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Cost effectiveness of an intensive blood glucose control policy in patients with type 2 diabetes: economic analysis alongside randomised controlled trial (UKPDS 41)

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Abstract

Objective To estimate the cost effectiveness of conventional versus intensive blood glucose control in patients with type 2 diabetes.

Design Incremental cost effectiveness analysis alongside randomised controlled trial.

Setting 23 UK hospital clinic based study centres.

Participants 3867 patients with newly diagnosed type 2 diabetes (mean age 53 years).

Interventions Conventional (primarily diet) glucose control policy versus intensive control policy with a sulphonylurea or insulin.

Main outcome measures Incremental cost per event-free year gained within the trial period.

Results Intensive glucose control increased trial treatment costs by £695 (95% confidence interval £555 to £836) per patient but reduced the cost of complications by £957 (£233 to £1681) compared with conventional management. If standard practice visit patterns were assumed rather than trial conditions, the incremental cost of intensive management was £478 (–£275 to £1232) per patient. The within trial event-free time gained in the intensive group was 0.60 (0.12 to 1.10) years and the lifetime gain 1.14 (0.69 to 1.61) years. The incremental cost per event-free year gained was £1166 (costs and effects discounted at 6% a year) and £563 (costs discounted at 6% a year and effects not discounted).

Conclusions Intensive blood glucose control in patients with type 2 diabetes significantly increased treatment costs but substantially reduced the cost of complications and increased the time free of complications.

Introduction

Improved blood glucose control is known to decrease progression of microvascular disease in patients with

type 1 diabetes, and the cost effectiveness of this policy has been reported using data from the diabetes control and complications trial.¹ Without information on clinical treatments and their long term impact on disease progression it has not been possible to assess the cost effectiveness of similar strategies in patients with type 2 diabetes. Previous economic evaluations have used existing knowledge of the disease epidemiology to consider specific aspects of disease progression such as retinopathy.²⁻³ Model-based evaluations have also been reported, the most inclusive of which predicted rates of microvascular complications, cardiovascular disease, and mortality.⁴⁻⁵ The United Kingdom prospective diabetes study provides, for the first time, the necessary clinical information on both microvascular and macrovascular complications to allow the cost effectiveness of an improved glucose control policy in people with type 2 diabetes to be analysed. The median 10 year follow up in the study makes it possible to estimate long term resource implications of type 2 diabetes and its complications directly from trial data.⁶

Methods

Participants and comparisons

A total of 5102 newly diagnosed patients with type 2 diabetes, defined as fasting plasma glucose above 6 mmol/l on two occasions, aged 25-65 years (mean age 53) were recruited in 23 centres. After initial dietary treatment, 4209 patients had fasting plasma glucose concentrations of 6.1-15 mmol/l without symptoms of hyperglycaemia. Of these, 342 overweight patients were randomised to metformin, leaving 3867 patients who entered the main randomisation and were allocated either to conventional management (mainly through diet, 1138 patients), or to intensive management with insulin (1156) or sulphonylureas (1573). The aim of the conventional policy was to

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The centres of the UK Prospective Diabetes Study Group, methods used to test model validity, and results of secondary analysis are given on the BMJ's website

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maintain patients free of diabetic symptoms and with a fasting plasma glucose concentration below 15 mmol/l, whereas the intensive policy was aimed at a fasting plasma glucose concentration below 6 mmol/l. All patients in the main randomisation were included in this economic evaluation. The median follow up period to death, the last known date at which survival was known, or to the end of the trial was 10 years. The main clinical end points analysed were death or the development of diabetic complications, including coronary heart disease, cerebrovascular disease, amputation, laser treatment for retinopathy, cataract extraction, and renal failure. All analyses and comparisons were performed on an intention to treat basis.

Type of evaluation and perspective

We performed an incremental cost effectiveness analysis in which the net costs and net effectiveness of intensive compared with conventional management were calculated and expressed as a ratio. The main perspective of the economic evaluation was that of healthcare purchasers. Only direct health service costs were analysed. These costs covered conventional and intensive treatments, visits to diabetic clinics and tests, and treatment of diabetic complications, including inpatient stays and outpatient health care. We also compared the costs of conventional policy with the insulin and sulphonylurea intensive policies separately.

Resource data

For each patient, data were collected at three monthly clinic visits on the doses of all drugs used for treating diabetes (insulin, sulphonylureas, metformin); the number of home blood glucose tests; the dose of the three main drugs for hypertension (captopril, atenolol, nifedipine); whether the patient was taking diuretics, methyldopa, calcium channel blockers, vasodilators, or other antihypertensive drugs; and whether the patient was taking aspirin, antidepressant drugs, hormone

replacement therapy, anxiolytics, or any other drugs. When treatment doses were not recorded, missing values were replaced by extrapolation from adjacent values for that patient. Last observation carried forward was used to impute missing data when necessary.

Data on the date and duration of each hospital admission were collected at every clinic visit. These were coded by using ICD-9 and ICD-10 classifications for prime cause of admission and Office of Population Censuses and Surveys (OPCS-4) codes for all procedures undertaken. In addition, a separate record was maintained of all angiograms, angioplasties, and bypass grafts for coronary or peripheral vascular disease. All hospital admissions were also allocated by two clinicians to one of 40 national standard specialty codes. Missing values for hospital lengths of stay were replaced with the mean value for all patients in that specialty.

Data on non-hospital and outpatient resource use were collected from all patients in the trial through a questionnaire distributed at routine clinic visits between January 1996 and September 1997 and by post to those who did not attend a clinic during this period. This questionnaire collected information on all home, clinic, and telephone contacts with general practitioners, nurses, chiropractors, opticians, dieticians, and eye and other specialists over the previous four months. Retrospective data capture from patients may underestimate resource use, but this is unlikely to introduce systematic bias when analysed by allocation. These cross sectional data were analysed by multiple regression to estimate for each patient the annual non-hospital resource use adjusted for significant variables including age, sex, body mass index, duration of diabetes, and time from a non-fatal diabetes related end point.

Costs

Unit costs for all resources used by trial patients were obtained from national statistics and from centres participating in the trial (table 1). These unit costs were combined with the resource volumes to obtain a net cost per patient over their time in the trial. Mean net costs and associated 95% confidence intervals were calculated for each arm of the study. Costs are reported both undiscounted and in net present values using the UK Treasury approved 6% annual discount rate.⁸ All costs are reported in 1997 values (£s).

All participants in the study attended clinics every three months; the interval was increased to four months in the later years of the study. However, outside a trial it is likely that the frequency and type of visits would be different, particularly for conventional policy patients. To illustrate this, we conducted a complementary analysis in which visits for conventional or intensive treatment are costed to reflect likely standard clinical practice rather than that dictated by the trial protocol. This assumes that the observed differences in complications between trial arms would be maintained with the different pattern of visits. Table 2 outlines the likely pattern of standard practice for conventional and intensive management based on the opinion of primary care and specialist clinic staff in the participating centres. Each patient's actual annual trial visit costs were replaced by the estimated standard practice annual visit cost depending on allocation and the asso-

Table 1 Main unit costs and sources of conventional and intensive management of type 2 diabetes

Item	Unit cost (1997 £)	Source
Study hospital clinic visit	67.30	Participating study centres
Drugs	Cost per item	<i>British National Formulary 1997</i>
"Real life" annual visits and tests:		
Conventional (diet and tablets)	69.74	See text
Conventional (insulin)	157.79	
Intensive (diet and tablets)	149.39	
Intensive (insulin)	233.44	
Inpatient day, by specialty:		
General surgery	271	Department of Health TFR2A costing returns 1996/97. Mean for all English NHS trusts
Ophthalmology	689	
Ear, nose, and throat	511	
Cardiothoracic surgery	464	
Haemodialysis	24 160	Average for 10 trusts
Peritoneal dialysis	18 140	Average for 11 trusts
Retinal photocoagulation	655	Diabetes control and complications trial, converted to 1997 values by purchasing power parity
Other outpatient attendances		
General practitioner (surgery)	10	Netten and Dennett ⁷
General practitioner (clinic)	15	Netten and Dennett ⁷
General practitioner (home)	30	Netten and Dennett ⁷
Diabetes specialist nurse	22	Netten and Dennett ⁷
Practice nurse (surgery)	6	Netten and Dennett ⁷

Table 2 Assumed annual real life visit and blood glucose test schedules for conventional and intensive management of type 2 diabetes

	Conventional		Intensive	
	Diet and tablet	Insulin	Diet and tablet	Insulin
General practice nurse	3	3	2	0
Specialist nurse	0	1	2	4
General practice clinic	1	1	2	2
Doctor at hospital diabetes clinic	0	0.5	0.5	1
Glycated haemoglobin measurement	1	1	1	1
Home glucose tests	12	121	12	121

ciated probability of the patient receiving insulin or diet and tablet treatment. The costs of other patterns are also considered in sensitivity analyses.

Outcomes

Diabetes related end points were defined as in the clinical trial.⁶ The trial showed that intensive blood glucose control significantly reduced ($P = 0.029$) the risk of any diabetes related end point by 12% but did not significantly reduce diabetes related deaths or all cause mortality. Consequently, the current analysis measures outcomes in terms of time to first event (myocardial infarction, congestive heart failure, stroke, renal replacement therapy, amputation, cataract extraction, vitreous haemorrhage, or death from any cause).

We used a conservative estimate of time to first event—that is, we assumed that no treatment effects continue beyond the end of the trial. For patients with no event observed during the trial, we used simulation from a parametric model to estimate the time from study closure (or withdrawal from study) to first event. Basic bootstrap confidence intervals were calculated for all simulation results based on 5000 non-parametric bootstrap iterations, each iteration being averaged over 20 runs of the simulation for stability.

For comparison we also estimated time to first event assuming that treatment effects continue beyond the end of the trial. We refer to this as an unbiased estimate, because it attempts to follow the size of the treatment effects that were observed in the trial, whereas the conservative estimate described above forces all treatment effects to zero at study closure. All cost effectiveness results are based on the conservative estimate of effectiveness. Since cost data are available for the duration of the trial only, the appropriate effectiveness measure is the one that allows treatment effects during the trial only.

Models

The model used in the simulation described above was a twofold competing risk model. In the first component, risk of a diabetes related event increases with age at diagnosis of diabetes and with duration of diabetes. In the second component, risk of other death (any death except myocardial infarction, sudden cardiac death, stroke) increases with the age of the patient. For simplicity, the last category includes several causes of death that may be considered diabetes related (hyperglycaemic or hypoglycaemic episodes, renal death, and death from peripheral vascular disease) but occurred too infrequently to be modelled

individually (total 26 deaths). The methods used to test the model's validity are given on the *BMJ's* website.

Analysis

All comparisons were carried out on an intention to treat basis. All results are reported as mean values with standard deviations; mean differences are reported with 95% confidence intervals. When descriptive statistics suggested the possible presence of skewness, 1000 bootstrap replications of the original data were performed and the resulting means, mean differences, and intervals were compared. For all reported costs, parametric confidence intervals for the cost differences were compared with the bootstrap confidence intervals and were found to be robust; parametric confidence intervals are therefore reported. Confidence intervals for the mean cost effectiveness ratios were calculated by Fieller's method.^{9,10} The effect of assumptions on our main results was examined by sensitivity analyses. All data were analysed with SPSS 8.0 and Microsoft Excel 97; the modelling work was carried out in C language.

Results

Table 3 shows the associated mean cost per patient over the duration of the study by category of cost and allocation. The intensive glucose control policy increased the costs of antidiabetic treatment for each patient by an average of £659 (95% confidence interval £580 to £739) compared with conventional glucose control. There were no significant differences between patients in the conventional and intensive glucose control policy groups in the costs of antihypertensive drugs, other drugs, or trial clinic visits. Total routine treatment costs were £3655 per patient in the conventional group and £4350 in the intensive group (mean difference £695, 95% confidence interval £555 to £836). When trial visit and test costs were replaced by the estimates of standard clinical practice visit and test patterns, as shown in table 2, total treatment costs were £1658 in the conventional

Table 3 Mean costs and effects for intensive and conventional blood glucose control policies

Item	Intensive (n=2729)	Conventional (n=1138)	Mean difference (95%CI)
Mean (SD) cost/ patient (1997 £)			
Routine treatment:			
Antidiabetic treatment	1250 (1475)	591 (974)	659 (580 to 739)
Blood glucose and HbA _{1c} tests	420 (384)	281 (246)	139 (119 to 160)
Antihypertensive drugs	525 (828)	523 (839)	2 (-56 to 60)
Other drugs	71 (86)	69 (84)	2 (-4 to 8)
Clinic visits	2504 (902)	2472 (915)	32 (-31 to 95)
Total	4350 (2295)	3655 (1917)	695 (555 to 836)
Treatment of complications:			
Hospital inpatient	3494 (6827)	4266 (9580)	-772 (-1385 to -159)
Non-hospital and outpatient	1631 (864)	1666 (899)	-35 (-96 to 27)
Eye and renal disease	133 (2222)	283 (5346)	-150 (-472 to 171)
Total	5258 (7593)	6215 (11450)	-957 (-1681 to -233)
Total trial	9608 (8343)	9869 (12022)	-261 (-1027 to 505)
Total trial-6% discount	6958 (5774)	7170 (8689)	-212 (-761 to 338)
Total non-trial setting	8349 (8153)	7871 (11841)	478 (-275 to 1232)
Total non-trial setting-6% discount	6027 (5674)	5689 (8615)	338 (-207 to 882)
Mean (SD) event-free years/patient			
Within trial event-free years	14.89 (6.93)	14.29 (7.06)	0.60 (0.12 to 1.10)
Discounted at 6%	9.17 (3.20)	8.88 (3.44)	0.29 (0.06 to 0.53)
Unbiased estimate of event-free years	15.08 (7.20)	13.94 (6.89)	1.14 (0.69 to 1.61)

HbA_{1c}=glycated haemoglobin.

group and £3091 in the intensive group (mean difference £1435, £1332 to £1539).

Complication costs

Hospital admissions formed the largest element of complication costs (table 3). The mean cost of all hospital admissions was £4266 in the conventional group and £3494 in the intensive group (mean difference £772, £159 to £1385). The 18% reduction in cost with intensive management is primarily the result of differences in the length of stay (9.7 days in conventional group *v* 8.4 in intensive group; mean difference 1.3, 0.2 to 2.3) combined with small differences in the number of admissions (mean 1.5 episodes in conventional group *v* 1.4 in intensive group; mean difference 0.1 (−0.1 to 0.2, not significant)).

Cross sectional analysis of responses to the questionnaire on non-hospital and outpatient health-care use indicated that a recent end point event had a significant effect on costs, raising them on average by £241 in the first year, £106 in the second year, and £80 in the third year after the event. Thus a lower event rate in the intensive group should be associated with lower non-hospital costs. Over the trial period, costs were slightly lower in the intensive group but the difference was not significant. Similarly, the costs associated with treatment of eye and renal disease (primarily renal dialysis and retinal photocoagulation) were slightly but not significantly lower in the intensive group. In total, therefore, intensive management was associated with a reduction of £957 (£233 to £1681) per patient in the cost of complications compared with conventional policy.

Total costs

The increased costs of antidiabetic treatment among the intensive group were counterbalanced by reduced costs of complications so that the net trial costs per patient did not differ between the two groups (£9869 in the conventional group and £9608 in the intensive group). Discounted at 6% a year to present values these costs become £7170 in the conventional group and £6958 in the intensive group.

However, when the trial visits and tests were replaced by those likely in clinical practice (table 2), the cost per patient was £7871 for conventional management *v* £8349 for intensive management (mean difference £478, −£275 to £1232). This difference is not significant. Discounted at 6% a year to present values the costs become £5689 *v* £6027 (£338, −£207 to £882). Comparisons of costs per patient for conventional treatment with insulin and sulphonylurea treated groups separately showed no significant differences (see *BMJ's* website for details).

Costs over time

The costs reported above are aggregated per patient over the whole trial period. Because of the nature of the disease costs will increase over time. To illustrate this, figure 1 shows the mean undiscounted costs per patient by year from randomisation and allocation to conventional and intensive glucose control policies based on resource use in trial (undiscounted costs in 1997 £).

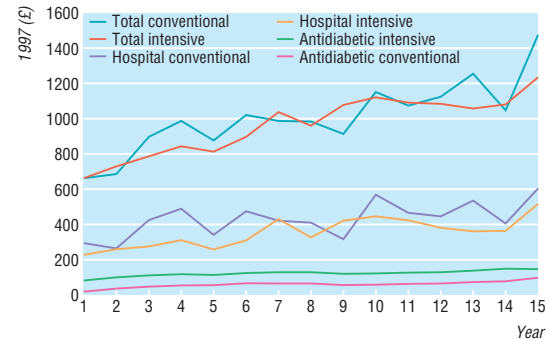


Fig 1 Mean cost per patient by year from randomisation and allocation to conventional and intensive glucose control policies based on resource use in trial (undiscounted costs in 1997 £).

ment of eye and kidney disease over time, these differences arose from such a small number of events that, as indicated in table 3, the mean cost difference per patient over the whole trial was not significant. These are therefore not included in figure 1.

Outcomes

The main measure of effectiveness in this analysis is time to first event. The conservative estimate was 14.29 years in the conventional group and 14.89 years in the intensive group, a difference of 0.60 (0.12 to 1.10) years (table 3). Discounted to present values at 6% a year, mean time to event was 8.88 years in the conventional group and 9.17 years in the intensive group (0.29, 0.06 to 0.53 years).

The unbiased model in which treatment effects are assumed to continue beyond the trial estimates a mean gain of 1.14 (0.69 to 1.61) years for intensive management compared with conventional management. The conservative estimate is used in the analysis below.

Cost effectiveness

The primary measure of cost effectiveness is the incremental cost per event-free year gained. Discounting both costs and effects to present values at 6% a year, the intervention is more effective and cost saving based on resource use according to the trial protocol. If the standard practice volumes are used rather than the protocol driven volumes the cost per event-free year

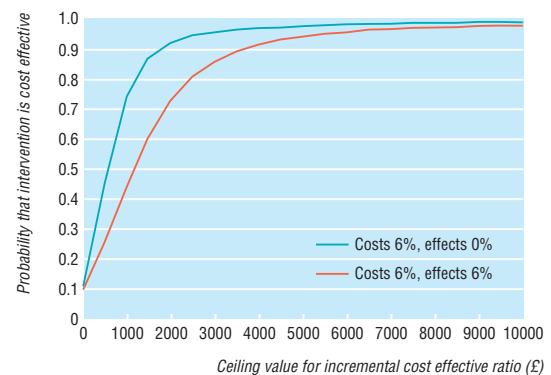


Fig 2 Cost effectiveness acceptability curves: probability that the within trial cost per event-free year gained is cost effective (y axis) as a function of decision maker's ceiling cost effectiveness ratio (x axis)

gained, with both costs and effects discounted at 6%, is £1166 (–£692 to £8819). With the costs discounted at 6% a year and the effects undiscounted, the cost per event-free year gained is £563 (–£344 to £5632).

Figure 2 shows these results in the form of a cost effectiveness acceptability curve.¹¹ With costs and effects discounted at 6% a year, there is a 10% probability that intensive blood glucose control policy would prove to be cost saving compared with a conventional policy, a 50% probability that the cost per event-free year lies above (or below) the point estimate of £1166, and an 80% probability that the ratio is less than £2500.

Sensitivity analysis

Sensitivity analysis was performed on the cost effectiveness ratio resulting from the main analysis, in which both the incremental net costs and incremental effect were discounted at 6% a year and the study visits reflected standard practice. The analysis focused on variation in the likely pattern and cost of visits and blood glucose test schedules in standard practice from the baseline values in table 2. If the frequency of the visits to a doctor at a diabetes hospital clinic increased from once to twice a year for the intensive policy group treated with insulin and from 0.5 to once a year for the intensive policy group treated with oral drugs, the difference in cost between the intensive and conventional policies would become £625 (£81 to £1168), and the incremental cost per event-free year would increase from £1166 to £2155. If the frequency of the visits to a specialist nurse increased from once to twice a year for the conventional policy group receiving insulin and from none to once a year for the conventional policy group receiving oral drugs, the difference in the cost between the policies would be £165 (–£379 to £710). The resulting incremental cost per event-free year would decrease to £572.

Discussion

Our economic analysis of treatment options in blood glucose control for people with type 2 diabetes is based directly on clinical trial information. The data are therefore less prone to the sources of bias, confounding, and uncertainty that are likely to affect non-randomised study designs. Secondly, because of the long follow up in the UK prospective diabetes study, the full range of costs arising from diabetic complications under conventional and intensive management could be assessed empirically. The relation between glycaemia and outcome is complex, but the UK prospective diabetes study has shown that improved glucose control reduces the risk of the diabetic complications that cause morbidity and suggested the mechanisms by which this might occur.⁶

Our economic analysis shows that the additional costs of intensive management are largely offset by significant reductions in the costs of treating complications of diabetes. If the prevalence of type 2 diabetes is 1.0–1.9% in the general population, a practice with a list of 10 000 patients will typically have 100–190 patients with type 2 diabetes at any time. Under our assumed clinical conditions an intensive policy costs an additional £1435 per patient (about £140 a year), which would be £14 000–£27 000 for a practice. These

What is already known on this topic

Intensive blood glucose control in patients with type 2 diabetes significantly reduces the risk of diabetes related complications

Intensive control has been shown cost effective in type 1 diabetes but data are lacking for type 2 diabetes

What this study adds

The increased therapy costs of intensive blood glucose control in type 2 diabetes are largely offset by significantly reduced costs of complications

In a typical general practice, the net cost of intensive blood glucose control for all type 2 diabetic patients is likely to be £4000–£9000 annually

The cost per event-free year of intensive blood glucose control is about £1166

costs would be offset by £10 000–£18 000 in savings on complications.

Further research

Further evaluations will be needed to examine different ways in which an intensive blood glucose control policy can be translated into standard practice and the role of new drugs. Future studies could also cast further light on the non-hospital costs of diabetic complications, which we assessed using cross sectional data obtained towards the end of the study. We did not include any potential difference between trial groups in productivity losses to individuals and society or costs directly incurred by patients or their families. As intensive blood glucose control was associated with shorter hospital admissions, it may result in fewer such indirect costs.

Given the wide range of complications associated with diabetes, it is important to attempt to define a measure that captures all dimensions of health gain. This is particularly true when considering a preventive treatment that delays an individual's progression to illness. Event-free time is one composite measure of health that reflects the complex nature of outcome. However, event-free time does not capture fully all the potential health effects. In particular, it does not incorporate information on quality of life. It might be expected that individuals receiving intensive glucose control who experience a gain in event-free time would consequently see an improvement in their quality of life. The intensive policy itself does not seem to adversely affect quality of life, although more hypoglycaemic events and weight gain may occur.¹² Our focus on event-free years allowed us to use the same outcome measures used in the UK prospective diabetes study.⁶

In principle economists are interested in lifetime costs and effects, which may differ substantially from costs and effects within trials. In a trial such as the United Kingdom prospective diabetes study with long follow up this is less likely to be a serious issue. The results reported in this analysis relate purely to the

costs and treatment effects observed within the trial period and do not require extrapolation of effects beyond the trial period. However, modelling indicated that these longer term benefits are likely to double the mean difference in event-free time. Our results suggest that intensive management of patients with type 2 diabetes is a feasible and economically supportable option.

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Contributors: AG helped plan and design the health economics study, supervised and participated in the analysis, coordinated the writing of the paper, and is the guarantor. MR compiled, maintained, and analysed the economic data and participated in interpreting the results and writing the paper. AMcG helped plan and design the health economics study and participated in supervising analysis, interpreting results, and writing the paper. PF helped plan and design the health economics study and participated in supervision and interpretation of results and revising the paper. RS developed the simulation model and participated in interpreting the results and writing the paper. CC was responsible for maintenance of the database, prepared trial data for analysis, discussed statistical aspects of the analysis, and participated in revising the paper. IS participated in data preparation, interpretation, and revision of the paper. AA helped to develop the simulation model and participated in interpreting the results and revising the paper. RH was a principal clinical investigator in the UK prospective diabetes study and participated in data preparation, analysis, and interpretation and revising the paper. RT was a principal clinical investigator in the clinical study, helped plan and design the study, and participated in interpreting the results and initial drafting of the paper. He died in August 1999.

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Effect of beer drinking on risk of myocardial infarction: population based case-control study

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Many studies have shown an inverse association between alcohol consumption and coronary heart disease, with a possible flattening at higher consumption levels.¹ It remains unclear, however, whether the protective effect is confined to specific beverages (such as red wine) or relates to ethanol. This question is complicated because wine drinkers may differ from people drinking other beverages or have a different drinking pattern. We addressed this issue by conducting a study in the Czech Republic, a predominantly beer drinking country, and by restricting the analyses to people who did not drink wine or spirits.

Participants, methods, and results

We conducted a population based case-control study in five Czech districts. All men aged 25-64 who had a first non-fatal myocardial infarction that fulfilled the World Health Organization MONICA (monitoring

trends and determinants in cardiovascular disease) criteria of definite or probable infarction² over 18 months were considered eligible. All cases agreed to participate in the study. An age stratified random sample of the population (response rate 77%) served as controls. Data on cases and controls were collected by identical protocols (details are available elsewhere³).

Participants reported the frequency of drinking any alcohol (never; less than once a month; once or twice a month; several times a week; almost daily or daily; and twice a day or more often). They also reported how much wine, spirits, and beer they consumed during a typical week. The average consumption of pure alcohol was 148 g a week, 87% of which was consumed as beer. The analyses were restricted to non-drinkers and "exclusive" beer drinkers (men who typically do not drink wine or spirits). Participants were categorised into four groups according to their average weekly intake of beer: < 0.5