

## Is there a familial link between Down's syndrome and neural tube defects? Population and familial survey

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### Abstract

**Objective** To verify whether Down's syndrome and neural tube defects arise more often in the same family than expected by chance.

**Design** Population and familial survey.

**Setting** Network of maternity hospitals in the Latin American collaborative study of congenital malformations (ECLAMC) in Argentina, Bolivia, Brazil, Chile, Colombia, Ecuador, Paraguay, Peru, Uruguay, and Venezuela between 1982 and 2000.

**Probands** 2421 cases of neural tube defects, 952 of hydrocephalus, and 3095 of Down's syndrome registered from a total of 1 583 838 live births and stillbirths.

**Main outcome measures** Observed number of cases of Down's syndrome among siblings of probands with a neural tube defect or hydrocephalus and number expected on the basis of maternal age; observed number of cases of neural tube defects or hydrocephalus among siblings of probands with Down's syndrome and number expected according to the prevalence in the same population.

**Results** Five cases of Down's syndrome occurred among 5404 pregnancies previous to a case of neural tube defect or hydrocephalus, compared with 5.13 expected after adjustment by maternal age. Twelve cases of neural tube defect or hydrocephalus occurred among 8066 pregnancies previous to a case of Down's syndrome, compared with 17.18 expected on the basis of the birth prevalence for neural tube defects plus hydrocephalus in the same population.

**Conclusion** No association occurred between families at risk of neural tube defects and those at risk of Down's syndrome.

### Introduction

An association between abnormal intake or metabolism of folate and neural tube defects has been proved.<sup>1-3</sup> At the molecular level, the 677C→T (alanine to valine) polymorphism in the gene encoding the folate metabolising enzyme methylenetetrahydrofolate reductase constitutes a genetic risk for neural tube defects in some parts of the world but not in others.<sup>4,5</sup> The same type of association involving folate intake and metabolism, including increased frequency of the 677T allele of methylenetetrahydrofolate reductase, has been found for Down's syndrome in some studies,<sup>6-8</sup> but has not consistently been found in others.<sup>9-14</sup> The effect of this polymorphism depends on individual folate status, mainly related to intake.<sup>15</sup> Several other interactions involve maternal and fetal genotypes for methylenetetrahydrofolate reductase and other enzymes of the folate pathway.<sup>5</sup>

Barkai et al recently proposed that as neural tube defects and Down's syndrome are both associated with disturbances in the

folate pathway, at least some cases of neural tube defects and Down's syndrome could be expected to have a common aetiology.<sup>16</sup> They verified that families at risk of neural tube defects had an excess of Down's syndrome cases and that families at risk of Down's syndrome had an excess of neural tube defects cases, establishing a link between the two conditions. They described links between neural tube defects and Down's syndrome in two different populations, an Israeli population of families at risk of neural tube defects and a Ukrainian population of families at risk of Down's syndrome.<sup>16</sup> To discover if this reported link between Down's syndrome and neural tube defects could be replicated in other conditions, we looked for it in a South American population.

### Methods

To make our results comparable we followed the definitions of Barkai et al, selecting families in which a neural tube defect (anencephaly, spina bifida, or cephalocele), congenital hydrocephalus, or Down's syndrome had occurred.<sup>16</sup> The cases were registered at birth in the Latin American collaborative study for congenital malformations (ECLAMC),<sup>17</sup> between 1982 and 2000, from 1 583 838 live births and stillbirths examined in hospitals in 10 South American countries (Argentina, Bolivia, Brazil, Chile, Colombia, Ecuador, Paraguay, Peru, Venezuela, and Uruguay). We verified the occurrence of any of these diagnoses (neural tube defects, hydrocephalus, or Down's syndrome) in all probands' previous full siblings and maternal half siblings, live born or stillborn. A trained paediatrician obtained this information by taking a history from the mother after the birth of the proband. The paediatrician tried to obtain the same level of clinical details for the affected sibling as for probands, as the informer was the mother of both of them. Obviously, as for any newborn series, only previous siblings were available.

To calculate the expected frequency of Down's syndrome for all pregnancies previous to the birth of an infant with a neural tube defect or hydrocephalus from the same mother, we multiplied the number of mothers within each interval of age by the absolute risk of Down's syndrome at that maternal age, as obtained from the same birth series (data available on request). We summed the obtained expected number of cases of Down's syndrome for each year interval to give the total expected number of cases.

We calculated the expected number of cases of neural tube defects or hydrocephalus for all pregnancies previous to the birth of a baby with Down's syndrome in the same mother by using the observed birth prevalence of neural tube defects plus hydrocephalus (0.00213) in the same South American population. To avoid possible bias due to significant trends in

**Table 1** Observed and expected number of cases of Down's syndrome in sibships at risk of neural tube defects or hydrocephalus and cases of neural tube defects or hydrocephalus in sibships at risk of Down's syndrome

Cases	Condition for which pregnancies were at risk	Observed No	Expected No (95% CI)*
Down's syndrome	Neural tube defects or hydrocephalus (n=5404)	5	5.13 (0 to 11)
Neural tube defects or hydrocephalus	Down's syndrome (n=8066)	12	17.18 (8 to 27)

\*Confidence limits from Poisson distribution.

prevalence in the period studied, we also calculated the expected number of neural tube defects or hydrocephalus by calendar year of birth of siblings by using the observed birth prevalence of neural tube defects plus hydrocephalus for each calendar year.

## Results

We found 5404 full and half siblings previous to 3373 probands with neural tube defects or hydrocephalus and 8066 full and half siblings previous to 3095 probands with Down's syndrome (tables 1 and 2). We observed five cases of Down's syndrome among siblings of infants with neural tube defects, compared with 5.13 cases expected according to maternal age distribution (table 1). We observed 12 cases of neural tube defects or hydrocephalus among siblings of infants with Down's syndrome, compared with 17.18 cases expected on the basis of the birth prevalence of neural tube defects plus hydrocephalus; 12 is within the 95% Poisson confidence limits (8 to 27) for a figure of 17. When we used observed and expected prevalences adjusted by calendar year, 11.21 cases of neural tube defects or hydrocephalus were expected (12 observed) among siblings of infants with Down's syndrome. The two expected numbers are not significantly different, but the second one corrects possible bias introduced by the combination of older age of previous siblings with Down's syndrome and increasing time trends for prevalence of neural tube defects.

Table 2 shows the observed numbers of affected siblings separately for neural tube defects and hydrocephalus, showing the same results. This table also shows how many times more often ( $\lambda$ ) siblings were affected than the general population, for each diagnostic category. The risk observed for neural tube defects in maternal half siblings of infants with neural tube defects was half of that observed for full siblings, as expected with a decrease of one degree of relationship in multifactorial diseases.<sup>18</sup> The same was true for the risk of hydrocephalus in siblings and maternal half siblings of infants with hydrocephalus. Crossed recurrences (neural tube defects in siblings of infants with hydrocephalus) showed the same risk for full siblings or maternal half siblings, and these risks did not differ from the general population risk.

## Discussion

Barkai et al found an excess (11 observed *v* 1.87 expected) of Down's syndrome among pregnancies that occurred before or after the birth of a proband with a neural tube defect or hydrocephalus in a series of 493 Israeli families.<sup>16</sup> They also found an excess of neural tube defects or hydrocephalus cases (7 observed *v* 1.37 expected) among pregnancies before or after the birth of a proband with Down's syndrome in a series of 516 Ukrainian families. The authors were testing the hypothesis that, at least in a proportion of cases, Down's syndrome and neural tube defects

could have a common aetiological pathway, probably linked to abnormal intake or metabolism of folate. We could not replicate these findings in a South American population; we found neither an excess of Down's syndrome in families at risk of neural tube defects or hydrocephalus nor an excess of neural tube defects or hydrocephalus in the families at risk of Down's syndrome.

Furthermore, if a common aetiological pathway is accepted for some cases of Down's syndrome and neural tube defects, both defects would occur in the same infant more frequently than expected. Källén et al studied 5581 cases of Down's syndrome and found no association with anencephaly, spina bifida, cephalocele, or hydrocephalus.<sup>19</sup> Thus the association of Down's syndrome and neural tube defects described in one case by Al-Gazali et al apparently has no epidemiological support.<sup>8</sup>

The link between Down's syndrome and neural tube defects found by Barkai et al could be real or a consequence of ascertainment bias.<sup>16</sup> They ascertained the population of neural tube defects in a genetic clinic where the parents were seeking counselling. The Down's syndrome series from Ukraine included, at least for one year, all cases detected prenatally and postnatally in a given region. Furthermore, the Israeli series was 16 years older (1971-2001) than the Ukrainian series (1987-2001), which could increase the probability of the birth of infants with Down's syndrome in the Israeli series, perhaps as a result of recent folate supplementation policies. Two control series with other types of defect were studied in both centres to assess the possibility of surveillance bias.<sup>16</sup> The control series contained half the number of pregnancies included in the series with cases of Down's syndrome or neural tube defects. The mean number of pregnancies described for families at risk of neural tube defects was 2.7 compared with 2.4 described in the Israeli control families; the mean number of pregnancies for families at risk of Down's syndrome was 3.2 compared with 1.8 described for Ukrainian control families. The smaller sample size of the control series and the smaller size of the sibships in control families than in case families could have diminished the probability of finding an excess of Down's syndrome or neural tube defects among the control pregnancies. Moreover, size of sibship is highly correlated with maternal age, and the use of maternal age in years to calculate the expected numbers of cases of Down's syndrome could not compensate for differences of months in maternal age of cases and controls.

The other possibility is that the link between neural tube defects and Down's syndrome is real and the flaw is in our South American series. We studied a single newborn sample, in the same time period (1982-2000), ascertained in the same way for Down's syndrome, neural tube defects, and hydrocephalus. We studied only the pregnancies previous to the birth of an infant with neural tube defect, hydrocephalus, or Down's syndrome. As Barkai et al described the same excess of Down's syndrome and neural tube defects in the births occurring before and after the birth of the proband,<sup>16</sup> this does not seem to explain our different findings. Abortion is illegal in all South American countries, so prenatal diagnosis is not routine. Some of the cases of Down's syndrome that were prenatally diagnosed in Israel would probably die without diagnosis in South America. However, this would not interfere with our findings, as we calculated the expected number of cases of Down's syndrome by using absolute risks from the same population, substantiated over 3 million stillbirths and live births. The sample we studied was also large enough to show a link even if it was not as strong as the one found by Barkai et al.<sup>16</sup> Another possible pitfall of our congenital malformation registry material could be the under-registration

**Table 2** Prevalence of neural tube defects, hydrocephalus, and Down's syndrome in newborn population (probands) (n=1 583 838) and siblings of probands

	Neural tube defects (n=2421)			Hydrocephalus (n=952)			Down's syndrome (n=3095)		
	Observed No	% Risk (95% CI*)	$\lambda$	Observed No	% Risk (95% CI*)	$\lambda$	Observed No	% Risk (95% CI*)	$\lambda$
Prevalence in newborn population		0.15			0.06			0.19	
<b>Neural tube defects</b>									
Full siblings (n=2967)	49	1.65 (1.22 to 2.15)	10.8	5	0.17 (0.05 to 0.35)	2.8	3	0.10 (0.02 to 0.25)	0.52
Half siblings† (n=913)	7	0.77 (0.30 to 1.44)	5.0	1	0.11 (0.00 to 0.43)	1.8	1	0.11 (0.00 to 0.43)	0.56
<b>Hydrocephalus</b>									
Full siblings (n=1052)	4	0.38 (0.10 to 0.84)	2.5	19	1.81 (1.08 to 2.71)	30.0	1	0.09 (0.00 to 0.37)	0.49
Half siblings† (n=472)	2	0.42 (0.04 to 1.21)	2.8	5	1.06 (0.33 to 2.19)	17.6	0	0.00	—
<b>Down's syndrome</b>									
Full siblings (n=5919)	3	0.05 (0.00 to 0.12)	0.3	6	0.10 (0.04 to 0.20)	1.68	31	0.52 (0.36 to 0.72)	2.68
Half siblings† (n=2147)	1	0.05 (0.00 to 0.18)	0.3	2	0.09 (0.00 to 0.27)	1.55	3	0.14 (0.03 to 0.34)	0.71

$\lambda$ =risk ratio for type of relative of affected person compared with prevalence in newborn population.

\*Calculated as  $(\sqrt{a} \pm Z_{\alpha/2})^2 / n$ , where a=number of affected relatives, n=total number of relatives,  $\alpha=0.05$ . †Maternal half siblings.

### What is already known on this topic

One study found an association between Down's syndrome and neural tube defects within families

New preventive measures could be implemented if Down's syndrome is also related to abnormal intake or metabolism of folate

### What this study adds

No association of Down's syndrome and neural tube defect within families was found in a larger and more uniform sample of pregnancies at risk for neural tube defects or Down's syndrome

of familial affected cases as compared with genetic counselling services, which are more subject to selection bias than our series of consecutive births. However, the prevalence rate observed for each condition for siblings and maternal half siblings, in accordance with the expected rate in models for complex diseases (neural tube defects and isolated hydrocephalus),<sup>18</sup> do not suggest under-registration. Also, the observed confidence limits of crossed recurrences—for example, neural tube defects among pregnancies at risk for Down's syndrome—included the expected values (table 2).

### Conclusion

We could not confirm that Down's syndrome and neural tube defects are more prevalent than expected in the same families in the South American population studied in ECLAMC. Because of the serious implications of the question raised about common mechanisms for neural tube defects and Down's syndrome for the prevention of Down's syndrome, this should be further analysed in different populations and in different settings.

Contributors: IMO and EEC designed the study, interpreted the results, and wrote the paper. MRA retrieved data from the ECLAMC database and did the statistical analyses. All authors were responsible for revising the manuscript and for final approval of the paper. IMO is the guarantor.

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