

## Tonsillectomy versus watchful waiting in recurrent streptococcal pharyngitis in adults: randomised controlled trial

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### ABSTRACT

**Objective** To determine the short term efficacy and safety of tonsillectomy for recurrent streptococcal pharyngitis in adults.

**Design** Randomised controlled trial.

**Setting** Academic referral centre in Finland.

**Participants** 70 adults with documented recurrent episodes of streptococcal group A pharyngitis.

**Intervention** Instant tonsillectomy (n=36) or remaining on waiting list as control (n=34).

**Main outcome measures** Percentage change in the risk of an episode of streptococcal pharyngitis at 90 days. Rates of all episodes of pharyngitis and days with symptoms and adverse effects.

**Results** The mean (SD) follow-up was 164 (63) days in the control group and 170 (12) days in the tonsillectomy group. At 90 days, streptococcal pharyngitis had recurred in 24% (8/34) in the control group and 3% (1/36) in the tonsillectomy group (difference 21%; 95% confidence interval 6% to 36%). The number needed to undergo tonsillectomy to prevent one recurrence was 5 (3 to 16). During the whole follow-up, the rates of other episodes of pharyngitis and days with throat pain and fever were significantly lower in the tonsillectomy group than in the control group. The most common morbidity related to tonsillectomy was postoperative throat pain (mean length 13 days, SD 4).

**Conclusions** Adults with a history of documented recurrent episodes of streptococcal pharyngitis were less likely to have further streptococcal or other throat infections or days with throat pain if they had their tonsils removed.

**Trial registration** Clinical Trials NCT00136877.

### INTRODUCTION

Group A streptococcal pharyngitis is an acute infection, mainly of the oropharynx, caused by *Streptococcus pyogenes*.<sup>1,2</sup> Recommended treatment is antibiotics to prevent rheumatic fever and suppurative complications and to ameliorate symptoms and decrease contagion.<sup>1-3</sup> Some patients experience multiple episodes of acute pharyngitis with results of culture positive for group A streptococci. Possible explanations for these episodes

are new infections with group A streptococci, a streptococcal carrier having non-streptococcal infections, and failures of treatment.<sup>3</sup>

Traditionally, tonsillectomy has been used to prevent recurrent streptococcal throat infections. Yet according to a recent Cochrane review, there is no empirical evidence to show that it is effective in adults.<sup>4</sup> The exact role played by infection of the palatine tonsils (the tissue removed in tonsillectomy) in streptococcal pharyngitis is unknown, as other pharyngeal lymphoid and soft tissues are often also infected.<sup>4,5</sup> We conducted a randomised controlled trial on adults with documented recurrent episodes of streptococcal pharyngitis to determine the effects of tonsillectomy.

### METHODS

#### Participants

From October 2001 to May 2005, consecutive patients referred for tonsillectomy because of recurrent episodes of streptococcal pharyngitis were screened for enrolment at an ear, nose, and throat department of a university hospital. All patients provided written informed consent.

The clinical criterion for study entry was three or more episodes of pharyngitis in six months or four episodes in 12 months. The symptoms and signs during the episodes had to be typical of streptococcal pharyngitis.<sup>1</sup> In addition, these episodes had to be severe enough for the patient to seek medical attention and at least one episode had to be group A streptococcal infection proved by culture or rapid antigen test. Exclusion criteria were age under 15 years, history of peritonsillar abscess, ongoing antibiotic treatment for other illness, recurrence probably caused by non-compliance with treatment, major heart or airway disorder or bleeding diatheses that would make same day surgery unfeasible,<sup>6</sup> and residence outside the city of Oulu or the neighbouring eight communities.

#### Study design

We allocated patients to tonsillectomy or the waiting list (control). To avoid disparity between group sizes, we used replacement randomisation. If the disparity

exceeded the preset criterion of six, we generated another randomisation list using simple randomisation until we achieved a balanced group size.<sup>7</sup> A research assistant not involved in the assignment or care of the trial patients generated the randomisation sequence with a computer random number generator. The assistant concealed the allocation sequence from the investigators who enrolled the participants by putting the assigned treatments in sequentially numbered, opaque, sealed envelopes. These were opened sequentially only after an eligible participant had been found and informed consent obtained, after which a study nurse attached a self fastening slip of paper containing the patient's name to the official study logbook. A trial physician recorded the baseline data and status.

### Outcomes

We tested the hypothesis that tonsillectomy in adults with recurrent streptococcal pharyngitis would reduce the short term risk of developing an episode of streptococcal pharyngitis, reduce the rate of all episodes and days with symptoms, and not cause excessive adverse effects.

The primary end point was the proportion of patients with an acute episode of group A streptococcal pharyngitis during the 90 days' follow-up, as determined by signs and symptoms of acute pharyngitis with a positive result of throat culture. The secondary end points were the percentage change in the proportions of patients with all episodes of pharyngitis at 90 days, the times to episodes, and the difference in the mean rates of episodes and days with symptoms during the whole follow-up. Patients recorded episodes and days with symptoms in diaries. We considered an episode to be at least two consecutive days with a sore throat. We recorded data on adverse effects related to tonsillectomy from the diaries and the patients' charts.

### Intervention

After baseline data collection and randomisation, the participants were operated on as soon as possible (tonsillectomy group) or placed on the waiting list for tonsillectomy (control group). In the tonsillectomy group, the median time between the randomisation and the tonsillectomy was 13 days (interquartile range 8-21) for practical reasons. The operation was performed under general anaesthesia as day surgery. Four experienced ear, nose, and throat surgeons performed total extracapsular tonsillectomy using blunt or diathermy dissection. In the control group, the waiting times ranged from three to six months, during which time the patients did not receive any prophylactic treatment for their tonsillitis.

### Surveillance protocol

We obtained background data and examined the patients at assignment. Both groups were followed up for at least 90 days after randomisation, but otherwise the time of the follow-up visit depended on the length of the waiting list for tonsillectomy in the control group

(range 90-210 days) and was 150-180 days in the tonsillectomy group. At randomisation patients in the control group were given the first available date for the operation, the length of follow-up therefore being unrelated to the severity of symptoms.

The primary outcome was objective and based on the presence of group A  $\beta$  haemolytic streptococci in the throat culture during acute pharyngeal symptoms. Patients were given a prepaid microbiological postal package and the phone number of the study nurse with instructions to order a new package immediately after using one. The package included equipment for taking a specimen (Transpocult, Orion Diagnostica, Helsinki, Finland). All participants were advised to visit their own general practitioner whenever they had acute symptoms suggestive of pharyngitis. The general practitioner would then take a culture sample from the pharynx and send it to Oulu University Hospital for analysis. The patients were given written instructions for their general practitioner about the study and illustrated information on how to obtain the culture sample—namely, from the surface of both tonsils or tonsillar fossae in the patients who had undergone tonsillectomy and the posterior pharyngeal wall.<sup>3</sup> The patients were told that it was important to seek medical advice for their symptoms during the trial in exactly the same way they had done before the trial and that it was possible to have streptococcal pharyngitis after tonsillectomy.

We plated the swab on sheep blood agar, selecting the growth of streptococci, and incubated them at 35°C for 18-24 hours before reading. The plates were examined again at 48 hours. We used latex agglutination tests (streptococcal grouping kit, Oxoid, Unipath, Hampshire) to differentiate group A streptococcus from the other  $\beta$  haemolytic streptococci. To identify streptococcal carriers, we obtained throat cultures at assignment when the patients were asymptomatic. We were able to serotype streptococcal isolates in case a carrier had a culture positive acute episode. All microbiological analyses were done blinded.

The secondary outcomes were based on the patients' symptoms. Patients used diaries to record their acute symptoms (fever, throat pain, cough, and rhinitis), episodes of pharyngitis, and visits to a doctor. Individuals with acute pharyngitis and positive throat cultures received treatment as prescribed by their own general practitioner. Patients in the tonsillectomy group also documented the duration of postoperative throat pain. At the follow-up visit, we collected the diaries and checked them for completeness.

### Statistical analysis

We estimated that we needed to enrol 70 patients for the study to have a statistical power of 80% to detect an absolute difference in streptococcal recurrence rates of 25%, given a 90 day recurrence rate of 25% in the control group and 0% in the tonsillectomy group.<sup>8</sup> We considered a two sided P value of 0.05 to indicate significance. For the primary and secondary end

points, all participants were analysed on an intention to treat basis.

All data analyses were done according to a pre-established plan. Descriptive data are given as means (SD) or as medians with interquartile ranges. We used the Mann-Whitney U test to compare continuous variables. We constructed survival curves, as they related to the treatment group, according to the Kaplan-Meier method, starting from the date of the randomisation.<sup>9</sup> The differences between the groups were tested with the log rank test.<sup>10</sup> We calculated the absolute difference and the 95% confidence intervals in the proportions of recurrence between the groups and the respective number needed to treat at 90 days.<sup>11</sup> In the tonsillectomy group, we excluded from the risk time the individual recovery times immediately after tonsillectomy during which the patient had continuous throat pain (mean 13 days, SD 4), as reported by patients in their diaries.

## RESULTS

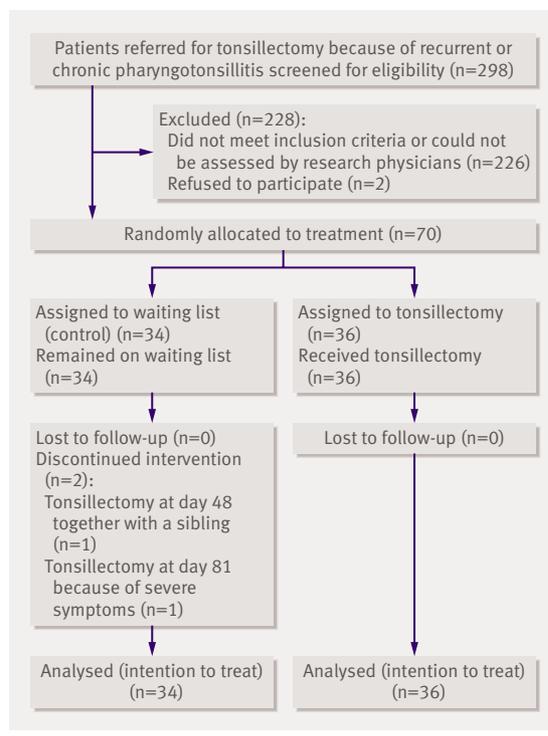
### Patients

The first patient underwent randomisation in October 2001, and the last participant completed the study in December 2005. We screened 298 candidates, 226 of whom were excluded, and two declined to participate. Most of those excluded had too few episodes of pharyngitis or had undocumented or non-streptococcal episodes or chronic tonsillitis. Of the 70 remaining patients who we enrolled, 34 were randomly assigned to the control group and 36 to the tonsillectomy group. All patients were seen at follow-up visits and were followed up for at least the scheduled 90 days, the mean lengths of follow-up being 164 days (SD 63) in the

control group and 170 days (SD 12) in the tonsillectomy group. In the control group, one patient did not want tonsillectomy because of a lack of symptoms, but all the others were operated on after their follow-up. Tonsillectomy was done at the scheduled date in all except two cases, where the operation was done before the limit of 90 days (fig 1). One patient was operated on the same day as his sibling, who had a peritonsillar abscess, and the other had unbearable throat pain. There were no clinically important differences between groups in the baseline characteristics (table 1).

### Primary outcome

At 90 days, according to the intention to treat in all randomised patients, eight patients (from 20 samples taken) in the control group and one (from five samples taken) in the tonsillectomy group had had an episode of group A streptococcal sore throat (difference 21%, 95% confidence interval 6% to 36%; number needed to treat 5, 3 to 16) (table 2). None of these patients were streptococcal carriers. This difference between the two groups was also evident in the time to the first episode (fig 2).

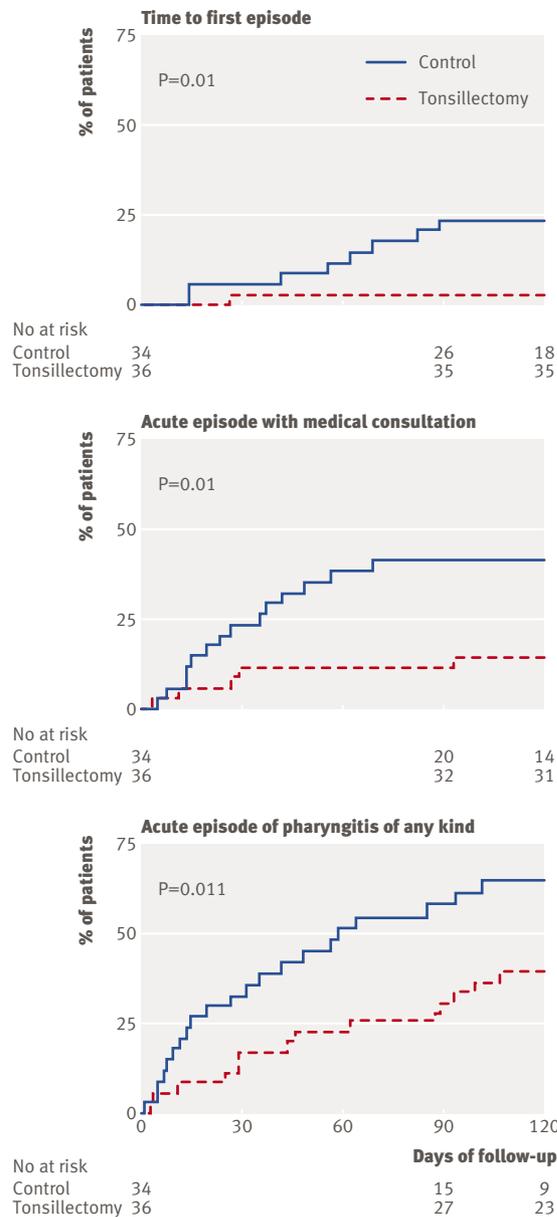


**Fig 1** | Enrolment and allocation of patients in study

**Table 1** | Demographic and baseline characteristics of adults with recurrent streptococcal pharyngitis according to allocation to waiting list (control) or immediate tonsillectomy. Figures are numbers (percentages) unless otherwise indicated

Characteristic	Control (n=34)	Tonsillectomy (n=36)
Mean (SD) age (years)	27 (8)	25 (7)
Female	20 (59)	26 (72)
Tobacco use:		
By patient	11 (33)	15 (43)
Someone else in the family	9 (27)	14 (40)
History of allergy	7 (21)	9 (25)
Risk factors for pharyngitis:		
More than four people in family	11 (32)	12 (33)
Similar infections in family	5 (15)	4 (11)
Untreated caries	4 (12)	6 (17)
Symptoms of gingivitis	5 (15)	5 (14)
Use of same toothbrush >3 months	11 (32)	11 (31)
Mean (SD) No of previous episodes of acute pharyngitis*:		
During past 6 months	3.3 (1.5)	3.5 (1.3)
During past 12 months	4.8 (2.1)	5.1 (1.9)
Frequent throat pain	20 (59)	23 (64)
Complications of pharyngitis:		
Joint symptoms	3 (9)	3 (8)
Rheumatic fever	0 (0)	0 (0)
Tonsils at baseline according to clinical assessment:		
Merely large	4 (13)	3 (11)
Chronically infected	4 (13)	4 (14)
Scarred	22 (73)	21 (75)
Carrier of group A streptococcus	2 (6)	1 (3)

\*Exudative throat infection diagnosed by physician; according to inclusion criteria at least one episode had to be group A streptococcal infection proved by culture or rapid antigen test.



**Fig 2** | Times to first episode of group A streptococcal pharyngitis proved by culture, acute pharyngitis episode with medical consultation, and acute pharyngitis episode of any kind (differences between groups tested with log rank test)

**Table 2** | Primary outcomes at three months in adults with recurrent streptococcal A pharyngitis randomised to waiting list (control) or immediate tonsillectomy. Figures are numbers (percentages) of patients

Outcome	Control (n=34)	Tonsillectomy (n=36)	% difference (95% CI)
Experienced episode of group A streptococcal pharyngitis*	8 (24)	1 (3)	21 (6 to 36)
Experienced episode of pharyngitis with medical consultation	14 (41)	4 (11)	30 (11 to 49)
Experienced acute episode of pharyngitis of any kind	19 (56)	11 (31)	25 (3 to 48)

\*Determined by signs and symptoms of acute pharyngitis with positive result of throat culture.

**Secondary outcomes**

At 90 days, compared with the tonsillectomy group, a significantly larger proportion of the control group had had acute episodes of pharyngitis, both with and without need for medical assessment (table 2). The times to these first episodes were significantly shorter in the control group than in the tonsillectomy group (fig 2). Similarly, the mean numbers of days with fever or throat pain, but not rhinitis or cough, during follow-up were significantly higher in the control group than in the tonsillectomy group (table 3).

**Adverse effects of tonsillectomy**

On average, the tonsillectomy operation required a one hour stay in the operating room, a one day stay in hospital, and a 13 days recovery period with post-operative throat pain (table 4). There were no serious adverse effects related to tonsillectomy. Two patients (6%) had mild secondary bleeding 9 and 11 days after the operation.

**DISCUSSION**

**Principal findings**

Our trial supports the surgical removal of palatine tonsils to prevent immediate further episodes of group A streptococcal pharyngitis in adults with a documented history of recurrent episodes. We estimate that performing tonsillectomy on such adults would lead to one extra patient avoiding streptococcal infection during the following months for every five patients treated. Tonsillectomy also significantly reduced the short term risk of other episodes of pharyngitis with or without need for medical intervention and the mean number of days with fever or throat pain, but not with rhinitis or cough. The most important morbidity related to the operation was postoperative throat pain and a small risk of bleeding after the operation. Our results support the theory that palatine tonsils have an important role in recurrent streptococcal pharyngitis.<sup>5</sup>

**Comparison with other studies**

A recent systematic review of randomised trials in children estimated that (adeno)tonsillectomy reduced the

**Table 3** | Secondary outcomes at end of whole follow-up\* in adults with recurrent streptococcal A pharyngitis randomised to waiting list (control) or immediate tonsillectomy. Figures are means (SDs)

Outcome	Control (n=34)	Tonsillectomy (n=36)	P value†
Medical consultations for episodes of pharyngitis	0.9 (1.1)	0.1 (0.3)	0.002
All episodes of pharyngitis	2.1 (2.3)	0.6 (0.9)	0.001
Days with sore throat‡	12.1 (14.1)	3.2 (5.3)	0.002
Days with fever	2.8 (3.9)	0.6 (1.5)	0.01
Days with rhinitis	7.6 (11.9)	6.3 (7.1)	0.55
Days with cough	2.6 (5.5)	2.6 (3.3)	0.17

\*Mean length of follow-up 164 days (SD 63) in control group and 170 days (SD 12) in tonsillectomy group.

†Mann-Whitney U test.

‡Not including postoperative throat pain in tonsillectomy group.

**Table 4** | Details and adverse effects of tonsillectomy and operative findings in adults with recurrent streptococcal A pharyngitis randomised to waiting list (control) or immediate tonsillectomy. Figures are numbers (percentages) unless otherwise indicated

Characteristic	Control (n=34)	Tonsillectomy (n=36)
Operation type:		
Tonsillectomy	—	34 (94)
Adenotonsillectomy	—	2 (6)
Operative technique:		
Blunt dissection	—	12 (33)
Diathermy dissection	—	24 (67)
Mean (SD) times* (min):		
In operating room	—	51 (15)
Under general anaesthesia	—	42 (12)
Operation	—	16 (8)
Median (IQR) blood loss (ml)	—	5 (5-31)
Mean (SD) time with postoperative throat pain (days)	—	13 (4)
Postoperative haemorrhage†	—	2 (6)
Retained in hospital for postoperative pain	—	1 (3)
Findings at operation‡:		
Scarred tonsils	26 (77)	30 (83)
Extracapsular microabscesses	5 (15)	5 (14)
Granulating infected tonsils	1 (3)	1 (3)
Merely large tonsils	1 (3)	0 (0)

IQR=interquartile range.

\*Data missing in one patient.

†Mild (no transfusion needed) secondary bleeding treated with electrocautery in outpatient clinic.

‡33 patients in control group underwent tonsillectomy at end of follow-up. There were no significant differences between groups in operative finding.

incidence of episodes of sore throat by 1.2 episodes a year and reduced school absence associated with sore throat by 2.8 days a year, differences regarded by the authors as clinically insignificant.<sup>12</sup> The respective decreases we found after tonsillectomy in adults were significantly higher at 3.3 episodes and 20 days with sore throat. The reduction in days with sore throat would have been smaller if we had included the days with postoperative throat pain. The reasons for the smaller effect size found in children may be that parents of the most severely affected children refused to participate in the trial, a high number of children switched from the control group to the surgery group, and a large proportion were lost to follow-up, which may have resulted in an underestimation of the treatment effect.<sup>12</sup> With more stringent eligibility criteria, however, the treatment effect might be greater in children.<sup>8</sup> A recent Cochrane review found no similar trials in adults.<sup>4</sup>

#### Strengths and weaknesses

As we had waiting list controls we could not exceed our normal waiting time for tonsillectomy, which resulted in a relatively short follow-up period. However, we think that the immediate effect of tonsillectomy reflects its overall usefulness. Moreover, when we consider the

objective outcomes in earlier trials, the effect of tonsillectomy has not depended markedly on the follow-up time.<sup>12</sup> A substantial improvement over time in the rate of episodes of pharyngitis occurred in the control group during the follow-up, probably because of the natural course of the disease. This improvement, however, makes it unlikely that the patients in the control group would have reported negatively biased data in their diaries, indicating that the difference between the groups was because tonsillectomy was beneficial rather than any detrimental effect of remaining on the waiting list. The use of waiting list controls had advantages as well. We wanted to enrol severely affected patients, to keep them in their assigned groups, and to follow them up. With this method, the patients in the control group knew they were going to be operated on, and 97% (70/72) agreed to participate, only 6% (2/34) switched from the control group, and none were lost to follow-up.

We chose the objective outcome of prevention of group A streptococcal throat infection as our main end point to minimise information bias. In open trials, subjective outcomes may lead to an overestimation of the intervention effect because patients in the control group are more likely to report outcomes than patients in the intervention group.<sup>13</sup> Although the variables affecting the accuracy of results of throat culture (swabbing technique, quality and duration of incubation, culture media) were similar in the groups and blinded, information bias may have occurred here as throat culture specimens were taken when the patient's sought medical advice. There are several reasons why we think that this does not jeopardise our results. We emphasised to the patients in both groups that they should seek medical assessment as usual. The proportion of positive culture results of the samples taken was still higher in the control group than in the tonsillectomy group (8/20 and 1/5, respectively). Finally, the symptoms of streptococcal throat infection are similar before and after tonsillectomy.<sup>14</sup> In view of the baseline characteristics and the fact that most eligible patients entered the trial, we consider that our results are generalisable to the population seen in otolaryngological outpatient clinics in Finland.<sup>15</sup> Extracapsular complete tonsillectomy is a clear cut procedure, and the use of several surgeons and surgical techniques further increase the generalisability of our results.

#### Implications

According to our results, tonsillectomy is an effective alternative for adults with a documented history of recurrent episodes of pharyngitis. Naturally, the morbidity and complications related to the operation must be considered. The most common postoperative complications were sore throat and mild bleeding. Several other factors, such as risks of anaesthesia, otalgia, fever, dehydration, dental injuries, burns, and soft tissue injuries, have been described.<sup>16</sup> These complaints are usually mild, but a small risk of even life threatening complications exists (recent reported mortality ranging from 1 in 16 000 to 1 in 35 000).<sup>16</sup> Physicians and

**WHAT IS ALREADY KNOWN ON THIS TOPIC**

Observational studies have suggested that tonsillectomy may be effective in preventing recurrent streptococcal throat infections in adults

Evidence from randomised controlled trials has been lacking

**WHAT THIS STUDY ADDS**

Adults who have documented recurrent episodes of streptococcal pharyngitis are less likely to have further streptococcal or other throat infections or days with throat pain during the months after tonsillectomy

The most important morbidity related to tonsillectomy was postoperative throat pain and a small risk of bleeding after the operation

patients must decide whether these clinical benefits outweigh the risk of further morbidity and the risks involved in the operation.

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**Contributors:** O-PA was primarily responsible for designing, initiating, and conducting the study and data analysis and drafting of the manuscript and is guarantor. TP and HT participated in conducting the study and critical review of the manuscript. PK, MK, and JL participated in the study design, conducting the study, data analysis, and critical review of the manuscript.

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**Competing interests:** None declared.

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