C09BA, C09DA, C09XA52), vasodilators (C02DB, C02DD, C02DG, C04, C05), β blockers (C07), calcium channel blockers (C07F, C08, C09BB, C09DB), and renin-angiotensin system inhibitors (C09). This definition of hypertension was validated in a previously described randomly selected cohort of people from the Danish population aged 16 years and older.14 Of the 14,994 people in this cohort, 2028 reported having taken drugs for hypertension within a two week period before the interview. The positive predictive value of treatment with two classes of antihypertensive drugs to predict hypertension was 80.0%, and the specificity was 94.7%. We defined diabetes mellitus as a claimed prescription for a glucose lowering drug (A10). Information on previous thromboembolism—that is, peripheral artery embolism, stroke, transient ischaemic attack, and pulmonary embolism (433-438, 444, 450, G458, G459, I26, I63, I64, I74)—came from the national patient registry from 1978, as did information on previous vascular disease—that is, myocardial infarction, peripheral artery disease, and aortic plaque (410, 440, I21, I22, I700, I702-1709), as defined by Lip and colleagues.13,20-23

**Fig 1** Flow chart of study population
embolism and ischaemic stroke (that is, excluding pulmonary embolism). The secondary outcome was death from any cause.

### Statistical analysis
In patients discharged with non-valvular atrial fibrillation who were not receiving treatment with vitamin K antagonists or heparins, we estimated event rates for thromboembolism and death for the various CHADS2 and CHA2DS2-VASc scores and for the specific covariate combinations forming the scores of 1 or 2. We estimated the risk of thromboembolism by using Cox proportional hazard regression models. In the Cox models, we analysed the risk associated with all possible risk factor combinations for CHADS2 score=1 (four combinations) and CHADS2 score=2 (seven combinations); we used CHADS2 score=0 as the reference. In the same manner, other Cox models analysed the risk associated with all possible risk factor combinations for CHA2DS2-VASc score=1 (six combinations) and CHA2DS2-VASc score=2 (17 combinations), with CHA2DS2-VASc score=0 used as the reference. We did all analyses for one, five, and 10 years of follow-up. Of the 121 280 patients categorised by CHADS2 as being at low risk, 6472 (39.5%) were at intermediate risk and 3565 (21.7%) were at high risk when categorised by CHA2DS2-VASc.
the 23 730 patients categorised by CHADS2 as being at intermediate risk, 21 999 (92.7%) were at high risk when categorised by CHA2DS2-VASc.

Table 2 shows rates of thromboembolism per 100 person years according to CHADS2 and CHA2DS2-VASc risk scores at one, five, and 10 years of follow-up. The thromboembolic rates after one year of follow-up in the low risk category (score = 0) were 1.67 (95% confidence interval 1.47 to 1.89) for CHADS2 and 0.78 (0.58 to 1.04) for CHA2DS2-VASc. In the intermediate risk category (score = 1), the rate of thromboembolism per 100 person years was 4.45 (4.53 to 5.07) for CHADS2 and 2.01 (1.70 to 2.36) for CHA2DS2-VASc. This risk pattern was generally sustained at five and 10 years of follow-up; patients classified as being at intermediate risk by CHADS2 had a higher rate of thromboembolism (approximately 3.6) than did those classified as being at intermediate risk by CHA2DS2-VASc (approximately 1.5). The high risk categories (score ≥ 2) as determined by either CHADS2 or CHA2DS2-VASc had markedly increased rates of thromboembolism compared with the low or intermediate risk categories.

We also estimated rates of thromboembolism in the vitamin K antagonist treated patients. In all risk categories, except for patients classified with CHA2DS2-VASc score = 0, the thromboembolic rate was lower in the vitamin K antagonist treated patients. In these patients, thromboembolic rates after one year of follow-up in the low risk category were 1.27 (1.06 to 1.53) per 100 person years for CHADS2 and 0.81 (0.56 to 1.17) for CHA2DS2-VASc. In the intermediate risk category, the rates were 2.27 (2.02 to 2.56) for CHADS2 and 1.23 (0.98 to 1.56) for CHA2DS2-VASc. Table 3 shows mortality rates according to CHADS2 and CHA2DS2-VASc scores. We found a clear relation between increasing CHADS2 and CHA2DS2-VASc score and increasing mortality rates. The low risk and intermediate risk categories as determined by CHA2DS2-VASc had much lower mortality rates than did patients categorised in these two risk groups by CHADS2.

Table 4 shows rates of thromboembolism according to the risk factors composing CHADS2 scores 0, 1, and 2; table 5 shows hazard ratios from Cox proportional hazard analysis. The risk associated with CHADS2 score = 1 depended on the specific conditions (risk factors) composing the score. The risk factor associated with the highest risk was age ≥ 75 (hazard ratio 3.52, 95% confidence interval 3.05 to 4.07, at one year’s follow-up). Table 4 shows rates of thromboembolism per 100 person years by CHADS2 score and by covariates.

Table 4 Event rates (95% CI) for hospital admission and death due to thromboembolism* per 100 person years by CHADS2 score and by covariates.

<table>
<thead>
<tr>
<th>CHADS2 score</th>
<th>1 year’s follow-up</th>
<th>5 years’ follow-up</th>
<th>10 years’ follow-up</th>
</tr>
</thead>
<tbody>
<tr>
<td>0</td>
<td>1.67 (1.47 to 1.89)</td>
<td>1.28 (1.19 to 1.38)</td>
<td>1.24 (1.16 to 1.33)</td>
</tr>
<tr>
<td>1</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Heart failure</td>
<td>2.80 (1.81 to 4.34)</td>
<td>2.57 (2.00 to 3.30)</td>
<td>2.31 (1.82 to 2.93)</td>
</tr>
<tr>
<td>Hypertension</td>
<td>2.42 (2.04 to 2.87)</td>
<td>1.95 (1.77 to 2.16)</td>
<td>1.94 (1.77 to 2.13)</td>
</tr>
<tr>
<td>Age ≥ 75</td>
<td>5.97 (5.55 to 6.41)</td>
<td>4.77 (4.55 to 5.00)</td>
<td>4.64 (4.44 to 4.85)</td>
</tr>
<tr>
<td>Diabetes mellitus</td>
<td>3.00 (1.97 to 4.55)</td>
<td>2.37 (1.84 to 3.05)</td>
<td>2.42 (1.93 to 3.04)</td>
</tr>
</tbody>
</table>

Table 5 Hazard ratios for hospital admission and death due to thromboembolism* by combinations of covariates composing CHADS2 scores 1 and 2.

<table>
<thead>
<tr>
<th>Score and covariates</th>
<th>1 year’s follow-up</th>
<th>5 years’ follow-up</th>
<th>10 years’ follow-up</th>
</tr>
</thead>
<tbody>
<tr>
<td>CHADS2 score 0</td>
<td>1.00</td>
<td>1.00</td>
<td>1.00</td>
</tr>
<tr>
<td>CHADS2 score 1:</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Heart failure</td>
<td>1.67 (1.06 to 2.63)</td>
<td>0.03</td>
<td>1.99 (1.53 to 2.58)</td>
</tr>
<tr>
<td>Hypertension</td>
<td>1.45 (1.17 to 1.79)</td>
<td>0.0006</td>
<td>1.52 (1.34 to 1.73)</td>
</tr>
<tr>
<td>Age ≥ 75</td>
<td>3.52 (3.05 to 4.07)</td>
<td>0.0001</td>
<td>3.62 (3.31 to 3.96)</td>
</tr>
<tr>
<td>Diabetes mellitus</td>
<td>1.79 (1.16 to 2.77)</td>
<td>0.0009</td>
<td>1.84 (1.41 to 2.39)</td>
</tr>
<tr>
<td>CHADS2 score 2:</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Diabetes + heart failure</td>
<td>3.74 (1.93 to 7.28)</td>
<td>0.0001</td>
<td>4.73 (3.21 to 7.03)</td>
</tr>
<tr>
<td>Diabetes + hypertension</td>
<td>1.67 (1.05 to 2.67)</td>
<td>0.03</td>
<td>2.11 (1.62 to 2.74)</td>
</tr>
<tr>
<td>Diabetes + age ≥ 75</td>
<td>4.57 (3.67 to 6.02)</td>
<td>0.0001</td>
<td>4.16 (3.44 to 5.04)</td>
</tr>
<tr>
<td>Heart failure + hypertension</td>
<td>2.63 (1.87 to 3.70)</td>
<td>0.0001</td>
<td>2.61 (2.09 to 3.24)</td>
</tr>
<tr>
<td>Heart failure + age ≥ 75</td>
<td>3.84 (3.19 to 4.64)</td>
<td>0.0001</td>
<td>4.04 (3.58 to 4.56)</td>
</tr>
<tr>
<td>Hypertension + age ≥ 75</td>
<td>4.08 (3.48 to 4.77)</td>
<td>0.0001</td>
<td>4.22 (3.83 to 4.66)</td>
</tr>
<tr>
<td>Previous thromboembolism</td>
<td>9.13 (7.55 to 11.04)</td>
<td>0.0001</td>
<td>6.30 (5.52 to 7.19)</td>
</tr>
</tbody>
</table>

Results from Cox proportional hazard analyses; CHADS2 score 0 was reference.

*Includes peripheral artery embolism, ischaemic stroke, and pulmonary embolism.
Table 6 Event rates (95% CI) for hospital admission and death due to thromboembolism* per 100 person years by CHA2DS2-VASc score and by covariates

<table>
<thead>
<tr>
<th>Score and covariates</th>
<th>1 year’s follow-up</th>
<th>5 years’ follow-up</th>
<th>10 years’ follow-up</th>
</tr>
</thead>
<tbody>
<tr>
<td>CHA2DS2-VASc score=0</td>
<td>0.78 (0.58 to 1.04)</td>
<td>0.69 (0.59 to 0.81)</td>
<td>0.66 (0.57 to 0.76)</td>
</tr>
</tbody>
</table>

CHA2DS2-VASc score=1:
- Heart failure: 1.50 (0.37 to 5.98)
- Hypertension: 2.14 (1.46 to 3.15)
- Diabetes mellitus: 3.47 (1.65 to 7.27)
- Vascular disease: 0.75 (0.24 to 2.33)
- Age 65-74: 2.88 (2.29 to 3.62)
- Female sex: 1.24 (0.89 to 1.73)

CHA2DS2-VASc score=2:
- Diabetes + heart failure: 4.53 (3.04 to 12.17)
- Diabetes + hypertension: 3.29 (1.37 to 7.91)
- Diabetes + age 65-74: 1.69 (0.48 to 6.61)
- Diabetes + vascular disease: 0
- Diabetes + female sex: 1.11 (0.16 to 7.85)
- Heart failure + hypertension: 4.11 (1.96 to 8.62)
- Heart failure + age 65-74: 1.84 (0.76 to 4.89)
- Heart failure + vascular disease: 3.55 (0.50 to 21.75)
- Heart failure + female sex: 0
- Hypertension + diabetes mellitus: 3.47 (1.65 to 7.27)
- Hypertension + age 65-74: 2.54 (1.74 to 3.70)
- Hypertension + vascular disease: 1.56 (0.70 to 3.48)
- Hypertension + female sex: 1.84 (1.09 to 3.11)
- Age 65-74 + diabetes mellitus: 2.90 (1.72 to 4.89)
- Age 65-74 + female sex: 2.82 (2.21 to 3.60)
- Vascular disease + female sex: 2.87 (0.93 to 8.91)
- Age 75: 4.75 (4.14 to 5.44)
- Previous thromboembolism: 16.07 (11.64 to 22.18)

*Includes peripheral artery embolism, ischaemic stroke, and pulmonary embolism.

follow-up), whereas hypertension was associated with the lowest thromboembolic risk (1.45, 1.17 to 1.79, at one year’s follow-up). In patients with CHA2DS2 score=2, previous thromboembolism as a single risk factor clearly carried the highest risk. Multivariable analyses including treatment with antiplatelet drugs yielded very similar results (web extra table A). Figure 2 shows thromboembolic-free survival curves for CHADS2 scores 0 and 1.

Table 6 shows rates of thromboembolism according to risk factors composing CHA2DS2-VASc scores 0, 1, and 2; table 7 shows relative risks (hazard ratios). Again, the risk associated with a particular CHA2DS2-VASc score was strongly dependent on the specific conditions (risk factors) composing the score. For patients with CHA2DS2-VASc score=1, diabetes was associated with the highest thromboembolic rate (3.47, 1.65 to 7.27) and age 65-74 had the second highest rate (2.88, 2.29 to 3.62) at one year of follow-up. In patients with CHA2DS2-VASc score=2, previous thromboembolism as a single risk factor was clearly associated with the highest risk, followed by age≥75. Again, multivariable analyses including treatment with antiplatelet drugs yielded very similar results (web extra table B). Figure 3 shows thromboembolic-free survival curves for CHA2DS2-VASc scores 0 and 1. The thromboembolic rate with CHA2DS2-VASc score=1 was lower than that with CHADS2 score=1, so the thromboembolic rate associated with one specific risk factor (for example, diabetes) was lower in the intermediate risk category (score=1) determined by CHA2DS2-VASc than by CHADS2. Likewise, the thromboembolic rate with CHA2DS2-VASc score=0 was lower than with CHADS2 score=0; using score=0 as the reference, the hazard ratio with a specific risk factor was higher in the intermediate risk category determined by CHA2DS2-VASc than by CHADS2.

Table 8 shows how accurately CHADS2 and CHA2DS2-VASc identified patients who had a thromboembolism during follow-up (C statistics based on Cox regression models); scores were entered in the analysis as categorical or continuous variables. The predictive abilities with CHADS2 and CHA2DS2-VASc analysed as scores (0-6 and 0-9) were very similar, whereas the predictive ability of CHA2DS2-VASc was clearly superior to CHADS2 for categorisation of patients into risk groups (low, intermediate, and high risk). At one, five, and 10 years of follow-up, C statistics with CHADS2 were 0.722, 0.796, and 0.812; the corresponding C statistics with CHA2DS2-VASc were 0.850, 0.880, and 0.888. The 95% confidence intervals for CHADS2 and CHA2DS2-VASc did not overlap.

Of the thromboembolic events representing the primary study outcome, pulmonary embolism comprised 7.7%. Sensitivity analyses excluding pulmonary embolism from the study outcome yield results similar to the main findings (web extra tables C and D). The predictive abilities (C statistics) for categorising patients into risk groups at one, five, and 10 years of follow-up were 0.711, 0.789, and 0.806 for CHADS2 and 0.845, 0.877, and 0.885 for CHA2DS2-VASc. Again, the 95% confidence intervals did not overlap.

**DISCUSSION**

This nationwide study used the largest real world cohort of non-anticoagulated patients with non-
Patients with CHA2DS2-VASc score ≥2 had a lower rate of thromboembolism, which would seem more truly low risk (score=0). With a CHADS2 score of 0 or 1, the thromboembolic risk was still appreciable. Also, not all risk factors composing CHADS2 or CHA2DS2-VASc scores of 0 or 1, the thromboembolic risk was approximately 1.5-fold per decade.2 The increased effect of age ≥75 as a single high risk factor was suggested by cohort analyses and a recent semi-systematic review,62 8and this finding was confirmed in our study. Other risk factors such as female sex and previous vascular disease have been recognised.12 29-32 Our study

### Table 7 | Hazard ratios for hospital admission and death due to thromboembolism* by combinations of covariates composing CHA2DS2-VASc scores 1 and 2

<table>
<thead>
<tr>
<th>Score and covariates</th>
<th>1 year’s follow-up</th>
<th>5 years’ follow-up</th>
<th>10 years’ follow-up</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Hazard ratio (95% CI)</td>
<td>P value</td>
<td>Hazard ratio (95% CI)</td>
</tr>
<tr>
<td>CHA2DS2-VASc score=0</td>
<td>1.00</td>
<td>1.00</td>
<td>1.00</td>
</tr>
<tr>
<td>Heart failure</td>
<td>1.92 (0.47 to 7.91)</td>
<td>0.37</td>
<td>3.39 (1.84 to 6.26)</td>
</tr>
<tr>
<td>Hypertension</td>
<td>2.76 (1.70 to 4.48)</td>
<td>&lt;0.0001</td>
<td>2.32 (1.75 to 3.07)</td>
</tr>
<tr>
<td>Diabetes mellitus</td>
<td>4.46 (2.01 to 9.89)</td>
<td>0.0002</td>
<td>3.11 (2.00 to 5.46)</td>
</tr>
<tr>
<td>Vascular disease</td>
<td>0.97 (0.30 to 3.11)</td>
<td>0.96</td>
<td>2.04 (1.29 to 3.22)</td>
</tr>
<tr>
<td>Age 65-74</td>
<td>3.68 (2.54 to 5.34)</td>
<td>&lt;0.0001</td>
<td>3.07 (2.48 to 3.80)</td>
</tr>
<tr>
<td>Female sex</td>
<td>1.60 (1.02 to 2.49)</td>
<td>0.04</td>
<td>1.25 (0.96 to 1.63)</td>
</tr>
</tbody>
</table>

### Results from Cox proportional-hazard analyses; CHA2DS2-VASc score=0 was reference.

*Includes peripheral artery embolism, ischaemic stroke, and pulmonary embolism.

Valvular atrial fibrillation ever investigated. We found that CHA2DS2-VASc performed better than CHADS2 for categorisation of patients into risk groups (low, intermediate, and high risk) for stroke and for identification of patients at “truly low risk” (score=0). With a CHADS2 score of 0 or 1, the thromboembolic risk was still appreciable. Also, not all risk factors composing CHADS2 score=1 were associated with an equal risk; a particularly high risk was associated with age ≥75. Patients with CHA2DS2-VASc score=1 had a lower rate of thromboembolism, which would seem more “truly moderate.” Again, not all risk factors in CHA2DS2-VASc score=1 were associated with an equal risk; and diabetes and age 65-74 were associated with the highest thromboembolic rates. With CHADS2 or CHA2DS2-VASc score=2, again the thromboembolic rates were strongly dependent on the specific covariates composing the score, and the risk associated with previous thromboembolism was markedly increased compared with all other combinations of risk factors. We also found that with CHA2DS2-VASc score=0 the risk was “truly low” and no reduction in thromboembolic rate occurred with vitamin K antagonist treatment, whereas the thromboembolic rate was reduced in vitamin K antagonist treated patients with CHADS2 scores 0-1 and CHA2DS2-VASc score=1.

### Implications of findings

The advantage of CHADS2 is its simplicity, although its limitations are well recognised.25 CHADS2 was developed by amalgamation of risk schemes derived from clinical trial cohorts; it was initially validated in a cohort of patients with atrial fibrillation admitted to hospital, with event rates reflecting clinical practice more than a decade ago.11 Even the “C” in CHADS2 has been debated, as a history of congestive heart failure does not seem to be an independent risk factor for thromboembolism.21 26,27 The risk of thromboembolism increases with increasing age above 65 years,27 rising approximately 1.5-fold per decade.2 The increased effect of age ≥75 as a single high risk factor was suggested by cohort analyses and a recent semi-systematic review,7 28 and this finding was confirmed in our study.

Other risk factors such as female sex and previous vascular disease have been recognised.12 29-32 Our study
suggests the value of these conditions for prediction of thromboembolism; in patients with CHA2DS2-VASc score=1, female sex increased the risk of thromboembolism at one year of follow-up and vascular disease increased thromboembolic risk at five and 10 years of follow-up.

Because of the benefit of oral anticoagulation over aspirin, in patients with atrial fibrillation and CHADS2 score=2 the clinical impetus would be to anticoagulate. With CHADS2 scores 0–1, or where a more comprehensive stroke risk and vitamin K antagonist risk/benefit assessment is necessary, a need clearly exists to consider other risk factors not included in the CHADS2 score. This large study in a non-anticoagulated cohort with non-valvular atrial fibrillation clearly shows advantages of CHA2DS2-VASc for further refinement of thromboembolic risk stratification, with improvements in C statistics for identification of patients at low, intermediate, and high risk of thromboembolism and a convincing identification of those with a truly low risk of thromboembolism. Use of CHA2DS2-VASc could therefore simplify thromboprophylaxis, with CHA2DS2-VASc score=0 identifying patients at truly low risk for whom no antithrombotic treatment may be considered. With CHA2DS2-VASc score=1, oral anticoagulation can be used, given the limited evidence for the efficacy of aspirin (which also has a potential for bleeding) and with consideration of the future availability of new oral anticoagulant drugs that can overcome the clinical disadvantages of vitamin K antagonists (for example, without the need for monitoring of anticoagulation and with less risk of bleeding). Also, when the intermediate risk category was defined as CHA2DS2-VASc score=1, only 11.2% of patients were categorised in this group, compared with 32.3% when the CHADS2 score=1 definition was used. Based on the 2006 ACC/AHA/ESC guidelines, which recommend vitamin K antagonist or aspirin for this intermediate risk category, using the CHADS2 score rather than the CHA2DS2-VASc score would open more patients to the uncertainty of vitamin K antagonist or aspirin and could even result in aspirin being used instead of vitamin K antagonist, as the guidelines do not provide definitive recommendations. Finally, the decision to anticoagulate is based not only on thromboembolic risk but also on the risk of bleeding, and the European guidelines on atrial fibrillation incorporate a new bleeding prediction scheme to help with this decision making.23

Limitations of study
The main limitation of this study was inherent to its observational nature. We had no information on the reason(s) for absence of vitamin K antagonist treatment in this specific cohort of patients with non-valvular atrial fibrillation, and we could not differentiate between paroxysmal, persistent, and permanent atrial fibrillation and atrial fibrillation that had been triggered by a single episode of acute illness. Even though the positive predictive value of the diagnosis of atrial fibrillation is very high in the registry (99%),29 and data on prescription claims are accurate,30 retrospective studies may be affected by misclassification and inclusion bias—for example, by including only patients admitted to hospital with atrial fibrillation we might have increased the proportion of patients who were at higher risk of thromboembolic events and death. Furthermore, to account for treatment started in relation to the admission for atrial fibrillation, we defined the study baseline at day seven from discharge, thereby excluding 2.5% of the population with atrial fibrillation from the study.

The frequencies of risk factors in the study population were also underestimated, as we identified patients with heart failure, hypertension, and diabetes from prescription claims and thus did not detect patients treated with diet control and lifestyle interventions alone. Therefore, the estimated thrombotic risk must be applied with caution in these populations. Furthermore, we were not able to account for effects of smoking, family history of thromboembolism, alcohol intake, or body mass index. The outcome diagnoses of stroke and pulmonary embolism have previously been validated in the registry; the positive predictive value of ischaemic stroke (I63) was 97%, haemorrhagic strokes only comprised 5.8% of the unspecified strokes (I64), and pulmonary embolism (I26) had a positive predictive value of 82.1%. However, patients with previous strokes were excluded in the validation study and in our study they were not, so the risk remains that some of the stroke outcomes in this study may in fact have been recoding of old strokes, which again would lead to overestimation of the observed high risk associated with previous stroke.

Conclusions
The risk associated with a specific risk score in both CHADS2 and CHA2DS2-VASc depends on the risk factors composing the score. CHA2DS2-VASc performed better than CHADS2 in predicting patients at high risk and can also be used to identify patients with non-valvular atrial fibrillation with a truly low risk of thromboembolism.

### Table 8 | C statistics (95% CI) based on Cox regression models with covariates analysed as categorical or continuous variables

<table>
<thead>
<tr>
<th>Covariates analysed as categorical variables</th>
<th>1 year’s follow-up</th>
<th>5 years’ follow-up</th>
<th>10 years’ follow-up</th>
</tr>
</thead>
<tbody>
<tr>
<td>CHADS2; score 0-6</td>
<td>0.663 (0.634 to 0.691)</td>
<td>0.762 (0.744 to 0.780)</td>
<td>0.781 (0.764 to 0.797)</td>
</tr>
<tr>
<td>CHADS2; 3 groups</td>
<td>0.722 (0.694 to 0.748)</td>
<td>0.796 (0.778 to 0.812)</td>
<td>0.812 (0.796 to 0.827)</td>
</tr>
<tr>
<td>CHA2DS2-VASc; score 0-9</td>
<td>0.661 (0.633 to 0.690)</td>
<td>0.758 (0.740 to 0.776)</td>
<td>0.777 (0.760 to 0.793)</td>
</tr>
<tr>
<td>CHA2DS2-VASc; 3 groups</td>
<td>0.850 (0.829 to 0.871)</td>
<td>0.880 (0.866 to 0.893)</td>
<td>0.888 (0.875 to 0.900)</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Covariates analysed as continuous variables</th>
<th>1 year’s follow-up</th>
<th>5 years’ follow-up</th>
<th>10 years’ follow-up</th>
</tr>
</thead>
<tbody>
<tr>
<td>CHADS2; score 0-6</td>
<td>0.691 (0.663 to 0.719)</td>
<td>0.787 (0.770 to 0.804)</td>
<td>0.804 (0.788 to 0.819)</td>
</tr>
<tr>
<td>CHADS2; 3 groups</td>
<td>0.722 (0.694 to 0.748)</td>
<td>0.796 (0.778 to 0.812)</td>
<td>0.812 (0.796 to 0.827)</td>
</tr>
<tr>
<td>CHA2DS2-VASc; score 0-9</td>
<td>0.682 (0.653 to 0.709)</td>
<td>0.775 (0.757 to 0.793)</td>
<td>0.792 (0.776 to 0.808)</td>
</tr>
<tr>
<td>CHA2DS2-VASc; 3 groups</td>
<td>0.852 (0.830 to 0.873)</td>
<td>0.882 (0.868 to 0.895)</td>
<td>0.890 (0.877 to 0.902)</td>
</tr>
</tbody>
</table>
The most commonly used risk stratification scheme is CHADS2; CHA2DS2-VASc was developed to complement CHADS2 by considering additional thromboembolic risk modifiers.

WHAT THIS STUDY ADDS

CHA2DS2-VASc is more valid for stroke prediction in patients categorised as being at low and intermediate risk by the CHADS2 scheme.

This is clinically important, as many of the patients at low risk according to CHADS2 are not at "true low risk" and treatment guidelines are not conclusive for those at intermediate risk.

The importance of each component of the CHADS2 and CHA2DS2-VASc scores for thromboembolism risk has been estimated.

Contributors: JBO made primary contributions to data collection and analysis, interpretation of results, and writing of the manuscript. GYHL helped to write the first draft. GYHL, MLH, PRH, GHG, and CT-P contributed to the study conception and design. All authors contributed to interpretation of results, all revised the manuscript critically for important intellectual content, and all approved the final manuscript. JBO is the guarantor.

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Competing interests: All authors have completed the Unified Competing Interest form at www.icmje.org/coi_disclosure.pdf (available on request from the corresponding author) and declare: no support from any organisation for the submitted work; no financial relationships with any organisations that might have an interest in the submitted work in the previous three years; no other relationships or activities that could appear to have influenced the submitted work.

Ethical approval: No ethics approval is needed for retrospective register studies in Denmark. The study was approved by the Danish Data Protection Agency (No 2007-41-1667).

Data sharing: No additional data available.


30 Lane DA, Lip GY. Female gender is a risk factor for stroke and thromboembolism in atrial fibrillation patients. *Thromb Haemost* 2009;101:802-5.


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