245 Relation of low diastolic blood pressure to coronary heart disease death in presence of myocardial infarction: the Framingham study//

Ralph B D'Agostino, Albert J Belanger, William B Kannel, John M Cruickshank

Abstract

Objective—To examine the hypothesis that a J curve relation between blood pressure and death from coronary heart disease is confined to high risk subjects with myocardial infarction.

Design—Cohort longitudinal epidemiological study with biennial examinations since 1950.

Setting—Framingham, Massachusetts, USA.

Subjects—5209 subjects in the Framingham study cohort followed up by a person examination approach.

Main outcome measures—Coronary heart disease deaths and non-cardiovascular disease deaths in men and women with or without myocardial infarction relative to blood pressure.

Results—Among subjects without myocardial infarction non-cardiovascular disease deaths were twice to three times as common as coronary heart disease deaths. Furthermore, there was no significant relation between non-cardiovascular disease death and diastolic or systolic blood pressure. Also coronary heart disease deaths were linearly related to diastolic and systolic blood pressures. Among high risk patients (that is, people with myocardial infarction but free of congestive heart failure) death from coronary heart disease was more common than non-cardiovascular disease death. There was a significant U shaped relation between coronary heart disease death and diastolic blood pressure. Although there was an apparent U shaped relation between coronary heart disease death and systolic blood pressure, it did not attain statistical significance when controlling for age and change in systolic blood pressure from the pre-myocardial infarction level. None of the above conclusions changed when adjustments were made for risk factors such as serum cholesterol concentration, antihypertensive treatment, and left ventricular function. The U shaped relation between diastolic blood pressure and high risk subjects existed for both those given antihypertensive treatment and those not.

Conclusions—These data suggest that an age and sex independent U curve relation exists for diastolic blood pressure and coronary heart disease deaths in patients with myocardial infarction but not for low risk subjects without myocardial infarction. The relation seems to be independent of left ventricular function and antihypertensive treatment.

Introduction

Traditional views hold that the lower the blood pressure the lower will be the total mortality and the fewer the deaths from myocardial infarction and stroke. These views, however, tend to stem from databases composed mainly of people at low risk of coronary heart disease.^{1,2} More heterogeneous populations,^{3,4} including our own,⁵ have suggested that low

diastolic blood pressure may be associated with an excess of cardiac events. Cruickshank *et al* suggested that a J curve relation between diastolic blood pressure and death from myocardial infarction was confined to hypertensive patients with coronary heart disease,⁶ whereas others claimed that such a relation existed in those both with and without coronary heart disease.⁷⁻¹⁰ We have therefore re-examined our data using 34 years of follow up to test prospectively the hypothesis that the J curve relation between blood pressure and death from coronary heart disease is confined to high risk subjects—that is, people with myocardial infarction.

Patients and methods

FRAMINGHAM STUDY

The Framingham study was initiated in 1948 on a cohort of 5209 subjects (2336 men, 2873 women) aged 30 to 62. They have been followed up by means of biennial examinations in order to measure cardiovascular risk factors and to relate these to the development of cardiovascular diseases, to detect changes in rates of cardiovascular disease morbidity and mortality over time, and to evaluate all cause mortality.^{11,12} Details of clinical criteria and laboratory methods have been reported.^{12,13} Coronary heart disease deaths include deaths from myocardial infarction and sudden and non-sudden deaths from coronary heart disease. Sudden death was defined as death in a person who had apparently been well and died within 60 minutes of onset of symptoms in the absence of other apparent causes. Cardiovascular disease deaths include coronary heart disease deaths, deaths from stroke, and deaths from other cardiovascular causes.¹³

STATISTICAL ANALYSIS

A person examination approach was employed for the statistical analysis. This has been explained elsewhere together with its relation to other statistical procedures.^{14,15} At each biennial examination all subjects aged 45-84 and free of congestive heart failure were classified into one of two groups as either having a history of myocardial infarction or not having a history of myocardial infarction. Those free of congestive heart failure were selected so as to remove from the analyses anyone obviously sick. Subjects were also classified according to their diastolic and systolic blood pressures. Observations over the next two years recorded whether the subject died and whether the death was due to coronary heart disease, cardiovascular disease, or other causes (non-cardiovascular disease deaths). This was repeated for each examination up to examination 17 and the data pooled. From this biennial age adjusted rates were computed along with the standard errors (SE) of the age adjusted rates.

Logistic regression with the pooled person examination data^{14,15} was employed to test the relation of event

Department of Mathematics (Boston University) College of Liberal Arts (Boston MA 02215, USA)
Ralph B D'Agostino, PHD, professor and chairman

Statistics Unit, Boston University, Boston MA 02215, USA
Albert J Belanger, MA, statistician and data manager

Department of Preventive Medicine, Boston University Medical School, Boston MA 02218, USA
William B Kannel, MD, professor of medicine

Cardiac Department, Wythenshawe Hospital, Manchester M23 9LT
John M Cruickshank, FRCP, consultant

Correspondence and requests for reprints to: Dr D'Agostino.

BMJ 1991;303:385-9

rates—for example, coronary heart disease death rates—to blood pressure levels after adjusting for age and, as appropriate, other cardiovascular risk factors, such as change in blood pressure from before myocardial infarction, diabetes, smoking, and cholesterol concentration. This logistic analysis is related to the Cox proportional hazard regression analysis, employing the risk factors as time dependent covariates.¹⁵ To test the non-linearity of the relation both linear and quadratic terms for blood pressure were entered into the regression. The J shape or U shape was said to exist if both terms were statistically significant (taken as $p < 0.05$) and the coefficients had appropriate signs to produce a J or U shape. For confirmation of results other devices were also employed—namely, analyses using dummy variables for blood pressure categories (diastolic blood pressure <70 , 70-74, 75-79, . . . mm Hg) and piecewise linear segments. The results of these agreed with the analysis employing the linear and quadratic terms.

Results

DIASTOLIC BLOOD PRESSURE AND DEATH RATES

Death rates from coronary heart disease and non-cardiovascular causes in low risk subjects (that is, those without myocardial infarction) are shown in table I. Non-cardiovascular disease deaths were twice to three times as common as coronary heart disease deaths. Coronary heart disease deaths tended to be less common the lower the diastolic blood pressure, whereas non-cardiovascular disease deaths tended to decrease with increasing diastolic blood pressures up to 80 mm Hg and then rise. For coronary heart disease

TABLE I—Coronary heart disease deaths and non-cardiovascular disease deaths relative to diastolic blood pressure in men and women with or without previous myocardial infarction

Previous myocardial infarction	Diastolic blood pressure (mm Hg)	No of person examinations	Coronary heart disease death			Non-cardiovascular disease death		
			No of events	Age adjusted biennial death rate/1000 population	SE	No of events	Age adjusted biennial death rate/1000 population	SE
No	<70	5 593	43	6.0	0.9	150	21.4	1.8
	70-74	9 006	61	6.3	0.8	185	19.0	1.4
	75-79	5 770	30	5.2	0.9	106	18.3	1.7
	80-84	12 787	78	6.4	0.7	190	15.6	1.1
	85-89	5 951	43	8.3	1.3	90	16.4	1.7
	90-94	7 164	70	10.0	1.2	135	19.9	1.7
	≥ 95	7 872	88	13.9	1.5	136	20.3	1.8
Yes	<70	307	28	113.6	31.7	15	26.1	7.8
	70-74	432	28	64.4	14.7	15	27.1	8.9
	75-79	242	12	42.4	15.0	9	46.7	18.5
	80-84	492	31	59.5	11.9	21	30.0	7.7
	85-89	245	15	57.0	15.0	4	10.5	5.1
	90-94	274	18	53.9	13.6	18	45.9	10.3
	≥ 95	351	32	93.0	16.6	7	16.6	6.1

TABLE II—Coronary heart disease deaths and non-cardiovascular disease deaths relative to systolic blood pressure in men and women with and without previous myocardial infarction

Previous myocardial infarction	Systolic blood pressure (mm Hg)	No of person examinations	Coronary heart disease death			Non-cardiovascular disease death		
			No of events	Age adjusted biennial death rate/1000 population	SE	No of events	Age adjusted biennial death rate/1000 population	SE
No	<120	9 360	24	3.4	0.7	155	23.2	1.9
	120-129	9 182	40	5.4	0.9	125	16.2	1.5
	130-139	10 527	65	6.4	0.8	183	18.6	1.4
	140-149	8 175	68	8.0	1.0	166	19.5	1.5
	150-159	6 128	63	9.4	1.2	124	18.7	1.7
	160-169	4 276	46	9.4	1.4	87	18.5	2.0
	≥ 170	6 495	107	13.8	1.4	152	19.8	1.7
Yes	<120	304	21	74.7	16.0	12	41.2	12.1
	120-129	338	20	57.8	13.0	7	20.3	7.7
	130-139	428	29	60.8	12.5	13	21.5	6.0
	140-149	412	29	66.3	15.1	20	26.6	6.3
	150-159	293	18	59.2	17.7	14	30.1	8.3
	160-169	237	14	77.9	28.6	11	23.7	8.0
	≥ 170	331	33	83.1	19.4	12	19.2	6.3

FIG 1—Coronary heart disease deaths and non-cardiovascular disease deaths relative to diastolic blood pressure in men and women with previous myocardial infarction

FIG 2—Coronary heart disease deaths relative to diastolic blood pressure in men and women with or without previous myocardial infarction

deaths there was a positive, monotonically increasing continuous relation with diastolic blood pressure (95% confidence interval for linear term 0.0176 to 0.0324, $\chi^2 = 44.29$, $p < 0.0001$; quadratic term NS).

In high risk patients (that is, people with myocardial infarction) death from coronary heart disease was more common than non-cardiovascular disease death (table I; fig 1). There was no systematic relation between non-cardiovascular disease deaths and diastolic blood pressure. Rates of coronary heart disease deaths, however, tended to decrease as a function of diastolic blood pressure up to values in the high 70s (mm Hg; phase V) and then rose significantly (95% confidence interval for linear term -0.1991 to -0.0333 , $\chi^2 = 7.54$, $p = 0.006$; 95% confidence interval for quadratic term 0.000319 to 0.001255, $\chi^2 = 10.87$, $p = 0.001$) after adjusting for age and change from pre-myocardial infarction diastolic blood pressure. The contrast between the relation of diastolic blood pressure and coronary heart disease deaths in high risk and low risk patients is shown in figure 2. Among the high risk patients the relation was more U shaped than J shaped.

SYSTOLIC BLOOD PRESSURE AND DEATH RATES

Rates of non-cardiovascular disease deaths bore no significant relation to systolic blood pressure in either low risk (no myocardial infarction) or high risk (history of myocardial infarction) groups (table II), though there seemed to be an excess with systolic blood pressures below 120 mm Hg. In contrast, coronary heart disease deaths were related to systolic blood pressure in the low risk group (table II; fig 3). Among these subjects the overall coronary heart disease death rate was low and bore a positive linear and continuous relation with systolic blood pressure (95% confidence interval for linear term, 0.0107 to 0.0177, $\chi^2 = 62.16$, $p < 0.0001$; quadratic term NS). In those with myo-

TABLE III—Relation between diastolic blood pressure and cardiovascular risk factors. Entries are age adjusted means

Previous myocardial infarction	Diastolic blood pressure (mm Hg)	Serum cholesterol (mmol/l)	% With diabetes	% Having antihypertensive treatment	% Smoking cigarettes	Metropolitan relative weight (%)*	Packed cell volume	% With heart enlargement in radiographs	% With left ventricular hypertrophy diagnosed from electrocardiograms
No	<70	5.98	6.4	7.6	43.5	113.2	0.437	9.9	2.3
	70-74	6.11	5.7	9.6	39.3	116.3	0.443	9.9	2.1
	75-79	6.13	6.4	11.6	37.0	118.6	0.448	10.3	2.3
	80-84	6.19	6.1	13.3	34.6	121.4	0.450	10.2	2.5
	85-89	6.30	6.3	16.1	33.6	124.0	0.455	11.1	4.1
	90-94	6.33	6.4	18.8	32.0	125.4	0.455	12.1	4.7
Yes	≥95	6.42	7.1	22.8	33.3	131.0	0.461	13.4	11.0
	<70	5.85	16.3	10.5	45.8	114.6	0.454	16.3	7.2
	70-74	5.91	14.8	13.7	43.8	116.0	0.463	15.3	8.1
	75-79	5.83	15.5	13.7	50.5	119.2	0.464	15.6	3.5
	80-84	6.17	15.5	18.0	41.0	121.2	0.461	13.2	6.2
	85-89	6.37	11.8	21.8	43.9	123.3	0.472	11.5	4.6
	90-94	6.53	7.7	22.0	51.7	122.8	0.467	14.3	8.7
	≥95	6.44	9.4	20.2	46.6	126.9	0.476	13.1	17.9

*From Metropolitan Life Insurance Company tables.

TABLE IV—Relation between systolic blood pressure and cardiovascular risk factors. Entries are age adjusted means

Previous myocardial infarction	Systolic blood pressure (mm Hg)	Serum cholesterol (mmol/l)	% With diabetes	% Having antihypertensive treatment	% Smoking cigarettes	Metropolitan relative weight (%)*	Packed cell volume	% With heart enlargement in radiographs	% With left ventricular hypertrophy diagnosed from electrocardiograms
No	>120	6.02	4.0	6.5	41.5	113.6	0.444	8.1	1.2
	120-129	6.09	4.9	8.9	36.4	118.3	0.447	9.2	1.3
	130-139	6.16	5.9	11.4	35.8	121.2	0.451	9.7	2.2
	140-149	6.24	6.1	15.2	35.1	123.5	0.453	11.3	3.1
	150-159	6.35	7.0	18.0	34.3	124.8	0.452	12.1	4.8
	160-169	6.34	9.0	22.5	32.5	126.4	0.453	13.0	6.5
Yes	≥170	6.48	9.9	27.7	32.5	129.8	0.451	16.6	14.2
	<120	6.02	11.6	11.4	51.9	116.7	0.469	17.4	3.2
	120-129	6.02	13.1	12.4	48.7	118.9	0.464	13.1	4.0
	130-139	6.25	14.7	13.0	39.4	119.9	0.465	14.2	6.7
	140-149	6.16	12.5	22.4	44.9	120.9	0.469	10.8	7.5
	150-159	6.28	14.2	19.4	46.7	125.9	0.464	11.5	6.4
	160-169	6.19	17.0	27.2	45.8	121.5	0.462	15.0	10.0
	≥170	6.30	12.0	24.0	39.7	127.2	0.462	21.0	25.4

*From Metropolitan Life Insurance Company tables.

TABLE V—Coronary heart disease deaths relative to diastolic blood pressure in men and women with previous myocardial infarction by antihypertensive treatment

Antihypertensive treatment	Diastolic blood pressure (mm Hg)	No of person examinations	Coronary heart disease death		
			No of events	Age adjusted biennial death rate/1000 population	SE
No	<70	248	21	108.4	32.0
	70-74	345	22	66.1	16.0
	75-79	195	10	47.5	16.5
	80-84	374	21	54.4	12.7
	85-89	182	8	42.2	15.1
	90-94	202	13	60.6	16.6
Yes	≥95	268	25	91.2	18.3
	<70	59	7	86.4	41.7
	70-74	87	6	28.4	11.1
	75-79	47	2	26.2	17.5
	80-84	118	10	74.2	25.5
	85-89	63	7	94.9	35.2
	90-94	72	5	35.5	15.6
	≥95	83	7	104.2	41.5

cardial infarction the overall coronary heart disease death rate was high and there was an apparent U shaped relation. This relation, however, was not significant when controlling for age and change in systolic blood pressure from the pre-myocardial infarction level.

RISK FACTOR AND BLOOD PRESSURE INTERACTION AND CORONARY HEART DISEASE DEATHS

The relations between diastolic and systolic blood pressures and the risk factors serum cholesterol concentration, prevalence of diabetes, prevalence of antihypertensive treatment, prevalence of smokers and numbers of cigarettes smoked, relative weight, packed cell volume, x ray evidence of an enlarged heart, and impaired left ventricular function seen in the electrocardiogram in high risk and low risk groups are shown in tables III and IV. Serum cholesterol concentration, prevalence of antihypertensive treatment, relative

weight, and packed cell volume bore a positive relation with blood pressure in both low risk and high risk groups. A negative relation was present for the prevalence of smokers and numbers of cigarettes smoked and pertained to both high risk and low risk groups. The U shaped relation between coronary heart disease deaths and diastolic blood pressure was significant (95% confidence interval for linear term -0.2019 to -0.0259 , $\chi^2=6.44$, $p=0.011$; 95% confidence interval for quadratic term 0.000282 to 0.001266 , $\chi^2=9.47$, $p=0.002$) after adjusting for age, change in diastolic blood pressure from before the myocardial infarction, and all the above risk factors for the subjects with myocardial infarction. The U shape was not significant for systolic blood pressure.

ANTIHYPERTENSIVE TREATMENT V NO TREATMENT

The relation between diastolic blood pressure and coronary heart disease deaths in treated and non-treated high risk groups is shown in table V. The U

FIG 3—Coronary heart disease deaths relative to systolic blood pressure in men and women with or without previous myocardial infarction

curve relation between diastolic blood pressure and coronary heart disease death seemed to be independent of treatment. For both groups the linear and quadratic terms were significant in the logistic regressions containing the full set of risk factors listed above.

Discussion

This breakdown of the Framingham database confirms that lower diastolic blood pressures are associated with fewer coronary heart disease deaths—but only for low risk subjects (that is, people without myocardial infarction). Similar results for coronary heart disease are well known and reported elsewhere.¹⁶ Non-cardiovascular disease deaths seemed not to be related to blood pressure in the low risk group.

Both high risk patients (those with myocardial infarction but not congestive heart failure) and low risk patients suffered non-cardiovascular disease deaths in a fashion unrelated to blood pressure. Coronary heart disease deaths, however, showed a significant tendency to form a U shaped relation with diastolic blood pressure in the high risk group. This phenomenon could not be explained by odd distributions of the various coronary risk factors, such as cholesterol concentration, diabetes, smoking, obesity, treatment of hypertension, heart enlargement, and impaired left ventricular function. We have thus confirmed the observation⁶ that the J curve or U curve relation with diastolic blood pressure and coronary heart disease death, independent of age and sex, is specific to a high risk group. Others have emphasised a J shape.⁶ Our data seem to be better described as U shaped. The relation of low diastolic blood pressure to high rates of coronary heart disease mortality is confirmed.

As regards left ventricular hypertrophy as diagnosed from the electrocardiogram we looked at patients with impaired left ventricular function and no myocardial infarction, patients with myocardial infarction and no impaired left ventricular function, and a group of high risk patients with both conditions. Although there were no statistically significant findings, the U point in the first two groups was in the high 70s (mm Hg) of diastolic pressure (as for cases with myocardial infarction) but in the combined impaired left ventricular function and myocardial infarction group the U point was moved to the right—to the mid to high 80s (mm Hg). This observation may warrant further investigation.

It has been suggested that the J curve or U curve relation between diastolic blood pressure and death from myocardial infarction is spurious and purely a reflection of either ill health, poor left ventricular function,^{2 17 18} or overtreatment.² To allow for this we analysed only patients without congestive heart failure and included in our analysis the change in diastolic blood pressure from the pre-myocardial infarction value. We found no evidence in the high risk group for poor left ventricular function being responsible, there being no increased frequency of cardiomegaly or increased heart rate in the groups immediately below the diastolic U point or anywhere below the systolic U point.

Staessen *et al* used low weight and haemoglobin concentration as evidence of ill health in elderly hypertensive patients with low diastolic blood pressure and an excess of myocardial infarction deaths.¹⁸ This, however, would be expected anyway as we have shown in both high risk and low risk groups a continuous positive relation between weight and packed cell volume and diastolic blood pressure. They also suggested ill health as an underlying factor because a J curve relation between diastolic blood pressure and non-cardiac deaths was noted. We could not confirm this observation in our more heterogeneous database.

We do not, however, deny that in elderly, frail subjects ill health and poor left ventricular function may be responsible for low diastolic blood pressure and an excess of coronary and non-coronary deaths.

With respect to overtreatment as a cause of the J curve² our data clearly showed that the lower the diastolic blood pressure the lower was the frequency of antihypertensive treatment in both high risk (with U curve) and low risk (no U curve) groups. Moreover, the U curve occurred in both treated and non-treated subjects in this and other studies.^{17 18}

As low diastolic blood pressure was associated with a poor outcome only in patients with overt coronary disease and not in those without such disease there is the possibility that the low pressure itself is not conducive to excess mortality. Rather, in the presence of diseased coronary circulation low diastolic blood pressure may be potentially lethal whether induced by treatment or not. Nevertheless, although we have taken into account the effects of the myocardial infarction—for example, by excluding subjects with congestive heart failure and including the fall in diastolic blood pressure as a result of the initial myocardial infarction—it remains possible that we are looking at a surrogate of the extent of myocardial damage induced by the myocardial infarction. Epidemiological studies such as the Framingham study cannot resolve such questions.

Our data shed no light on the actual mechanism of the U curve but they are consistent with the concept that severe coronary heart disease is associated with poor coronary flow reserve. Thus patients with severe coronary heart disease may be vulnerable to low coronary perfusion pressures (diastolic blood pressure) that are well tolerated by lower risk subjects. Our data suggest that an age and sex independent U curve relation exists for diastolic blood pressure and coronary heart disease deaths in patients with myocardial infarction but not in a low risk group without myocardial infarction. The relation seems to be independent of left ventricular function and antihypertensive treatment. Any prospective studies set up to test these claims should be careful to document patients in terms of ischaemic heart disease.

This study was supported by grant HL-40423 (to RBD'A) from the American Heart, Lung and Blood Institute.

- 1 Lew EA. High blood pressure, other risk factors and longevity: the insurance viewpoint. *Am J Med* 1973;55:281-94.
- 2 MacMahon S, Peto R, Cutler J, Collins R, Sorlie P, Neaton J, *et al*. Blood pressure, stroke, and coronary heart disease. Part 1. Prolonged differences in blood pressure: prospective observational studies corrected for the regression dilution bias. *Lancet* 1990;335:765-74.
- 3 Stewart IMcDG. Relation of reduction in pressure in first myocardial infarction in patients receiving treatment for severe hypertension. *Lancet* 1979;ii:861-5.
- 4 Cruickshank JM. Coronary flow reserve and the J curve relation between diastolic blood pressure and myocardial infarction. *BMJ* 1988;297:1227-30.
- 5 Anderson TW. Re-examination of some of the Framingham blood pressure data. *Lancet* 1978;ii:1139-41.
- 6 Cruickshank JM, Thorp JM, Zacharias FJ. Benefits and potential harm of lowering high blood pressure. *Lancet* 1987;ii:581-4.
- 7 Waller PC, Isles CG, Lever AF, Murray GD, McInnes GT. Does therapeutic reduction of diastolic blood pressure cause death from coronary heart disease? *J Hum Hypertens* 1988;2(1):7-10.
- 8 Fletcher A, Beevers DG, Bulpitt CJ, Butler A, Coles EC, Hunt D, *et al*. The relationship between a low treated blood pressure and IHD mortality. A report from the DHSS hypertension care computing project (DHCCP). *J Hum Hypertens* 1988;2(1):11-5.
- 9 Alderman MH, Ooi WL, Madhavan S, Cohen H. Treatment-induced blood pressure reduction and the risk of myocardial infarction. *JAMA* 1989;262:920-4.
- 10 Samuelsson OG, Wilhelmsen LW, Pennert KM, Wedel H, Berglund GL. The J-shaped relationship between coronary heart disease and achieved blood pressure level in treated hypertension: further analyses of 12 years of follow-up of treated hypertensives in the primary prevention trial in Gothenburg, Sweden. *J Hypertens* 1990;8:547-55.
- 11 Stokes J, Kannel WB, Wolf PA, Cupples LA, D'Agostino RB. The relative importance of selected risk factors for various manifestations of cardiovascular disease among men and women 35 to 64 years old. 30 years of follow-up in the Framingham study. *Circulation* 1987;75(suppl V):V65-73.
- 12 Dawber TR. *The Framingham study: the epidemiology of atherosclerotic disease*. Cambridge, Mass: Harvard University Press, 1980.
- 13 Cupples LA, D'Agostino RB. Some risk factors related to the annual incidence

- of cardiovascular disease and death using pooled repeated biennial measurements. In: *Framingham heart study, 30 year followup*. Sect 34. Washington, DC: National Institutes of Health, 1987. (NIH 87-2703.)
- 14 Cupples LA, D'Agostino RB, Anderson K, Kannel WB. Comparison of baseline and repeated measure covariate techniques in the Framingham heart study. *Stat Med* 1988;7:205-18.
- 15 D'Agostino RB, Lee M, Belanger AJ, Cupples LA, Anderson K, Kannel WB. Relation of pooled logistic regression to time dependent Cox regression analysis: the Framingham study. *Stat Med* 1990;9:1501-15.
- 16 MacMahon S, Peto R, Cutler J, Collins R, Sortie P, Neaton J, et al. Blood

- pressure, stroke, and coronary heart disease: part 1, prolonged differences in blood pressure: prospective observational studies corrected for the regression dilution bias. *Lancet* 1990;335:765-74.
- 17 Coope J. Hypertension: the cause of the J-curve. *J Hum Hypertens* 1990;4:1-4.
- 18 Staessen J, Bulpitt C, Clement D, De Leeuw P, Fagard R, Fletcher A, et al. Relation between mortality and treated blood pressure in elderly patients with hypertension: report of the European Working Party on High Blood Pressure in the Elderly. *BMJ* 1989;298:1552-6.

(Accepted 13 June 1991)

Measures of performance in Scottish maternity hospitals

A H Leyland, C W Pritchard, P McLoone, F A Boddy

Abstract

Objective—To develop measures of hospital performance over time with particular reference to maternal and neonatal care by controlling for case mix.

Design—Analysis of computerised records of births.

Setting—Scotland, 1980-7.

Subjects—Over half a million singleton live births and stillbirths.

Main outcome measures—Numbers of perinatal deaths and caesarean sections.

Results—Scottish maternity hospitals perform more or less equally with regard to perinatal mortality. When caesarean sections are considered, there is evidence that hospitals differ in their treatment of different groups of women; in two examples one hospital had an increased rate among women of parity 2 or more and another had a reduced rate of repeat caesarean section.

Conclusions—Developing measures of performance over time by controlling for case mix is a valid system for monitoring hospital outcomes and activity, and allows comparison either between hospitals or with data for all Scottish maternity hospitals. Hospital profiles permit identification of differences for particular patient groups after allowance is made for other case mix variables.

Introduction

In the pursuit of improved efficiency, management policies in the NHS have focused increasingly on the use of performance indicators. Most such measures are concerned with crude indicators of resource use^{1,2} and few make allowance for the ways in which the characteristics of the patients treated in a hospital (or health authority) may influence either the use of resources or the outcomes that are achieved. It should be self evident that performance indicators are, at best, difficult to interpret if they do not take account of variation in the case mix of hospitals and thus the work they undertake.

This general point is illustrated by the example of Scottish maternity hospitals in 1980-7. For this period the perinatal mortality rate in Scotland was 9.7/1000 births; individual rates for the 46 hospitals delivering more than 800 babies ranged from less than one to more than 13 deaths per 1000 births. In the same period, the rates of caesarean section for the 30 hospitals employing this mode of delivery varied between 5% and 18% compared with a rate in all Scotland of 13%. Taken at face value, these differences suggest either variations in clinical practice and in the quality of obstetric care or—the more likely explanation—that hospitals differ in the types of cases they manage. If this second interpretation is correct then it has obvious implications for interpreting resource related descriptors of hospital activity. Some of these

factors are considered by Lynch in an econometric study of the variation in cost per case among 35 of the hospitals we analysed.³

A major difficulty in devising and applying performance indicators is that they depend on information that is routinely available (usually for other primary purposes) and thus on the post hoc ability of this information to reflect "performance" in more complex ways than, for example, the number of patients processed in a given time interval. Most NHS information systems fail to provide sufficient detail about patients' characteristics or the content of clinical care to allow proceeding much beyond the general comparisons included in published lists of performance indicators. The system of Scottish morbidity records used for maternity and neonatal care (forms SMR2 and SMR11⁴) is an exception for two reasons: firstly, the forms include data about the circumstances of the pregnancy and its outcome, and, secondly, they are completed for nearly all births occurring in Scottish maternity hospitals. It is thus possible to compare hospitals in terms of differences in their case mix and to make allowance for these differences when interpreting the outcomes the hospitals achieve or the activities they undertake.

Methods

The analysis used data for singleton births from routinely completed maternal and neonatal discharge records (forms SMR2 and SMR11) for births in Scotland during 1980-7. The forms provide information about the mother (demographic and obstetric characteristics) as well as details of the recorded pregnancy. The records included 98% of all pregnancies in Scotland; 514 193 records from 96 hospitals were included in the analysis. The reporting of deaths was slightly less complete, consisting of 98% of stillbirths, 97% of early neonatal deaths, and 93% of late neonatal deaths (S K Cole, personal communication). In all 8.8% of all live births were transferred from the hospital of birth to another hospital; these accounted for 12.6% of all deaths in the first week. All such transfers were ascribed to the birth unit. No systematic bias was found in either the reporting of deaths or the distinction between deaths in the first week and early neonatal deaths between hospitals or hospital types.

The data were formed into a multidimensional contingency table and a logistic regression^{5,6} was performed for each outcome measure by using GLIM 3.77⁷ with each of the 14 variables listed in the table regarded as a factor with two to eight levels. These variables were chosen as classic explanatory variables from previous studies⁸⁻¹⁰ under the constraint of the availability of the data. The purpose of this initial model fitting was to define a statistical model for selected outcomes (numbers of perinatal deaths and caesarean sections) that would account for variations in the data for all years included in the study. Only

Social Paediatric and
Obstetric Research Unit,
University of Glasgow,
Glasgow G12 8RZ
A H Leyland, MSc,
statistician
C W Pritchard, PhD,
epidemiologist
P McLoone, BSc, statistician
F A Boddy, FFPHM, director

Correspondence to: Mr
Leyland.

BMJ 1991;303:389-93