Papers

Prophylactic respiratory physiotherapy after cardiac surgery: systematic review

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Abstract

Objective To assess whether respiratory physiotherapy prevents pulmonary complications after cardiac surgery.

Data sources Searches through Medline, Embase, Cinahl, the Cochrane library, and bibliographies, for randomised trials comparing any type of prophylactic respiratory physiotherapy with another type or no intervention after cardiac surgery, with a follow up of at least two days, and reporting on respiratory outcomes.

Review methods Investigators assessed trial validity independently. Information on study design, population, interventions, and end points was abstracted by one investigator and checked by the others.

Results 18 trials (1457 patients) were identified. Most were of low quality. They tested physical therapy (13 trials), incentive spirometry (eight), continuous positive airway pressure (five), and intermittent positive pressure breathing (three). The maximum follow up was six days. Four trials only had a no intervention control; none showed any significant benefit of physiotherapy. Across all trials and interventions, average values postoperatively were: incidence of atelectasis, 15-98%; incidence of pneumonia, 0-20%; partial pressure of arterial oxygen per inspired oxygen fraction, 212-329 mm Hg; vital capacity, 37-72% of preoperative values; and forced expiratory volume in one second, 34-72%. No intervention showed superiority for any end point. For the most labour intensive intervention, continuous positive airway pressure, the average cost of labour for each patient day was €27 (£19; \$32).

Conclusions The usefulness of respiratory physiotherapy for the prevention of pulmonary complications after cardiac surgery remains unproved. Large randomised trials are needed with no intervention controls, clinically relevant end points, and reasonable follow up periods.

Introduction

Pulmonary complications after cardiac surgery prolong hospital stay and increase healthcare costs.¹ We performed a systematic review to determine to what extent respiratory physiotherapy prevents such complications, and the best type of physiotherapy intervention.

We chose the setting of cardiac surgery for three reasons. Firstly, patients are prone to pulmonary complications after surgery; up to 65% of patients may have an atelectasis, and 3% may develop pneumonia.²⁻³ Secondly, the prevalence of cardiac surgery is high; around 110 per 100 000 population annually in the Western world.⁴ Thirdly, the extra costs of pulmonary

complications after cardiac surgery exceed €28 000 (£19 000; \$32 000) for each patient.⁵

Methods

Search strategy

We carried out an extensive search, with no language restrictions, through Medline, Embase, CINAHL, and the Cochrane controlled trials register using these key words: physical therapy, respiratory therapy, breathing exercise, chest physiotherapy, continuous positive airway pressure, incentive spirometry, intermittent positive pressure breathing, noninvasive pressure support ventilation, noninvasive positive pressure ventilation, bilevel positive airway pressure ventilation, cardiac surgery, cardiac operation, coronary artery bypass grafting, and random. The last search was on 19 February 2003. We checked the bibliographies of retrieved reports and reviews.⁶⁻⁸ Not considered were data from abstracts, letters, and animal studies. All main authors of all included studies were contacted.

Inclusion criteria, end points, and definitions

We included full reports of randomised trials of adults or children who had undergone cardiac surgery. Inclusion criteria included any method of prophylactic respiratory physiotherapy compared with no intervention or with another method of respiratory physiotherapy, and an observation period of at least two days.

The trials also had to assess at least one of four end points: atelectasis, pneumonia, oxygenation (partial pressure of arterial oxygen, with the corresponding fractional inspired oxygen), and pulmonary function (vital capacity or forced expiratory volume in one second). If end points were reported at different time points after surgery, we considered the latest. For atelectasis, pneumonia, and adverse effects we extracted dichotomous data. We checked for cointerventions that may have influenced the efficacy of the physiotherapy⁹: analgesia, respiratory physical therapy other than the tested intervention, and mobilisation. One investigator (PP) abstracted the data, which were independently cross checked by the others. The investigators independently scored the methodological quality of the included studies.^{10 11}

Data analyses

To establish the relative efficacy of physiotherapy in the absence of a gold standard intervention, we regarded as the most valid study design comparisons between an active intervention and a no intervention control. Active (head to head) comparisons were of secondary importance.

We estimated the cost of physiotherapy, assuming that one physiotherapist was treating one patient at a time. The cost for purchase or maintenance of equipment was not considered, but

Flow chart of screened, excluded, and analysed papers

we estimated the cost of labour from reported labour time. If no such data were given, we made three assumptions. Firstly, incentive spirometry comprised 10 inspirations, each session lasting five minutes, and a physiotherapist supervised two sessions a day—a total of 10 minutes for each patient day. Secondly, for continuous and intermittent positive pressure breathing, 10 minutes were needed for installation, 10 minutes for adjustments for each hour of therapy, and five minutes for disconnection—a total of 25 minutes for each patient day. Thirdly, for physical therapy, the physiotherapist needed to be present during the entire treatment period except for breathing exercises, when the same assumptions were made as for incentive spirometry. The average salary of a physiotherapist in Europe was estimated at €13/h (Switzerland €19/h, Belgium €13/h, and France €9/h; data from personal communication in 2003 with physiotherapists working in public hospitals in these countries).

Results

Trial characteristics

Of 107 papers screened, 27 randomised controlled trials were eligible for inclusion; nine were subsequently excluded (figure).¹²⁻²⁰ We analysed data from 18 trials (1457 patients) from nine countries, published between 1978 and 2001 (table 1).²¹⁻³⁸

Three authors responded to our inquiries^{23 24 28}; all provided supplementary information, which resulted in one additional trial being identified.²² The average group size was 32 patients (range 12-95 patients). Four trials described an adequate randomisation method, two reported on concealment of treatment allocation, and 14 reported on blinding of observers. Three trials used an intention to treat analysis.

Thirteen trials tested 11 different physical therapy regimens; incentive spirometry (n=8), continuous positive airway pressure (n=5), intermittent positive pressure breathing (n=3), and blow bottles (n=2). Cointerventions were used in most trials but adequately described in only four. One trial studied children, one trial studied children and adults, and 16 trials studied adults. Average length of stay in the intensive care unit was 2 to 2.8 days and in the hospital was 7.5 to 13 days.

Active intervention versus no intervention control

Four trials had a no intervention control.^{22 28 33 35} They tested three physical therapy regimens; deep breathing, deep breathing and cough, and deep breathing and costal expansion exercises. Two also tested incentive spirometry.^{33 35} We found no evidence of superiority of any active intervention for the end points.

Head to head comparisons

Atelectasis

Overall, 14 trials (1266 patients) reported on the incidence of atelectasis (table 2). One study (44 children) found a significantly lower incidence when less intensive physical therapy was compared with more intensive physical therapy.³⁶

Pneumonia

Nine trials (942 patients) reported on the incidence of pneumonia (table 2). No statistically significant differences were evident.

Oxygenation

Ten trials (752 patients) reported on partial pressure of arterial oxygen per inspired oxygen fraction (table 3). One trial (58

Table 1 Numbers of patients receiving prophylactic physiotherapy by method in included studies (continued on next page)

Study	Incentive spirometry	Continuous positive airway pressure	Physical therapy	Intermittent positive pressure breathing	Other	None
Westerdahl et al 2001 ²¹	—	—	32	—	36*, 30†	—
De Charmoy and Eales 2000 ²²	—	—	16	—	—	14
Matte et al 2000 ²³	30	33	—	—	33‡	—
Crowe and Bradley 1997 ²⁴	90	—	95	—	—	—
Johnson et al 1996 ²⁵	—	—	41, 34§	—	—	—
Johnson et al 1995 ²⁶	—	—	48, 49§	—	—	—
Richter Larsen et al 1995 ²⁷	—	—	22	—	22¶, 22†	—
Stiller et al 1994 ²⁸	—	—	40, 40§	—	—	40
Jousela et al 1994 ²⁹	—	15	15	—	—	—
Ingwersen et al 1993 ³⁰	—	17	—	—	18¶, 20†	—
Oikkonen et al 1991 ³¹	26	—	—	26	—	—
Pinilla et al 1990 ³²	—	32	26	—	—	—
Jenkins et al 1989 ³³	38	—	35	—	—	37
Stock et al 1984 ³⁴	12	13	13	—	—	—
Dull and Dull 1983 ³⁵	17	—	16	—	—	16
Reines et al 1982 ³⁶	—	—	25, 19§	—	—	—
Gale and Sanders 1980 ³⁷	52	—	—	57	—	—
Iverson et al 1978 ³⁸	58	—	—	42	45*	—

*Blow bottles.

†Inspiratory resistance and positive expiratory pressure mask.

‡Non-invasive ventilatory support with bilevel positive airway pressure.

§Less intensive physical therapy, more intensive physical therapy.

¶Positive expiratory pressure mask.

Table 1 End points and time of evaluation after surgery in included studies (*continued from previous page*)

Study	End points				Evaluation (days) postoperatively
	Atelectasis	Pneumonia	PaO ₂ /FiO ₂	Pulmonary function	
Westerdahl et al 2001 ²¹	Yes	—	—	Yes	4
De Charmoy and Eales 2000 ²²	Yes*	Yes	Yes	—	4
Matte et al 2000 ²³	Yes	Yes	Yes	Yes	2
Crowe and Bradley 1997 ²⁴	Yes	Yes	—	Yes	4
Johnson et al 1996 ²⁵	Yes	Yes	—	Yes	6
Johnson et al 1995 ²⁶	Yes*	Yes	—	Yes	6
Richter Larsen et al 1995 ²⁷	Yes	—	Yes	Yes	2
Stiller et al 1994 ²⁸	Yes	Yes	Yes	—	4
Jousela et al 1994 ²⁹	Yes	—	Yes	—	2
Ingwersen et al 1993 ³⁰	—	—	Yes	Yes	3
Oikkonen et al 1991 ³¹	Yes	—	—	Yes	3
Pinilla et al 1990 ³²	Yes	—	Yes	—	2
Jenkins et al 1989 ³³	Yes	Yes	Yes	Yes	4
Stock et al 1984 ³⁴	Yes	—	Yes	Yes	4
Dull and Dull 1983 ³⁵	—	—	—	Yes	3
Reines et al 1982 ³⁶	Yes	Yes	—	—	3
Gale and Sanders 1980 ³⁷	Yes	—	Yes	—	3
Iverson et al 1978 ³⁸	Yes	Yes	—	—	3

PaO₂=arterial oxygen partial pressure; FiO₂=fractional inspired oxygen.

*Not reported in dichotomous form.

Methodological quality of included studies

Study	Quality assessment of trials				Cointerventions¶		
	Randomisation*	Concealment of allocation†	Blinding‡	Follow up§	Analgesia	Additional physical therapy	Mobilisation
Westerdahl et al 2001 ²¹	1	1	1	1	—	1	1
De Charmoy and Eales 2000 ²²	1	0	1	1	—	—	1
Matte et al 2000 ²³	1	0	1	1	1	1	0
Crowe and Bradley 1997 ²⁴	2	0	1	1	—	1	1
Johnson et al 1996 ²⁵	1	0	1	2	2	—	2
Johnson et al 1995 ²⁶	1	0	1	2	2	—	1
Richter Larsen et al 1995 ²⁷	1	0	1	1	—	1	1
Stiller et al 1994 ²⁸	2	0	1	1	—	—	1
Jousela et al 1994 ²⁹	1	1	0	0	0	0	—
Ingwersen et al 1993 ³⁰	1	0	1	1	—	1	0
Oikkonen et al 1991 ³¹	1	0	1	1	—	2	—
Pinilla et al 1990 ³²	2	0	1	1	0	0	—
Jenkins et al 1989 ³³	1	0	1	1	0	—	2
Stock et al 1984 ³⁴	2	0	1	0	1	—	—
Dull and Dull 1983 ³⁵	1	0	0	2	—	1	1
Reines et al 1982 ³⁶	1	0	1	1	—	—	—
Gale and Sanders 1980 ³⁷	1	0	0	0	1	—	—
Iverson et al 1978 ³⁸	0**	0	0	0	—	1	—

*0=none or pseudorandomisation; 1=yes, not specified; 2=yes, and adequate.

†0=none; 1=yes.

‡Results for observer only as scores for patient or provider of intervention were zero for trials.

§0=none reported; 1 reported; data not analysed according to intention to treat; 2; reported; data analysed according to intention to treat.

¶0=Not specified; 1=not controlled; 2=controlled.

**According to patient's hospital number.

patients) found a significant increase with continuous positive airway pressure compared with physical therapy.³²

Pulmonary function

Overall, 11 trials (921 patients) reported on vital capacity and eight trials (748 patients) reported on forced expiratory volume in one second (table 3). One trial (96 patients) found a significant increase in both with both continuous positive airway pressure and non-invasive ventilation compared with incentive spirometry.²³

Adverse effects

Four trials provided dichotomous data on adverse effects; gastric distension in 2-10% of patients and nausea in 0-12% of

patients.^{25 29 32 38} Inconvenience of the mask was reported in 43% of patients receiving continuous positive airway pressure.^{29 32} During physical therapy, 4% of patients had a percutaneous capillary oxygen saturation of less than 90% and 1% of patients had tachycardia.²⁵ Eleven trials did not mention any adverse effects, and none were observed in two trials.^{24 28}

Cost estimation

The median time patients spent receiving physiotherapy was 80 minutes (range 20-120 minutes) for incentive spirometry, 480 minutes (70 to 720 minutes) for continuous positive airway pressure, 80 minutes (80 to 120 minutes) for intermittent positive pressure breathing, and 120 minutes (data from one trial only) for physical therapy.^{23 29-32 34 37 38} Physiotherapy lasted on average

Table 2 Incidence (percentage) of atelectasis and pneumonia in included studies

	Prophylactic physiotherapy					
Study	Incentive spirometry	Continuous positive airway pressure	Physical therapy	Intermittent positive pressure breathing	Other	None
Atelectasis:						
Westerdahl et al 2001 ²¹	—	—	59	—	69*, 57†	—
Matte et al 2000 ²³	30	15	—	—	15‡	—
Crowe and Bradley 1997 ²⁴	33	—	23	—	—	—
Johnson et al 1996 ²⁵	—	—	34, 35§	—	—	—
Richter Larsen et al 1995 ²⁷	—	—	93	—	95¶, 90†	—
Stiller et al 1994 ²⁸	—	—	98, 94§	—	—	92
Jousela et al 1994 ²⁹	—	53	47	—	—	—
Oikkonen et al 1991 ³¹	52	—	—	43	—	—
Pinilla et al 1990 ³²	—	94	81	—	—	—
Jenkins et al 1989 ³³	61	—	53	—	—	65
Stock et al 1984 ³⁴	92	67	92	—	—	—
Reines et al 1982 ³⁶	—	—	32, 68§**	—	—	—
Gale and Sanders 1980 ³⁷	65	—	—	70	—	—
Iverson et al 1978 ³⁸	60	—	—	55	40*	—
Pneumonia:						
De Charmoy and Eales 2000 ²²	—	—	0	—	—	0
Matte et al 2000 ²³	3	3	—	—	0‡	—
Crowe and Bradley 1997 ²⁴	9	—	11	—	—	—
Johnson et al 1996 ²⁵	—	—	5, 6§	—	—	—
Johnson et al 1995 ²⁶	—	—	17, 20§	—	—	—
Stiller et al 1994 ²⁸	—	—	10, 3§	—	—	3
Jenkins et al 1989 ³³	5	—	11	—	—	14
Reines et al 1982 ³⁶	—	—	8, 5§	—	—	—
Iverson et al 1978 ³⁸	0	—	—	2	0*	—

*Blow bottles.

†Inspiratory resistance and positive expiratory pressure mask.

‡Non-invasive ventilatory support with bilevel positive airway pressure.

§Less intensive physical therapy, more intensive physical therapy.

¶Positive expiratory pressure mask.

**Statistically significant differences between types of physiotherapy, as reported in original trials.

0.3 to 5 days.^{21 22 28 29 31–34 37} The average daily cost of labour for each patient was €6 for incentive spirometry, €10 for physical therapy, €20 for intermittent positive pressure breathing, and €27 for continuous positive airway pressure (table 4).

Discussion

Evidence is lacking as to whether prophylactic respiratory physiotherapy prevents pulmonary complications after cardiac surgery. Two published systematic reviews examined the relation between respiratory physiotherapy and outcome after different operations, but they obtained conflicting results. One found benefits from incentive spirometry and deep breathing exercises after upper abdominal surgery, but pooled data came from different end points such as atelectasis and pulmonary infiltrates or consolidation.³⁹ The other review found incentive spirometry to be of no benefit after cardiac and upper abdominal surgery.⁸ Again, data were combined from trials with a variety of different end points. Our conclusions reflect more uncertainty, showing several limitations in the original trials. These limitations are the main weakness of our systematic review.

Limitations

Eighteen trials tested eight regimens of prophylactic respiratory physiotherapy. This variety, which is not dissimilar to other settings, may be due to the lack of a gold standard method for respiratory physiotherapy.⁴⁰ If ethically acceptable, the best comparator is then a placebo or, as in the physiotherapy setting, a no intervention control.⁴¹ Four trials only had a no intervention control group, and each tested a different method of physiotherapy.^{22 28 33 35} Based on these trials, it was therefore

difficult for us to determine the efficacy of different methods of respiratory physiotherapy.

On average the quality of the trials was low. Only a minority reported on an appropriate method of randomisation or on concealment of allocation, although bad reporting may not mean bad practice. In only a few trials was the follow up of patients adequately reported and data analysed according to intention to treat. One inherent problem of trials in this setting is that at best the observer can be blinded. Over two thirds of the trials attempted to blind the observers. We do not know if trials of better quality would have reached different conclusions.

Practical management of physiotherapy was inconsistent. For example, the reported duration of daily continuous positive airway pressure varied by a factor of 10. Inconsistency suggests that there is uncertainty about how each method should be applied and how frequently.

For most end points there was variability in event rates. The average incidence of pneumonia was 0–20%.^{22 23 26 38} Two reasons may explain this variability. Firstly, there were no uniform definitions of pneumonia; one study used established criteria only.²⁶ Secondly, most trials were of limited size. Only two studies included groups of more than 50 patients.^{24 37} In small trials anything can happen by random chance.⁴²

The longest observation period was six days. This may be too short in which to identify all respiratory complications. Nosocomial pneumonia, for instance, occurs on average eight days after cardiac surgery.⁴³

In large randomised controlled trials, cointerventions are usually balanced between the groups. In small trials, however, we cannot exclude bias related to an imbalance of cointerventions.

Table 3 Postoperative partial pressure of oxygen and fractional inspired oxygen (PaO₂/FiO₂), vital capacity, and forced expiratory volume in one second in included studies. Values are means (standard deviations) unless stated otherwise

	Prophylactic physiotherapy					
Study	Incentive spirometry	Continuous positive airway pressure	Physical therapy	Intermittent positive pressure breathing	Other	None
PaO ₂ /FiO ₂ (mm Hg):						
De Charmoy and Eales 2000 ²²			287 (67)			281 (44)
Matte et al 2000 ²³	300 (43)	314 (43)			329 (57)*	
Richter Larsen et al 1995 ²⁷			305†		289†‡, 291†§	
Stiller et al 1994 ²⁸			298 (35), 298 (38)¶			307 (42)
Jousela et al 1994 ²⁹		270 (39)	287 (37)			
Ingwersen et al 1993 ³⁰		314†			317†‡, 325†§	
Pinilla et al 1990 ³²		234 (57)**	212 (56)**			
Jenkins et al 1989 ³³	305 (38)		310 (43)			300 (43)
Stock et al 1984 ³⁴	233 (43)	235 (42)	248 (53)			
Gale and Sanders 1980 ³⁷	289 (65)			283 (57)		
Vital capacity (ml):						
Westerdahl et al 2001 ²¹			2400 (500)		2600 (600) ††, 2600 (600)§	
Matte et al 2000 ²³	1332 (398)**	1670 (670)**			1759 (522)***	
Crowe and Bradley 1997 ²⁴	1547†		1372†			
Johnson et al 1996 ²⁵			2100 (1000), 1700 (500)¶			
Johnson et al 1995 ²⁶			2700 (700), 2800 (500)¶			
Richter Larsen et al 1995 ²⁷			47‡‡		45‡‡‡, 41§‡‡	
Ingwersen et al 1993 ³⁰		45‡‡			38‡‡‡, 42§‡‡	
Oikkonen et al 1991 ³¹	1441 (114)			1713 (170)		
Jenkins et al 1989 ³³	2200 (600)		2100 (600)			2200 (600)
Stock et al 1984 ³⁴	1812 (142)	1409 (154)	1444 (171)			
Dull and Dull 1983 ³⁵	1980 (510)		1700 (350)			2045 (790)
Forced expiratory volume in one second (ml):						
Westerdahl et al 2001 ²¹			1800 (400)		1900 (400) ††, 1900 (400)§	
Matte et al 2000 ²³	884 (258)**	1067 (256)**			1097 (369)***	
Crowe and Bradley 1997 ²⁴	1045 (270)		990 (270)			
Johnson et al 1996 ²⁵			1600 (800), 1300 (400)¶			
Johnson et al 1995 ²⁶			1800 (500), 1700 (500)¶			
Jenkins et al 1989 ³³	1700 (450)		1700 (350)			1700 (450)
Stock et al 1984 ³⁴	1497 (99)	1138 (135)	1197 (152)			
Dull and Dull 1983 ³⁵	1480 (435)		1320 (320)			1430 (520)

*Non-invasive ventilatory support with bilevel positive airway pressure.

†Standard deviation not available.

‡Positive expiratory pressure mask.

§Inspiratory resistance and positive expiratory pressure mask.

¶Less intensive physical therapy, more intensive physical therapy.

**Statistically significant differences between interventions, as reported in original trials.

††Blow bottles.

‡‡Vital capacity presented as percentage of preoperative volume.

Table 4 Estimated time and costs of labour for physiotherapists using different methods of prophylactic respiratory physiotherapy in patients after cardiac surgery

	Prophylactic physiotherapy			
	Incentive spirometry	Continuous positive airway pressure	Physical therapy	Intermittent positive pressure breathing
Median of average labour time per patient and per day (min)*	30	120	45	90
Estimated average labour cost per patient and per day (Euros)*	6	27	10	20

*Estimation, using assumptions as reported in methods section.

Sixteen of the 18 trials had less than 50 patients in each group, thus cointerventions may have affected the efficacy of physiotherapy. Method and intensity of postoperative analgesia may have an impact on pulmonary function.⁴⁴ Early mobilisation may also have an effect on outcome. Only three trials adequately controlled for concomitant analgesia or mobilisation.^{25 26 33}

Implications

Because there was no evidence of any benefit from respiratory physiotherapy, we were unable to determine the cost incurred to generate one patient who would profit from an intervention

compared with doing nothing. If there is no benefit, there are only costs, and these are not negligible in this context.

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What is already known on this topic

Prophylactic respiratory physiotherapy after cardiac surgery is widely used

It is thought to reduce the risk of pulmonary complications such as pneumonia or atelectasis

What this study adds

Evidence is lacking on benefit from any method of prophylactic respiratory physiotherapy after cardiac surgery

It is likely that there are adverse effects and costs only

Competing interests: None declared.

Ethical approval: None required.

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